

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 06/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Florence Public School District One
2. 2013	Dynamic Development, LLC
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TD Ameritrade	Margin account	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1.25 a Florence C, 72.3 a Marion C, SC (1/2011, \$60,000)		None	J	R					
2. 72.3 a Marion C, SC in item # 1 above. Buyer - Christopher Hayek		None			Sold	12/31/13	L	A	
3. Brokerage account # 1 (Marked as # 1)									
4. First Clearing, LLC - Cash balance		None	J	T					
5. # 1 - AT&T Inc	A	Int./Div.	J	T	Buy	08/14/13	J		
6. # 1 - Citigroup	A	Dividend			Sold (part)	04/15/13	J	A	
7.					Sold	04/15/13	J	A	
8. # 1 - Dragonwave, Inc.		None			Sold	12/17/13	J	A	
9. # 1 - Frontier Communications Corp	A	Dividend			Sold	04/15/13	J	A	
10. # 1 - Verizon Communications Com	A	Dividend	J	T					
11. # 1 - SC Jobs Econ DV Ath Stndt 4.25% 8/01/24 "Y"	A	Int./Div.	J	T					
12. # 1 - Call Sarepta Therapeutic \$35 Exp 2/16/13		None			Expired	02/16/13	J	A	
13. Brokerage account # 2 (Marked as # 2)									
14. # 2 - Cash balance -First Clearing, LLC	A	Int./Div.	J	T					
15. # 2 - Exxon Mobil Corp	A	Dividend	K	T					
16.					Sold (part)	08/01/13	J	B	
17. Brokerage account # 3 (Marked as # 3)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Rogers, III, Thomas E.

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18. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
19. #3 - Chesapeake Energy Corp Com		None			Buy	03/11/13	J		
20.					Sold	03/11/13	J	A	
21. #3 - Dragonwave Inc Com		None			Buy	01/10/13	J		
22.					Sold	03/04/13	J	A	
23.		None			Buy	03/29/13	J		
24.					Sold	03/04/13	J	A	
25. #3 - Infinity Pharmaceuticals Inc Com		None			Buy	06/03/13	J		
26.					Sold	06/03/13	J	A	
27.		None			Buy	06/03/13	J		
28.					Sold	06/03/13	J	A	
29.		None			Buy	06/03/13	J		
30.					Sold	06/03/13	J	A	
31. #3 - Integrated Silicon Sol Com		None			Buy	01/31/13	J		
32.					Sold	01/31/13	J	A	
33. #3 - Mantech International Corp Com		None			Buy	04/15/13	J		
34.					Sold	04/15/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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Rogers, III, Thomas E.

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35.		None			Buy	04/18/13	J		
36.					Sold	04/18/13	J	A	
37. #3 - Quicklogic Corporation Com		None			Buy	04/17/13	J		
38.					Sold	04/17/13	J	A	
39.		None			Buy (add'l)	04/17/13	J		
40.					Sold	04/17/13	J	A	
41. # 3 - Sarepta Therapeutics Inc formerly AVI BioPharma, Inc.		None			Sold	01/02/13	J	A	
42.		None			Buy	01/07/13	J		
43.					Sold	02/14/13	J	A	
44.		None			Buy (add'l)	01/08/13	J		
45.					Sold	02/14/13	J	A	
46.		None			Buy (add'l)	02/08/13	J		
47.					Sold	03/05/13	J	A	
48.		None			Buy (add'l)	02/11/13	J		
49.					Sold	03/05/13	J	A	
50.		None			Buy (add'l)	03/05/13	J		
51.					Sold	03/05/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

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52.		None			Buy (add'l)	03/05/13	J		
53.					Sold	03/25/13	J	A	
54.		None			Buy (add'l)	03/05/13	J		
55.					Sold	03/28/13	J	A	
56.		None			Buy (add'l)	03/06/13	J		
57.					Sold	03/28/13	J	A	
58.		None			Buy (add'l)	03/11/13	J		
59.					Sold	03/28/13	J	A	
60.		None			Buy (add'l)	03/20/13	J		
61.					Sold	04/11/13	J	A	
62.		None			Buy (add'l)	03/20/13	J		
63.					Sold	04/11/13	J	A	
64.		None			Buy (add'l)	03/28/13	J		
65.					Sold	04/12/13	J	A	
66.		None			Buy (add'l)	04/03/13	J		
67.					Sold	04/12/13	J	A	
68.		None			Buy (add'l)	04/03/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

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69.					Sold	04/22/13	J	A	
70.		None			Buy (add'l)	04/19/13	J		
71.					Sold	04/22/13	J	A	
72.		None			Buy (add'l)	04/19/13	J		
73.					Sold	05/03/13	J	A	
74.		None			Buy (add'l)	04/22/13	J		
75.					Sold	05/03/13	J	A	
76.		None			Buy (add'l)	04/22/13	J		
77.					Sold	05/03/13	J	A	
78.		None			Buy (add'l)	04/30/13	J		
79.					Sold	05/03/13	J	A	
80.		None			Buy (add'l)	04/30/13	J		
81.					Sold	05/03/13	J	A	
82.		None			Buy (add'l)	05/08/13	J		
83.					Sold	05/08/13	J	A	
84.		None			Buy (add'l)	05/10/13	J		
85.					Sold	05/10/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Rogers, III, Thomas E.

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86.		None			Buy (add'l)	05/15/13	J		
87.					Sold	05/16/13	J	A	
88.		None			Buy (add'l)	05/17/13	J		
89.					Sold	05/17/13	J	A	
90.		None			Buy (add'l)	05/20/13	J		
91.					Sold	05/21/13	J	A	
92.		None			Buy (add'l)	05/29/13	J		
93.					Sold	05/30/13	J	A	
94.		None			Buy (add'l)	05/30/13	J		
95.					Sold	05/31/13	J	A	
96. # 3 - Skyworks Solutions Inc. Com		None			Sold	01/18/13	J	A	
97.		None			Buy (add'l)	01/31/13	J		
98.					Sold	02/06/13	J	A	
99. First Federal checking		None	J	T					
100. NBSC (also called National Bank of South Carolina)	A	Interest	K	T					
101. Wells Fargo , NA	A	Interest	J	T					
102. Equitable Variable: EQ Agresssive (whole life policy)		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. Jefferson-Pilot Adjustable Life policy		None	J	T					
104. UGMA # A (Marked as # A)									
105. # A - Capital World Growth & Income Fund Inc Cl C	A	Dividend	J	T					
106. # A - First Clearing, LLC - Cash balance	A	Interest	J	T					
107. # A American Fds Washington Mut (Edu Svgs Acct)(no control)	A	Int./Div.	J	T					
108. UGMA # A, Trust 1 (Marked as #AT1)									
109. # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
110. # AT1 - AT&T Inc. (T)	A	Dividend	J	T	Buy	08/14/13	J		
111. # AT1 - Russell Invt Co Multi-strategy Alternative Fd Cl S (RMSSX)		None	J	T					
112. # AT1 - Russell Invt Co Global Infrastructure Fd Class S (RGISX)	A	Dividend	J	T					
113. # AT1 - Russell Invt Co Commodity Strategies Fd Cl S (RCCSX)	A	Dividend	J	T					
114. # AT1 - Russell Invt Co Global Opportunistic Cr Fd Cl S (RGCSX)		None	J	T					
115. # AT1 - Russell Emerging Mkts Cl S (REMSX)	A	Dividend	J	T					
116. # AT1 Russell Invt Co Global Real Estate Secs Fd Cl S (RRESX)	A	Dividend	J	T					
117. # AT1 - Russell Gbl Eqty Class S (RGESX)	A	Dividend	J	T					
118. # AT1 - Russell US Small Cap Equity Class S (RLESX)	A	Dividend	J	T					
119. #AT1 - Russell Intl Developd Mkts Fd Class S (RINTX)	A	Dividend	J	T					

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Page 11 of 13

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. # AT1 - Russell Invst Co US Strategic Equity Fd Class S (RSESX)	A	Dividend	J	T					
121. UGMA # B (Marked as # B)									
122. # B - Capital World Growth & Income Fund Inc. Cl C	A	Dividend	J	T					
123. # B First Clearing, LLC - Cash balance	A	Interest	J	T					
124. # B Columbia Mgmt Futre Schol 529 College Svng Pl (no control)	A	Int./Div.	J	T					
125. # B - BP PLC stock	A	Dividend	J	T					
126.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County, 72.13 acres Marion County, SC received no rental income for the year therefore, column B(2) is "None". The basis as originally reported, (1/2011, \$60,000) was divided as follows: 1.25 A Florence C (1/2011, \$10,000), 72.3 a Marion C, SC (1/2011, \$50,000). The 72.13 acres Marion County, SC was sold on 12/31/2013 to Christopher Hayek. The 1.25 a Florence C (1/2011 \$10,000) is still owned at 12/31/2013.

Part VII, lines 4, 14, 18, 106, and 109 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 3, 13, 17, 104, 108 and 121 are header lines for the investments listed below the specific line.

Part VII, line 21, Dragonwaver, Inc com was initially purchased on 1/10/2013 and was sold on 3/4/13 therefore, none of this security was owned at 12/31/2013. No dividend income was received on this security.

Part VII, line 67, # A American Fds Washington Mut (Edu Svgs Acct) (no control). The fund is American Funds, Washington Mutual Investors, Fund - A, which is an educational savings account. (no control)

Part VII, line 92, # B Columbia Mgmt Futre Schol 529 Collge Svg Pl (no control. This is the Columbia Management Future Scholar 529 College Savings Plan. The funds are invested in the Columbia Moderate Growth A. (no control).

Part VII, line 118, # AT1 - Russell Us Sml Mid Cap Class S changed thier name to #AT1 - Russell US Small Cap Equity Class S (RLESX).

Part VII, lines 111 - 120, The stock or mutual fund symbol was added to the description to ease in future identification.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

06/03/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas E. Rogers, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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