

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEE, Tom S.	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 5/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2011 to 12/31/2011
	5b. ☐ Amended Report	

7. Chambers or Office Address

501 East Court Street, Suite 4.756
Jackson, MS 39201

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the *NONE* box for each part where you have no reportable information. Insert signature on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ *NONE (No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner	██████████ Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ *NONE (No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 2011	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Director - Community Bancshares of MS, Inc.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR		None	J	T					
2. American Skandia NQ VA Prudential(name change)		None	M	T					
3. MS O Coupon Bond		None	M	T					
4. Eaton Vance MS Fund	B	Dividend	K	T					
5. MS Hospital EQ & FAC Bond	A	Interest	K	T					
6. Shares of Community Bancshares of MS, Inc.	E	Dividend	P1	T					
7. ING. NQ VA		None	J	T					
8. American Skandia NQ Prudential (name change)		None	N	T					
9. Hartford VA IRA.		None	N	T					
10. Land in Scott Co., MS		None	M	T					
11. U.S. Ser. E. Bonds		None	L	T					
12. Phoenix Life		None	M	T					
13. Community Bancshares of MS	B	Interest	M	T					
14. ING V IRA 89 AV		None	N	T					
15. Hartford VA NQ with JS		None	N	T					
16. ING VA IRA...29AV		None	K	T					
17. Franklin Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. ABB Limited		None	J	T					
19. VK C&S Municipal	D	Dividend	M	T					
20. FT Municipal	A	Dividend	J	T					
21. VK C&S Municipal	C	Dividend	L	T					
22. Thornburg Income Builder	C	Dividend	L	T					
23. FT Corp. Strength	B	Dividend	L	T					
24. Thornburg Income Builder	B	Dividend	K	T					
25. FT Municipal Ins.	C	Dividend	L	T					
26. FT Municipal Ins.	D	Dividend	M	T					
27. FT Emerging Markets	A	Dividend	L	T					
28. VK Sector Rotation	A	Dividend	L	T					
29. FT TM Advantage	A	Dividend	J	T					
30. Claymore MLP	B	Dividend	K	T					
31. FT Global Commodities	A	Dividend	L	T					
32. Eaton Vance Em Local	B	Dividend	K	T	Sold	12/20/11	K	A	
33. FT Ins. Mini	A	Dividend	J	T	Buy	02/04/11	J		
34. Gugg Global High Div	B	Dividend	L	T	Buy	09/07/11	L		

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35. VK INFLATION hEDGE	A	Dividend	J	T	Buy	05/25/11	J		
36. Gugg Global High Div.	B	Dividend	K	T	Buy	09/07/11	K		
37. VK Inflation Hedge	A	Dividend	K	T	Buy	05/25/11	K		
38. EV Str. Income A	B	Dividend	K	T	Buy	12/21/11	K		
39.									
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

All of the assets owned by [REDACTED] Limited Partnership are listed in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tom S. LEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544