

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COLE, JEFFREY N.	2. Court or Organization US DISTRICT COURT - ILLINOIS	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURTHOUSE 219 S. DEARBORN STREET STE 1828 CHICAGO, IL 60604 IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GENERAL MTRS ACCEPTANCE CORP. 10/15/2017 8.0%	B	Interest			Redeemed	07/18/11	K		
2. GARLAND TX WTR & SWR 3/1/2022 5.0%	B	Interest	K	T					
3. GULF CST WASTE DISP AU 8/1/2024 6.1%	B	Interest	K	T					
4. HAWAII ST G/IO SER 1/1/2011 5.75%	A	Interest			Redeemed	01/03/11	K	B	
5. NEW YORK ST MTG AGCY 10/1/2019 5.55%	B	Interest			Redeemed	09/08/11	K		
6. TRIBOROUGH BRDG & TNL AU 1/1/2014 5.75%	B	Interest			Redeemed	09/19/11	K		
7. WILLOW RUN MI CMTY SCH G/O 5/1/2019 5.05%	A	Interest			Redeemed	05/02/11	K		
8. WISC ST TRANS REV 7/1/2012 4.75%	B	Interest	K	T					
9. ALTRIA GROUP/PHILIP MORRIS INT'L	A	Dividend	J	T					
10. INTEL CORP	B	Dividend	K	T					
11. MICROSOFT CORP	A	Dividend	K	T					
12. TEXAS ST G/O RFDG PUB FIN AUTH 5.0% 10/1/18	B	Interest	K	T					
13. WAL-MART STORES	A	Dividend	J	T					
14. SCHWAB VALUE ADVANTAGE FD	A	Dividend	J	T					
15. SCHWAB INTEREST	A	Dividend	J	T					
16. BK OF AMERICA	A	Interest	K	T					
17. UNUM GRP SR NOTES 3/1/2011 7.625% - IRA	A	Interest			Matured	03/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MAY DEPT STORES 8.3% DEB 7/15/2026 - IRA	C	Interest	K	T					
19. EXECUTIVE RISK CAPITAL TR 2/1/2027 8.675% - IRA	C	Interest	K	T					
20. ACE LTD SER C 7.8% - IRA	C	Interest	K	T					
21. RESOLUTION FDG CORP GENERIC 10/15/2016 - IRA	E	Interest	K	T					
22. DUKE CAPITAL SR NOTES 2/15/2013 6.25% - IRA	B	Interest	K	T					
23. AT&T CORP NOTES 3/15/2013 6.5% - IRA	A	Interest			Sold	03/29/11	K	B	
24. LEUCADIA NAT'L 11/15/2013 7.75% - IRA	B	Interest	K	T					
25. NCNB 7/15/2015 10.2% - IRA	B	Interest	K	T					
26. NAT'L RURAL UTILITY COOP 10/1/2015 7.2% - IRA	B	Interest	K	T					
27. ITT HARTFORD GRP DEB 11/1/2015 7.3% - IRA	B	Interest	K	T					
28. ALLEGIANCE CORP DEB 10/15/2016 7.8% - IRA	B	Interest	K	T					
29. RYDER SYS DEB 5/15/2017 9.875% - IRA	C	Interest	K	T					
30. GM ACCEPTANCE SMARTNOTES 8/15/2017 7.25% - IRA	A	Interest			Sold	07/19/11	K		
31. GM ACCEPTANCE SMARTNOTES 8.9/15/2017 7.25% - IRA	A	Interest			Sold	07/19/11	K		
32. CITICORP CAPITAL 2/15/2027 7.933% - IRA	C	Interest	K	T					
33. ST PAUL CAPITAL TR PFD 7.6% - IRA	C	Interest	K	T					
34. TXU CORP - IRA	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. BAXTER INT'L - IRA	B	Dividend	J	T					
36. EXXONMOBIL - IRA	B	Dividend	K	T					
37. HOME DEPOT - IRA	B	Dividend	J	T					
38. JOHNSON & JOHNSON - IRA	B	Dividend	J	T					
39. MICROSOFT - IRA	B	Dividend	J	T					
40. WAL-MART STORES - IRA	B	Dividend	J	T					
41. WALGREENS - IRA	B	Dividend	J	T					
42. KOHLS NOTES 6.3% - IRA	A	Interest			Matured	03/01/11	K		
43. METRO DC ARPT 10/1/2020 5.375%	B	Interest	K	T					
44. HOUSTON TX ARPT SYS REV 7/1/2022 5.0%	B	Interest	K	T					
45. NORTHSIDE TX INDPT SCH DIST 2/15/2023 5.0%	A	Interest			Redeemed	02/15/11	K		
46. CAMDEN ALA INDL DEV BRD RFD 6.125% 12/1/24 WYERHAEUSER SER A	B	Interest	K	T					
47. AVAYA	A	Dividend	K	T					
48. VERIZON NY DEB SER A 4/1/2012 6.875%	B	Interest			Redeemed	11/28/11	K		
49. ALLTEL SR NOTES 7/1/2012 7.0%	B	Interest	K	T					
50. POWERWAVE TECHNOLOGIES		None	J	T					
51. LEHMAN BROS HLDGS 7.95%	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. CENTURY TEL SR NT 7.85%	B	Interest	K	T					
53. VALERO ENERGY 6.85%	B	Interest	K	T					
54. CONSTELLATION ENERGY GRP JR SUB DEB 8.625%	B	Interest	K	T					
55. WESTERN UNION NT 5.4%	B	Interest			Redeemed	11/17/11	K	A	
56. ALABAMA ST FED AID HWY FIN AUTH 5.0%	B	Interest	K	T					
57. PUERTO RICO PUN FIN CORP RFDG COMWLTH 5.0%	B	Interest	K	T					
58. TX MUN GAS ACQ SPLY SER A 5.0 %	B	Interest			Redeemed	12/15/11	K		
59. TX MUN GAS ACQ SPLY SER A 5.25 %	B	Interest	K	T					
60. COMCAST NEW NT 5.5%	A	Interest			Redeemed	03/15/11	K	A	
61. TRICON GLOBAL REST SR NT 8.875%	B	Interest			Redeemed	04/15/11	K		
62. ANCHORAGE AK ELEC UTIL REV RFD 4.5%	B	Interest			Redeemed	12/01/11	K		
63. JC PENNY NT 9.0%	B	Interest	K	T					
64. PEPSICO	A	Dividend	J	T					
65. TEVA PHARM INDS LTD ADRF	A	Dividend			Sold	12/19/11	J		
66. CBS CORP NEW GTD SR NT 5/15/2014 8.2%	B	Interest	K	T					
67. CHUBB CORP SR NT 4/1/2013 5.2%	B	Interest	K	T					
68. GENERAL MILLS NT 9/10/2012 5.654%	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. WISCONSIN ST SER A 5/1/2021 4.5%	B	Interest	K	T					
70. BROWARD CNTY FL SCH BD CTFS SER A 7/1/2013 3.5%	A	Interest	K	T					
71. JOHN DEERE CAP GLOBAL NT 1/15/2013 5.1%	B	Interest	K	T					
72. JEFFRIES GRP NEW SR NT 3/15/2012 7.75%	B	Interest			Redeemed	11/09/11	K		
73. KRAFT FDS 2/11/2013 6.0%	B	Interest			Redeemed	11/01/11	J	A	
74. NAT'L OILWELL VARCO SR NT 8/15/2015 6.125%	B	Interest	K	T					
75. PFIZER COM	A	Dividend	K	T					
76. PRINCIPAL FINL GRP ST NT 5/15/2014 7.875%	B	Interest	K	T					
77. WASTE MGMT SR NT 11/15/2012 6.375%	B	Interest	K	T					
78. FD AMERICAN SR NT 5/15/2013 5.875%	A	Interest			Sold	04/21/11	K	A	
79. VERIZON NY SR DEB SER A 4/1/2012 6.875%	B	Interest			Redeemed	11/28/11	K		
80. NAT'L AUSTRALIA BK LTD NT 5.75% 12/19/13	B	Interest			Redeemed	07/07/11	K	C	
81. ANHEUSER BUSCH GTD NT 9.75% 11/17/15	D	Interest	L	T					
82. ASPEN INS HLDGS SR NT 6.0% 8/15/14	B	Interest	K	T					
83. BB&T CORP SUB NT 4.9% 6/30/17	B	Interest	K	T					
84. TRANSOCEAN INC SR NT 5.25% 3/15/13	B	Interest	K	T					
85. TRANSOCEAN INC CONV NT 1.5% 12/15/37	A	Interest			Redeemed	12/16/11	K	B	

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86. DRESDNER BK AG NT 7.25% 9/15/15	B	Interest			Redeemed	05/11/11	K	A	
87. MERRILL LYNCH INC SUB NT 5.7% 5/2/17	A	Interest			Redeemed	03/29/11	K		
88. QUEST CORP FXD RT 7.625% 6/15/15	B	Interest	K	T					
89. AUSTRALIAN GVT NT 5.75% 4/15/12	B	Interest			Redeemed	07/07/11	K	C	
90. DONNELLEY & SONS CO RR SR NT 5.5% 5/15/15	B	Interest	K	T					
91. GATX CORP FXD RT SR NT 4.75% 5/15/15	B	Interest	K	T					
92. QUEST CORP NT 7.5% 10/1/14	A	Interest	K	T					
93. SEALED AIR CORP NEW SR NT 7.875% 6/15/17	B	Interest	K	T					
94. TEMPLETON GLOBAL BD FD ADVISOR	B	Dividend	K	T					
95. TOYOTA MTR CREDIT CORP 6.375% 1/18/11 -IRA	A	Interest			Redeemed	01/18/11	K		
96. ANDARKO PETE CORP SR NT 7.625% 3/15/14 - IRA	B	Interest	K	T					
97. AMEREM CORP SR NT 8.875% 5/15/14 - IRA	B	Interest	K	T					
98. DIRECT TV HLDGS LLC NT 6.375% 6/15/15 - IRA	A	Interest			Sold	06/15/11	K		
99. BUNGE LTD FIN CORP SR NT 5.1% 7/15/15 - IRA	B	Interest	K	T					
100. WILLIS NO AMERICAN INC SR NT 5.625% 7/15/15 - IRA	B	Interest	K	T					
101. ENDURANCE SPECIALTY HLDGS LTD SR NT 6.15% 10/15/15 - IRA	B	Interest	K	T					
102. UNITRIN INC FXD RT SR NT 6.0% 11/30/15 - IRA	A	Interest	K	T					

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103. HORACE MANN EDUCATORS CORP NEW SR NT 6.85% 4/15/16 - IRA	A	Interest	K	T					
104. ALLIED WORLD ASSURANCE 7.5% 8/1/16 - IRA	A	Interest	K	T					
105. FIDELITY NAT'L FINCL NEW SR NT 6.6% 5/15/17 - IRA	A	Interest	K	T					
106. DREYFUS TREASURY PRIME INV SHS MNY MKT	A	Interest	M	T					
107. BP PLC SPONS ADR - IRA	A	Interest			Sold	10/14/11	K		
108. TEMPLETON GLOBAL BD FD ADVISOR - IRA	B	Dividend	L	T					
109. TEMPLETON GLOBAL BD FD ADVISOR - IRA	B	Dividend	L	T					
110. SPROTT PHYSICAL SILVER TR - IRA		None			Sold	04/29/11	M	F	
111. DREYFUS TREAS PRIME INV SH - IRA	A	Interest	M	T					
112. CNA FINCL CORP NT 5.85% 12/15/14 - IRA	B	Interest	K	T					
113. CENTRAL GOLDTRUST TR UNIT - IRA		None	K	T					
114. U.S. TRASURY NTS 1.625% 1/15/18	A	Interest			Sold	08/31/11	M	D	
115. U.S. TRASURY NTS 1.375% 7/15/18	A	Interest			Sold	08/31/11	M	D	
116. PRUDENTIAL FINCL NTS 5.1% 12/14/11	A	Interest			Redeemed	12/14/11	K		
117. WALT DISNEY CO NTS 4.5% 12/15/13	A	Interest	K	T					
118. DAIMLER INT'L FIN BV 3.75% 6/10/14	A	Interest	K	T	Buy	07/21/11	K		
119. JOHNSON & JOHNSON COM	B	Dividend	L	T	Buy	01/05/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

COLE, JEFFREY N.

Date of Report

05/01/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VANGUARD SHT TERM BD INDEX SIGNAL	B	Dividend	M	T	Buy	01/24/11	M		
121. NABORS INDS LTD SHS		None			Buy	02/08/11	L		
122. NABORS INDS LTD SHS		None			Sold	08/05/11	K		
123. DIAMLER INT'L FIN BV 4.25% 4/22/14		None	K	T	Buy	06/06/11	K		
124. KOENIGREICH NORWEIGAN BD 6.5% 5/15/13	B	Interest	K	T	Buy	02/15/11	K		
125. INTER AMERICAN DEV BK 9.5% 1/6/14		None	K	T	Buy	01/20/11	K		
126. INT'L FINANCE CORP IFC 8.0% 9/17/12	B	Interest	K	T	Buy	01/11/11	K		
127. FIDELITY FLOATING RATE HI INC	C	Interest	M	T	Buy	01/21/11	M		
128. NOVARTIS AG ADR		None			Buy	08/12/11	K		
129. NOVARTIS AG ADR		None			Sold	08/15/11	K	A	
130. URANIUM PARTN CORP COM		None	K	T	Buy	03/18/11	K		
131. TEMPLETON GLOBAL FD ADVISOR	C	Dividend	K	T	Buy	02/07/11	K		
132. APPLESEED FD	A	Dividend	K	T	Buy	02/07/11	K		
133. APPLESEED FD		None			Sold (part)	12/01/11	J	A	
134. VANGUARD ST BD INDEX SIGNAL	A	Dividend	K	T	Buy	05/09/11	K		
135. TOTAL CAPITAL EMTN 6.5% 6/4/12	B	Interest	K	T	Buy	05/19/11	K		
136. BMW FINANCE NV 4.25% 4/15/14	A	Interest	K	T	Buy	07/07/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

COLE, JEFFREY N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. AUSTRALIA NTS 4.75% 11/15/12	A	Interest	K	T	Buy	05/09/11	K		
138. UBS AG AUSTRALIA BRCH NTS 6.25% 8/26/13	B	Interest			Buy	01/11/11	K		
139. UBS AG AUSTRALIA BRCH NTS 6.25% 8/26/13	A	Interest			Sold	07/11/11	K	B	
140. WACHOVIA CORP NTS 6.75% 05/25/12	B	Interest	K	T	Buy	02/22/11	K		
141. KING OF SWEDEN 5.5% 10/18/12	B	Interest	K	T	Buy	04/11/11	K		
142. HYUNDAI CAPITAL SVCS 2.0% 11/27/13	A	Interest	K	T	Buy	07/19/11	K		
143. THOMSON CORP DEB 5.2% 12/1/04	B	Interest	K	T	Buy	04/06/11	K		
144. AMERISOURCEBERGEN CORP SR NTS 5.625% - 9/15/12 - IRA		None	K	T	Buy	11/12/11	K		
145. MARRIOTT INT'L INC NEW NT SER J 5.625% 2/15/13 - IRA		None	K	T	Buy	11/03/11	K		
146. COMPUTER SCIENCES CORP SR NTS FIXED RT 5.5% 03/15/13 - IRA		None	K	T	Buy	11/22/11	K		
147. MONTPELIER RE HLDGS LTD SR NTS 6.125% 8/15/13 - IRA	A	Interest	K	T	Buy	04/19/11	K		
148. COMMERCIAL METALS CO SR NTS 5.625% 11/15/13 - IRA	A	Interest	K	T	Buy	08/29/11	K		
149. AMERICAN EXPRESS CR CORP MED TRM NTS 7.3% 8/20/13 - IRA	A	Interest	K	T	Buy	08/15/11	K		
150. FIDELITY NAT'L FINL INC NEW SR NTS FXD 6.6% 5/15/17 - IRA	A	Interest	K	T	Buy	03/31/11	K		
151. ORIX CORP NTS 5.0% 01/12/16 - IRA	A	Interest	K	T	Buy	01/19/11	K		
152. BEST BUY INC FXD RT NTS 3.75% 03/15/16 - IRA		None	K	T	Buy	12/21/11	K		
153. AVNET INC NTS 6.625% 9/15/16 - IRA		None	K	T	Buy	09/30/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. TRANSOCEAN INC SR NTS 1.5% 12/15/37	A	Interest	K	T	Buy	10/18/11	K		
155. AVON PRODUCTS INC COM - IRA	A	Dividend	K	T	Buy	10/27/11	K		
156. CENTRAL GOLDTRUST TR UNIT - IRA		None	L	T	Buy	04/06/11	L		
157. NOVARTIS AG ADR - IRA		None	K	T	Buy	11/18/11	K		
158. SEALED AIR CORP NEW COM - IRA	A	Dividend	K	T	Buy	08/31/11	K		
159. WEYERHAEUSER CO - IRA	A	Dividend	L	T	Buy	10/03/11	L		
160. CENTRAL FD CDA LTD CL A		None	K	T	Buy	03/24/11	K		
161. WINTERGREEN FD		None	K	T	Buy	05/13/11	K		
162. SPROTT PHYSICAL GOLD TR UNIT		None	M	T	Buy	04/29/11	M		
163. NEW ZEALAND NTS		None			Buy	06/14/11	K		
164. NEW ZEALAND NTS		None			Sold	11/15/11	K		
165.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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COLE, JEFFREY N.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

COLE, JEFFREY N.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JEFFREY N. COLE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544