

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kobayashi,, Leslie E.	2. Court or Organization USDC, District of Hawaii	3. Date of Report 01/24/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 300 Ala Moana Blvd. Rm. C-353 Honolulu, Hawaii 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	State of Hawaii, Judiciary - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institute	02/01/13-02/02/13	New York City, New York	Continuing Legal Education Speaker	Air and ground transportation, hotel, and meals
2. American Conference Institute	09/29/13-09/30/13	San Francisco, CA	Continuing Legal Education Speaker	Air and ground transportation, hotel, and meals
3. Federal Bar Association - Hawaii Chapter	09/25/13-09/28/13	San Juan, Puerto Rico	Chapter Judge Representative	Air and ground transportation, hotel, and meals
4.				
5.				

Name of Person Reporting Kobayashi,, Leslie E.	Date of Report 01/24/2015
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	City Bank	Line of Credit	J
2.	First Hawaiian Bank	Line of Credit	J
3.	VISA	Credit Card	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Rollover	C	Int./Div.	J	T					
2. - Morgan Stanley Bank	A	Int./Div.	J	T					
3. - MS Liquid Asset Fund	A	Interest	J	T					
4. -Abbott Laboratories			J	T	Buy	01/11/13	J		
5. Apple Inc.	A	Dividend			Sold (part)	01/08/13	J	A	
6.					Sold	06/25/13	J		
7. -AIM Developing Markets A									
8. -Agilent Technologies					Buy	04/22/13	J		
9. -ALPS ETF TR Rivfrmt STR Inc	A	Dividend	J	T	Buy	01/11/13	J		
10.					Buy (add'l)	12/09/13	J		
11.					Buy (add'l)	12/17/13	J		
12. - Allianz NFJ SM-CAP Value A									
13. -Altria Group Inc 8500	A	Interest	J	T					
14. - Amer Cent Intl Grw Adv									
15. American Express	A	Dividend	J	T					
16. - American EuroPacafic Grw F									
17. - Amercian GR FD of America F1									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BCE Inc	A	Dividend	J	T					
19. - Blackrock Bond PTF SVC (fna "Blackrock Intrm Bond Svc")			J	T					
20. - Blackrock Equity Dividend A									
21. -CA Incorporated			J	T					
22. -Chevron Corp		None	J	T					
23. -Chubb Corp		None	J	T					
24. -Colgate Palmolive		None	J	T	Buy	01/11/13	J		
25. -CONOCO Philips		None	J	T					
26. -CONS Discret Sel Sect SPDR FD		None	J	T					
27. -Credit Suisse	A	Interest	J	T	Buy (add'l)	02/15/13	J		
28. -Cummins Inc		None	J	T					
29. -CVS Caremark Corp		None	J	T	Buy	01/11/13	J		
30. -Discover Financial Services		None			Buy	06/11/13	J		
31. -Dollar Tree Inc		None	J	T	Buy	01/11/13	J		
32. - Dryden Sh Term Corp BD A									
33. - DWS Large Cap Value A									
34. - DWS Dreman Small Cap Val A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - DWS RREEF Real Estate Sec A									
36. -Energy Sel Select SPDR FD	A	Dividend	J	T					
37. -Engility Holdings Inc									
38. -E V Global Macro ABS Ret C		None			Buy	03/25/13	L		
39. - Fidelity Adv Lvkd Cmpny Stk A									
40. -Flexshares Qualt Divid IDX ETF		None			Buy	01/11/13	J		
41. -FR TR DJ Select Micro Cap ETF	A	Dividend	J	T	Buy	01/11/13	J		
42. -Global X MLP & Energy Infr ETF	A	Dividend	J	T	Buy	11/08/13	J		
43. -Guggenheim Insider Sentiment E		None	J	T	Buy	11/08/13	J		
44. Guggenheim S&P 500 EQ Weight		None	J	T	Buy	01/11/13	J		
45.					Buy (add'l)	07/01/13	J		
46. -Hasbro Inc		None							
47. Health Care Sel Sect SPDR FD	A	Dividend	J	T					
48. -iShares Barclays MBS Bond Fund	A	Dividend	J	T	Buy (add'l)	01/22/13	J		
49. -iShares DJ US Fin Svc Comp	A	Dividend	J	T	Sold (part)	01/29/13	J	A	
50. -iShares MSCI EAFE Fund		None	J	T	Buy	02/05/13	J		
51. -iShares IBoxx \$ H/Y Corp BD	A	Dividend			Sold (part)	06/11/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	07/01/13	J	B	
53.					Sold (part)	06/26/13	J	A	
54.					Sold	07/02/13	J	D	
55. -iShares JP Morgan EM Bond FD	A	Dividend			Sold	01/30/13	J	A	
56. -iShares Morningstart Lrg Cap Val	A	Dividend	J	T	Buy	07/01/13	J		
57. -iShares MSCI Asia Ex-Japan ETF	A	Dividend	J	T	Buy	11/08/13	J		
58.					Sold (part)	12/17/13	J	D	
59. -iShares MSCI EAFE ETF			J	T	Buy	11/08/13	J		
60. -iShares MSCI EAFE Val ETF			J	T	Buy	11/08/13	J		
61. -iShares MSCI Canada Index Fund			J	T	Buy	02/05/13	J		
62. -iShares MSCI Germany Index FD			J	T					
63. iShares MSCI Emerging Mkts FD		None	J	T					
64. -iShares MSCI Japan ETF		None	J	T	Buy	07/08/13	J		
65. iShares MSCI PAC Ex-JPN Idx		None	J	T					
66.					Sold (part)	07/08/13	J		
67. -iShares MSCI Suoth Korea CP ETF		None	J	T	Buy	11/08/13	J		
68. -iShares MSCI United Kingdom ETF		None	J	T	Buy	11/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -iShares S&P Midcap 400 Index		None	J	T					
70. -iShares SP Smallcap 600 Index		None	J	T					
71. -iShares Morningstar LRG V		None			Buy	06/11/13	J		
72. -Invesco Constellation A	A	Int./Div.	J	T	Sold (part)	03/20/13	J	B	
73. -Invesco Developing Markets A		None							
74. -Invesco Diversified Dividend A		None			Sold	03/20/13	J	A	
75. -Invesco Global Core Equity A	A	Dividend	J	T	Sold (part)	03/20/13	J	A	
76. -Invesco Premier PTF INSTL		None							
77. - Ivy Large Cap Grw A		None							
78. - Jennison Mid Cap Growth A		None							
79. -Johnson & Johnson	A	Dividend	J	T					
80. -JP Morgan Chase & Co	A	Interest	J	T	Sold (part)	12/06/13	J	D	
81. -JPM Alerian MLP	A	Distribution	J	T					
82. -KLA Tencor Corp	A	Dividend	J	T					
83. -L-3 Communications Holding Inc.			J	T					
84. - Lazard Emerging Markets Open									
85. - Legg Mason Ptners GL Hiyld Bd A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Legg Mason WA Glb HIY BD A									
87. - Managers AMG ESS SM/MI CP GR A									
88. -Mainstay Icap Select Equity A									
89. -Mainstay Large Cap Grw A			J						
90. Merck & Co Inc New York	A	Dividend	J	T	Sold (part)	01/03/13	J	A	
91. -Metlife Incorporated					Sold	02/27/13	J	A	
92. -Meadwestvaco Corp	A	Dividend	J	T					
93. - MFS Limited Maturity A (Mutual Fund)	A	Dividend	J	T					
94. - MFS Value A									
95. -MFS Focus Growth FD A									
96. -Microsoft Corp									
97. -Moody's Corp	A	Dividend	J	T					
98. -MSIFT Global Strategist H	A	Dividend	J	T					
99. Nordstrom Inc		None			Sold	02/15/13	J	A	
100. -Nuveen NWQ Large Cap Value A									
101. Occidental Petroleum Copr DE	A	Dividend	J	T					
102. - Perkins MID CAP VAL INV									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -PIMCO 0-5 H/Y Corp Bond	A	Dividend	J	T	Buy	01/15/13	J		
104.					Buy (add'l)	07/02/13	J		
105. - PIMCO Low Duration FD A	A	Dividend	J	T					
106. - PIMCO Real Return A	A	Dividend	J	T					
107. -Pfizer Inc	A	Dividend	J	T					
108. -Phillips 66 Com	A	Distribution	J	T					
109. -PNC FINL SVCS GRP	A	Dividend	K	T					
110. -Powershares ETF TR Dyna BUYBK	A	Dividend	J	T	Buy	01/08/13	J		
111. -Powershares Fndmtl H/Y Corp	A	Dividend	J	T	Buy (add'l)	01/08/13	J		
112.					Sold (part)	02/25/13	J	A	
113. -Powreshares GLB ETF TR DEV MKT	A	Dividend	J	T	Buy	01/28/13	J		
114. -Powershares Intl Div ACH					Sold	01/23/13	J	A	
115. -Powershares S&P 500 High Beta	A	Dividend	J	T	Buy (add'l)	02/05/13	J		
116. -Powershares S&P 500 Low Vola	A	Dividend			Sold (part)	01/29/13	J	A	
117.					Buy (add'l)	04/16/13	J		
118.					Sold (part)	08/07/13	J	A	
119.					Sold	08/14/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Powershares Senior Loan Port	A	Dividend	J	T	Sold (part)	12/09/13	J	B	
121. -Prudential Jennison MD CP GW A									
122. -Prudential Sht Trm Corp BD A	A	Dividend	J	T					
123. Qualcomm Inc		None			Sold	01/16/13	J	A	
124. - Safeway Inc. (Common Stock)	A	Int./Div.	J	T	Sold (part)	03/20/13	J	A	
125. - Seligman Emerging Markets A									
126. -Shell Intl Fin					Sold	12/06/13	J	D	
127. -SPDR Barclays Capital High YIE	A	Dividend			Sold (part)	01/30/13	J	A	
128.					Sold (part)	02/11/13	J	A	
129.					Sold (part)	06/11/13	J	A	
130.					Sold (part)	07/01/13	J	A	
131.					Sold	07/8/13	J	A	
132. -SPDR Barcap Short Term Hi Yield			J	T	Buy	02/25/13	J		
133.					Buy (add'l)	07/02/13	J		
134. -SPDR DJ Wilshire REIT ETF	A	Dividend			Sold	01/15/13	J	A	
135. -SPDR S&P Insurance ETF			J	T	Buy	11/08/13	J		
136. -SPDR Trust Series I			J	T	Buy	07/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - T Rowe Price Mid Cap Gr Adv									
138. -SPDR S&P Insurance ETF					Buy	04/03/13	J		
139.					Sold (part)	06/06/13	J	A	
140. - Templeton Foreign Fund A									
141. TimeWarner Inc New	A	Dividend	J	T	Sold (part)	01/16/13	J	A	
142.					Sold (part)	08/14/13	J	A	
143. - TCW Total Ret DB N	A	Dividend	J	T					
144. - Threadneedle Emerg Mkts A									
145. Tiffany & Company		None	J	T					
146. UBS E-Tracs Aleri	A	Interest	J	T	Sold (part)	08/07/13	J	A	
147. UnitedHealth GP Inc		None			Sold	01/08/13	J		
148. US Bancorp	A	Int./Div.	J	T					
149. Utilites Sel Sect SPDR Fund	A	Dividend			Sold	01/29/13	J	A	
150. Vanguard Consum Staples ETF		None	J	T	Sold (part)	01/16/13	J	A	
151.					Sold (part)	04/22/13	J	A	
152. Vanguard Dividend Appreciation	A	Distribution	J	T	Buy (add'l)	03/20/13	J		
153.					Sold (part)	06/11/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/09/13	J		
155.					Sold (part)	06/06/13	J	A	
156. Vanguard Info Tech ETF		None	J	T	Buy	01/11/13	J		
157. Vanguard MSCI Emerging Mkts		None			Sold	06/20/13	J	A	
158. -Vanguard European MSCI ETF	A	Dividend	J	T	Sold (part)	02/11/13	J	A	
159.					Sold (part)	02/14/13	J	A	
160. -Vanguard FTSE Emerging Markets					Buy	01/11/13	J		
161.					Sold (part)	06/17/13	J		
162.					Sold (part)	06/20/13	J		
163.					Sold	07/01/13	J	A	
164.					Sold (part)	06/26/13	J		
165. -Vanguard Industrial ETF	A	Dividend	J	T					
166. -Vanguard Info Tech ETF	A	Dividend	J	T	Buy	11/08/13	J		
167. -Vanguard Short-Term Corporate	A	Dividend	J	T	Buy (add'l)	01/18/13	J		
168.					Buy (add'l)	02/11/13	J		
169. -Wal Mart Stores Inc									
170. - Western Asset Core Plus BD FI	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Wisdom Tree Trust Emrg Mkt EQT			J	T	Buy	03/20/13	J		
172. -Wisdom Tree European Hedged Equit	A	Dividend	J	T	Buy	01/11/13	J		
173. -Wisdom Tree European MSCI ETF	A	Dividend	J	T	Buy	01/11/13	J		
174. Wisdom Tree LG Cap Div ETF	A	Dividend	J	T	Buy (add'l)	02/01/13	J		
175.					Buy (add'l)	02/04/13	J		
176.					Buy (add'l)	04/26/13	J		
177.					Buy (add'l)	07/01/13	J		
178.					Sold (part)	08/14/13	J	A	
179. Wisdom Tree Trust Japan	A	Dividend	J	T	Buy (add'l)	03/20/13	J		
180.					Buy (add'l)	02/14/13	J		
181.					Sold (part)	07/08/13	J		
182. Wisdom Tree Trust Japn Hedge EQ		None	J	T	Buy	11/08/13	J		
183. Wisdom Tree Trust Emerg Mkt Eqt	A	Dividend	J	T	Buy	03/20/13	J		
184. Honolulu FCU	A	Interest	J	T					
185. McDonald's Corp. (Common Stock)	A	Dividend	J	T					
186. Coverdell ESA	A	Int./Div.	J	T					
187. - MS Liquid Asset Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - MS Focus Growth FD A	A	Dividend	J	T	Sold (part)	03/20/13	K	A	
189. Morgan Stanley Smith Barney UTMA Account	A	Int./Div.	J	T					
190. - MS Liquid Asset Fund	A	Int./Div.	J	T					
191. - Morgan Stanley Bank	A	Interest	J	T					
192. - Walt Disney Co Hldg Co.	A	Dividend	J	T					
193. -Virtus Strategic Grw A (Mutual Fund)	A	Dividend	J	T					
194. IRA Account	A	Int./Div.	K	T					
195. - MS Liquid Asset Fund	A	Dividend	J	T					
196. - Morgan Stanley Bank	A	Interest	J	T					
197. - AIM Constellation A									
198. - Allianz NFJ Intl Value A									
199. - American Century Growth Advantage									
200. - DWS Real Estate Sec A (A Mutual Fund)									
201. - Enterprise Group Fund Growth PT									
202. - Fidelity Adv Lvgd Company Stk A									
203. - Focus Growth Fund B									
204. - IM Enterprise Growth FD Cl A									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Invesco Constellation A									
206. -Invesco Diversified Dividend A									
207. - Invesco Div GW Securities A									
208. -Invesco Global Core Equity A									
209. - Invesco GLB DIV GW Secur B									
210. - MS Capital Opportunities TR A									
211. - MS Dividend Grwth Securities									
212. - MS Focus Growth FD A									
213. - MS Global Dividend -Grwth Sec B(fkn as MSDW)									
214. - MS Strategist Fund (fkn as MSDW)									
215. - MSDW Dividend Growth D									
216. - MS Global Advantage Fund A									
217. - MS Global Dividend Grwth Sec D									
218. -MS Global Strategist Fund A	A	Dividend	J	T					
219. - MS Mid-Cap Growth B									
220. - MS Multi Cap Growth Tr A	A	Dividend	J	T	Sold (part)	03/20/13	K	A	
221. - MFS Value A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - MS Limited Maturity Fund A (Mutual Fund)									
223. - MSDW Dividend Growth Fund B									
224. - MSDW Small Cap Growth Fund B									
225. - MSDW Aggressive Equity Fund B									
226. - MSDW Competitive Edge Fund									
227. - MSDW Am Oppoturnity Fund									
228. - MSDW Growth Securities B									
229. - MSDW Global Dividend Growth D									
230. - MSDW Competitive Edge Bip B									
231. - Perkins Mid Cap Val Div									
232. - Pimco Low Duration FD A									
233. - Pimco Small Cap Value Fd Cl A									
234. - Phoenix AI-Cap Growth									
235. - Phoenix Engermann Growth A									
236. - Salomon Hi Yld BD FD Cl A									
237. - Strategist Fund A									
238. -General Electric Co. (stock)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Gen Elec CAP Corp 2950			J	T					
240. -ING ESII Annuity	A	Int./Div.	M	T					
241. -CollegeBoundfund - CBF Aggressive Growth 2002-04 ALT C	A	Int./Div.	J	T					
242. -Goldman Sachs Grp Inc Medium Term Notes	A	Interest	J	T					
243. -Honolulu Waterwater Sys Bond	A	Int./Div.	K						
244. -MS Equally Weighted S&P 500 C									
245. -United States Savings Bonds Series EE	A	Int./Div.	J	T					
246. -Wells Fargo Bank	A	Interest	J	T					
247. IRA Rollover	C	Int./Div.	J	T					
248. -Morgan Stanley Bank	A	Int./Div.	J	T					
249. -MS Liquid Asset Fund	A	Interest	J	T					
250. -Abbott Laboratories			J	T	Buy	01/11/13	J		
251. Apple Inc.	A	Dividend			Sold (part)	01/08/13	J	A	
252.					Sold	06/25/13	J		
253. -AIM Developing Markets A									
254. -Agilent Technologies					Buy	04/22/13	J		
255. -ALPS ETF TR Rivrfrut STR Inc	A	Dividend	J	T	Buy	01/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	12/09/13	J		
257.					Buy (add'l)	12/17/13	J		
258. - Allianz NFJ SM-CAP Value A									
259. -Altria Group Inc 8500	A	Interest	J	T					
260. - Amer Cent Intl Grw Adv									
261. American Express	A	Dividend	J	T					
262. - American EuroPacafic Grw F									
263. - Amercian GR FD of America F1									
264. BCE Inc	A	Dividend	J	T					
265. - Blackrock Bond PTF SVC (fma "Blackrock Intrm Bond Svc")			J	T					
266. - Blackrock Equity Dividend A									
267. -CA Incorporated			J	T					
268. -Chevron Corp		None	J	T					
269. -Chubb Corp		None	J	T					
270. -Colgate Palmolive		None	J	T	Buy	01/11/13	J		
271. -CONOCO Philips		None	J	T					
272. -CONS Discret Sel Sect SPDR FD		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Credit Suisse	A	Interest	J	T	Buy (add'l)	02/15/13	J		
274. -Cummins Inc		None	J	T					
275. -CVS Caremark Corp		None	J	T	Buy	01/11/13	J		
276. -Discover Financial Services		None			Buy	06/11/13	J		
277. -Dollar Tree Inc		None	J	T	Buy	01/11/13	J		
278. -Dryden Sh Term Corp BD A									
279. -DWS Large Cap Value A									
280. -DWS Dreman Small Cap Val A									
281. -DWS RREEF Real Estate Sec A									
282. -Energy Sel Select SPDR FD	A	Dividend	J	T					
283. -Engility Holdings Inc									
284. -E V Global Macro ABS Ret C		None			Buy	03/25/13	L		
285. -Fidelity Adv Lvkd Company Stk A									
286. -Flexshares Qualt Divid IDX ETF		None			Buy	01/11/13	J		
287. -FR TR DJ Select Micro Cap ETF	A	Dividend	J	T	Buy	01/11/13	J		
288. -Global X MLP & Energy Infr ETF	A	Dividend	J	T	Buy	11/08/13	J		
289. -Guggenheim Insider Sentiment E		None	J	T	Buy	11/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$5,001,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Guggenheim S&P 500 EQ Weight		None	J	T	Buy	01/11/13	J		
291.					Buy (add'l)	07/01/13	J		
292. -Hasbro Inc		None							
293. Health Care Sel Sect SPDR FD	A	Dividend	J	T					
294. -iShares Barclays MBS Bond Fund	A	Dividend	J	T	Buy (add'l)	01/22/13	J		
295. -iShares DJ US Fin Svc Comp	A	Dividend	J	T	Sold (part)	01/29/13	J	A	
296. -iShares MSCI EAFE Fund		None	J	T	Buy	02/05/13	J		
297. -iShares iBoxx \$ H/Y Corp BD	A	Dividend			Sold (part)	06/11/13	J	A	
298.					Sold (part)	07/01/13	J	B	
299.					Sold (part)	06/26/13	J	A	
300.					Sold	07/02/13	J	D	
301. -iShares JP Morgan EM Bond FD	A	Dividend			Sold	01/30/13	J	A	
302. -iShares Morningstar Lrg Cap Val	A	Dividend	J	T	Buy	07/01/13	J		
303. -iShares MSCI Asia Ex-Japan ETF	A	Dividend	J	T	Buy	11/08/13	J		
304.					Sold (part)	12/17/13	J	D	
305. -iShares MSCI EAFE ETF			J	T	Buy	11/08/13	J		
306. -iShares MSCI EAFE Val ETF			J	T	Buy	11/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -iShares MSCI Canada Index Fund			J	T	Buy	02/05/13	J		
308. -iShares MSCI Germany Index FD			J	T					
309. iShares MSCI Emerging Mkts FD		None	J	T					
310. -iShares MSCI Japan ETF		None	J	T	Buy	07/08/13	J		
311. iShares MSCI PAC Ex-JPN Idx		None	J	T					
312.					Sold (part)	07/08/13	J		
313. -iShares MSCI Suoth Korea CP ETF		None	J	T	Buy	11/08/13	J		
314. -iShares MSCI United Kingdom ETF		None	J	T	Buy	11/08/13	J		
315. -iShares S&P Midcap 400 Index		None	J	T					
316. -iShares SP Smallcap 600 Index		None	J	T					
317. -iShares Morningstar LRG V		None			Buy	06/11/13	J		
318. -Invesco Constellation A	A	Int./Div.	J	T	Sold (part)	03/20/13	J	B	
319. -Invesco Developing Markets A		None							
320. -Invesco Diversified Dividend A		None			Sold	03/20/13	J	A	
321. -Invesco Global Core Equity A	A	Dividend	J	T	Sold (part)	03/20/13	J	A	
322. -Invesco Premier PTF INSTL		None							
323. - Ivy Large Cap Grw A		None							

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Jennison Mid Cap Growth A		None							
325. -Johnson & Johnson	A	Dividend	J	T					
326. -JP Morgan Chase & Co	A	Interest	J	T	Sold (part)	12/06/13	J	D	
327. -JPM Alerian MLP	A	Distribution	J	T					
328. -KLA Tencor Corp	A	Dividend	J	T					
329. -L-3 Communications Holding Inc.			J	T					
330. - Lazard Emerging Markets Open									
331. - Legg Mason Ptners GL Hiyld Bd A	A	Dividend	J	T					
332. - Legg Mason WA Glb HIY BD A									
333. - Managers AMG ESS SM/MI CP GR A									
334. -Mainstay Icap Select Equity A									
335. -Mainstay Large Cap Grw A			J						
336. Merck & Co Inc New York	A	Dividend	J	T	Sold (part)	01/03/13	J	A	
337. -Metlife Incorporated					Sold	02/27/13	J	A	
338. -Meadwestvaco Corp	A	Dividend	J	T					
339. - MFS Limited Maturity A (Mutual Fund)	A	Dividend	J	T					
340. - MFS Value A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -MFS Focus Growth FD A									
342. -Microsoft Corp									
343. -Moodys Corp	A	Dividend	J	T					
344. -MSIFT Global Statelist H	A	Dividend	J	T					
345. Nordstrom Inc		None			Sold	02/15/13	J	A	
346. -Nuveen NWQ Large Cap Value A									
347. Occidental Petroleum Copr DE	A	Dividend	J	T					
348. -Perkins MID CAP VAL INV									
349. -PIMCO 0-5 H/Y Corp Bond	A	Dividend	J	T	Buy	01/15/13	J		
350.					Buy (add'l)	07/02/13	J		
351. - PIMCO Low Duration FD A	A	Dividend	J	T					
352. - PIMCO Real Return A	A	Dividend	J	T					
353. -Pfizer Inc	A	Dividend	J	T					
354. -Phillips 66 Com	A	Distribution	J	T					
355. -PNC FINL SVCS GRP	A	Dividend	K	T					
356. -Powershares ETF TR Dyna BUYBK	A	Dividend	J	T	Buy	01/08/13	J		
357. -Powershares Fndmtl H/Y Corp	A	Dividend	J	T	Buy (add'l)	01/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	02/25/13	J	A	
359. -Powreshares GLB ETF TR DEV MKT	A	Dividend	J	T	Buy	01/28/13	J		
360. -Powershares Intl Div ACH					Sold	01/23/13	J	A	
361. -Powershares S&P 500 High Beta	A	Dividend	J	T	Buy (add'l)	02/05/13	J		
362. -Powershares S&P 500 Low Vola	A	Dividend			Sold (part)	01/29/13	J	A	
363.					Buy (add'l)	04/16/13	J		
364.					Sold (part)	08/07/13	J	A	
365.					Sold	08/14/13	J	A	
366. -Powershares Senior Loan Port	A	Dividend	J	T	Sold (part)	12/09/13	J	B	
367. -Prudential Jennison MD CP GW A									
368. -Prudential Sht Trm Corp BD A	A	Dividend	J	T					
369. Qualcomm Inc		None			Sold	01/16/13	J	A	
370. - Safeway Inc. (Common Stock)	A	Int./Div.	J	T	Sold (part)	03/20/13	J	A	
371. - Seligman Emerging Markets A									
372. -Shell Intl Fin					Sold	12/06/13	J	D	
373. -SPDR Barclays Capital High YIE	A	Dividend			Sold (part)	01/30/13	J	A	
374.					Sold (part)	02/11/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	06/11/13	J	A	
376.					Sold (part)	07/01/13	J	A	
377.					Sold	07/8/13	J	A	
378. -SPDR Barcap Short Term Hi Yield			J	T	Buy	02/25/13	J		
379.					Buy (add'l)	07/02/13	J		
380. -SPDR DJ Wilshire REIT ETF	A	Dividend			Sold	01/15/13	J	A	
381. -SPDR S&P Insurance ETF			J	T	Buy	11/08/13	J		
382. -SPDR Trust Series I			J	T	Buy	07/01/13	J		
383. - T Rowe Price Mid Cap Gr Adv									
384. -SPDR S&P Insurance ETF					Buy	04/03/13	J		
385.					Sold (part)	06/06/13	J	A	
386. - Templeton Foreign Fund A									
387. TimeWarner Inc New	A	Dividend	J	T	Sold (part)	01/16/13	J	A	
388.					Sold (part)	08/14/13	J	A	
389. - TCW Total Ret DB N	A	Dividend	J	T					
390. - Threadneedle Emerg Mkts A									
391. Tiffany & Company		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. UBS E-Tracs Aler	A	Interest	J	T	Sold (part)	08/07/13	J	A	
393. UnitedHealth GP Inc		None			Sold	01/08/13	J		
394. US Bancorp	A	Int./Div.	J	T					
395. Utilites Sel Sect SPDR Fund	A	Dividend			Sold	01/29/13	J	A	
396. Vanguard Consum Staples ETF		None	J	T	Sold (part)	01/16/13	J	A	
397.					Sold (part)	04/22/13	J	A	
398. Vanguard Dividend Appreciation	A	Distribution	J	T	Buy (add'l)	03/20/13	J		
399.					Sold (part)	06/11/13	J	C	
400.					Buy (add'l)	05/09/13	J		
401.					Sold (part)	06/06/13	J	A	
402. Vanguard Info Tech ETF		None	J	T	Buy	01/11/13	J		
403. Vanguard MSCI Emerging Mkts		None			Sold	06/20/13	J	A	
404. -Vanguard European MSCI ETF	A	Dividend	J	T	Sold (part)	02/11/13	J	A	
405.					Sold (part)	02/14/13	J	A	
406. -Vanguard FTSE Emerging Markets					Buy	01/11/13	J		
407.					Sold (part)	06/17/13	J		
408.					Sold (part)	06/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 34

Name of Person Reporting

Kobayashi, Leslie E.

Date of Report

01/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold	07/01/13	J	A	
410.					Sold (part)	06/26/13	J		
411. -Vanguard Industrial ETF	A	Dividend	J	T					
412. -Vanguard Info Tech ETF	A	Dividend	J	T	Buy	11/08/13	J		
413. -Vanguard Short-Term Corporate	A	Dividend	J	T	Buy (add'l)	01/18/13	J		
414.					Buy (add'l)	02/11/13	J		
415. -Wal Mart Stores Inc									
416. - Western Asset Core Plus BD FI	A	Dividend	J	T					
417. -Wisdom Tree Trust Emrg Mkt EQT			J	T	Buy	03/20/13	J		
418. -Wisdom Tree European Hedged Equit	A	Dividend	J	T	Buy	01/11/13	J		
419. -Wisdom Tree European MSCI ETF	A	Dividend	J	T	Buy	01/11/13	J		
420. Wisdom Tree LG Cap Div ETF	A	Dividend	J	T	Buy (add'l)	02/01/13	J		
421.					Buy (add'l)	02/04/13	J		
422.					Buy (add'l)	04/26/13	J		
423.					Buy (add'l)	07/01/13	J		
424.					Sold (part)	08/14/13	J	A	
425. Wisdom Tree Trust Japan	A	Dividend	J	T	Buy (add'l)	03/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	02/14/13	J		
427.					Sold (part)	07/08/13	J		
428. Wisdom Tree Trust Japn Hedge EQ		None	J	T	Buy	11/08/13	J		
429. Wisdom Tree Trust Emerg Mkt Eqt	A	Dividend	J	T	Buy	03/20/13	J		
430. Honolulu FCU	A	Interest	J	T					
431. McDonald's Corp. (Common Stock)	A	Dividend	J	T					
432. Coverdell ESA	A	Int./Div.	J	T					
433. - MS Liquid Asset Fund	A	Dividend	J	T					
434. - MS Focus Growth FD A	A	Dividend	J	T	Sold (part)	03/20/13	K	A	
435. Morgan Stanley Smith Barney UTMA Account	A	Int./Div.	J	T					
436. - MS Liquid Asset Fund	A	Int./Div.	J	T					
437. - Morgan Stanley Bank	A	Interest	J	T					
438. - Walt Disney Co Hldg Co.	A	Dividend	J	T					
439. -Virtus Strategic Grw A (Mutual Fund)	A	Dividend	J	T					
440. IRA Account	A	Int./Div.	K	T					
441. - MS Liquid Asset Fund	A	Dividend	J	T					
442. - Morgan Stanley Bank	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - AIM Constellation A									
444. - Allianz NFJ Intl Value A									
445. - American Century Growth Advantage									
446. - DWS Real Estate Sec A (A Mutual Fund)									
447. - Enterprise Group Fund Growth PT									
448. - Fidelity Adv Lvgd Company Stk A									
449. - Focus Growth Fund B									
450. - IM Enterprise Growth FD Cl A									
451. - Invesco Constellation A									
452. -Invesco Diversified Dividend A									
453. - Invesco Div GW Securities A									
454. -Invesco Global Core Equity A									
455. - Invesco GLB DIV GW Secur B									
456. - MS Capital Opportunities TR A									
457. - MS Dividend Grwth Securities									
458. - MS Focus Growth FD A									
459. - MS Global Dividend -Grwth Sec B(fkn as MSDW)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - MS Strategist Fund (fkn as MSDW)									
461. - MSDW Dividend Growth D									
462. - MS Global Advantage Fund A									
463. - MS Global Dividend Grwth Sec D									
464. -MS Global Strategist Fund A	A	Dividend	J	T					
465. - MS Mid-Cap Growth B									
466. - MS Multi Cap Growth Tr A	A	Dividend	J	T	Sold (part)	03/20/13	K	A	
467. - MFS Value A									
468. - MS Limited Maturity Fund A (Mutual Fund)									
469. - MSDW Dividend Growth Fund B									
470. - MSDW Small Cap Growth Fund B									
471. - MSDW Aggressive Equity Fund B									
472. - MSDW Competitive Edge Fund									
473. - MSDW Am Oppoturnity Fund									
474. - MSDW Growth Securities B									
475. - MSDW Global Dividend Growth D									
476. - MSDW Competitive Edge Bip B									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Perkins Mid Cap Val Div									
478. - Pimco Low Duration FD A									
479. - Pimco Small Cap Value Fd Cl A									
480. - Phoenix AI-Cap Growth									
481. - Phoenix Engermann Growth A									
482. - Salomon Hi Yld BD FD Cl A									
483. - Strategist Fund A									
484. -General Electric Co. (stock)	A	Dividend	J	T					
485. -Gen Elec CAP Corp 2950			J	T					
486. -ING ESII Annuity	A	Int./Div.	M	T					
487. -CollegeBoundfund (Not self-directed)	A	Int./Div.	J	T					
488. -Goldman Sachs Grp Inc Medium Term Notes	A	Interest	J	T					
489. -Honolulu Waterwater Sys Bond	A	Int./Div.	K						
490. -MS Equally Weighted S&P 500 C									
491. -United States Savings Bonds Series EE	A	Int./Div.	J	T					
492. -Wells Fargo Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 33 of 34

Name of Person Reporting	Date of Report
Kobayashi, Leslie E.	01/24/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

As directed by Subira Issa, I amend my report to include and clarify that the CollegeBoundFund is invested in CBF Aggressive Growth 2202-04 ALT C and is not self-directed.

--	--

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie E. Kobayashi,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544