

**FINANCIAL DISCLOSURE REPORT
FINAL FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Zimmerman, Bernard	2. Court or Organization Northern District of California	3. Date of Report 02/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 450 Golden Gate Avenue San Francisco CA 94102		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

self-employed attorney, defined benefit pension for retired San Francisco city employee

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. American Conference
Institute

July 30-31, 2012

New York City

speak at litigation seminars

transportation, hotel, meals

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Units, San Francisco CA	E	Rent	O	W					
2. IRA-Research in Motion common		None	K	T					
3. IRA-American Balanced	A	Dividend	K	T					
4. IRA-Bond Fund of America	A	Dividend	K	T					
5. IRA-Capital Income Builder	A	Dividend	K	T					
6. IRA-Capital World Bond Fund	A	Dividend	K	T	Sold (part)	5/29/12	K	A	
7. IRA-Capital World G&I	A	Dividend	K	T					
8. IRA-Europacific Growth	A	Int./Div.	J	T					
9. IRA-Growth Fund of America	A	Int./Div.	J	T	Sold (part)	5/29/12	J	A	
10. IRA-Income Fund of America	A	Int./Div.	K	T	Sold (part)	5/29/12	J	A	
11. IRA-New Perspectives Fund	A	Int./Div.	J	T					
12. IRA-New World Fund	A	Int./Div.	J	T					
13. IRA-Franklin Mutual Discover	A	Dividend	J	T					
14. IRA-Franklin Strategic Income	A	Int./Div.	J	T					
15. IRA-Franklin Templeton Founding FFALX	A	Int./Div.	J	T					
16. IRA-American Funds Short Term Bd Fund	A	Int./Div.	L	T	Buy (add'l)	5/29/12	K		
17. IRA-Templeton Mutual Series Shares Class A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA-Templeton Global Bond Fund	A	Int./Div.	J	T					
19. IRA-Templeton Real Return	A	Int./Div.	J	T					
20. IRA-American High Income Trust	A	Int./Div.	J	T					
21. IRA-American Funds Money Market Fund	A	Interest	L	T					
22. IRA- U MA Bldg Authority	A	Int./Div.	J	T					
23. IRA-Franklin Low Duration	A	Int./Div.	K	T	Buy (add'l)	5/29/12	J		
24. IRA-Mutual Global Discovery Fund	A	Int./Div.	J	T					
25. IRA-CA St Public Works	A	Int./Div.	J	T					
26. IRA-Ca School Dist. Build America bond	A	Int./Div.	J	T					
27. IRA-OppenheimerQuest Opp Value fund	A	Int./Div.	J	T					
28. IRA-Chesterfield Mi Bld Amer Bond	A	Int./Div.	J	T					
29. Eastern U Energy Cons. Bond	A	Int./Div.	J	T					
30. Schwab 1000 Index Fund	D	Dividend	L	T					
31. CA State Bond	B	Interest	K	T					
32. Cisco common	A	Dividend			Sold	12/31/12	J	A	
33. Vanguard Primecap	B	Dividend			Sold	12/31/12	L	E	
34. Vanguard IT Tax Exempt	D	Dividend	M	T	Buy	02/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard CA Tax Exempt MM	A	Dividend	M	T					
36. IRA-Vanguard Primecap	D	Dividend	N	T					
37. IRA-Vanguard Asset Allocation merged into Balanced Index	D	Dividend	N	T					
38. IRA-Vanguard Wellington	E	Dividend	O	T					
39. IRA-US Global Resources	B	Dividend	K	T					
40. IRA-Nuance (formerly Scansoft)		None	J	T					
41. IRA-Schwab Total Bd Mkt Fund	B	Dividend	L	T					
42. IRA-Schwab Money Market		None	J	T					
43. Univ of California Retirement Fund	A	Int./Div.	J	T					
44. Schwab checking	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

part vii

The value of the California bond, line 31 last year, was misreported. It should have been k. It is now correct.
The proceeds of the sale of Cisco and Primecap were deposited into Schwab checking.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zimmerman, Bernard

Date of Report

02/07/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bernard Zimmerman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544