

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scola, Jr., Robert N.	2. Court or Organization United States District Court, Southern District of Florida	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Wilkie D. Ferguson, Jr. United States Courthouse Room 12-3 400 North Miami Avenue Miami, FL 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Circuit Court Judge	State of Florida
2. Adjunct Professor	University of Miami School of Law
3. Adjunct Professor	Florida International University College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Florida Employee Retirement System; defined benefit plan; payout based upon years of service; monthly payout began December 1, 2011
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	State of Florida Salary	\$107,932.00
2. 2011	University of Miami School of Law	\$3,000.00
3. 2011	Florida International University College of Law	\$9,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	State of Florida Salary as Circuit Court Judge
2. 2011	University of Miami School of Law Salary as Adjunct Professor
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank - Cash Accounts	A	Interest	K	T					
2. Nationwide Retirement Solutions Deferred Compensation									
3. - NW Inv Dest Mod Svc		None	K	T					
4. - Invsco VK Gr Inc A		None	K	T					
5. - AmCent Eq Gr Inv		None	K	T					
6. - Fid Contra		None	K	T					
7. - Fid Puritan		None	K	T					
8. - Dodge Cox Inc		None	K	T					
9. Virtus Mid Cap Growth Fund IRA		None	J	T					
10. Virtus Mid Cap Growth Fund IRA		None	J	T					
11. Schwab IRA									
12. - Vanguard Total Market Index Fund		None	J	T					
13. - Citigroup, Inc. (X)		None	J	T					
14. - Nortel Networks CP (X)		None	J	T					
15. - Wave Systems CP (X)		None	J	T					
16. US Treasury Strip		None			Sold	08/15/11	K	A	
17. Chevron Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Bencor 401(A) Fund (no control)		None	J	T					
19. Vanguard Total Stock Mkt		None	J	T					
20. Northwest Mutual Pension Plan									
21. - America Funds Small-Cap World Fund Class A		None	K	T					
22. - America Funds The Bond Fund of America Class A		None	K	T					
23. - America Funds Europacific Growth Fund Class A		None	K	T					
24. - America Funds The Growth Fund of America Class A		None	K	T					
25. - America Funds Intermediate Bond Fund of America		None	K	T					
26. - America Funds The Investment Company of America		None	K	T					
27. ING Deferred Compensation									
28. --Baron Growth Fund Ret		None	J	T					
29. --Vanguard Mid-Cap Index Fund Inst		None	J	T					
30. Valic Deferred Compensation									
31. --Janus Forty S		None	J	T					
32. --Pioneer Mid Cap Value Fund Y		None	J	T					
33. --Dreyfus Tech Gr A		None	J	T					
34. T. Rowe Price Deferred Comp									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Equity Index Trust		None	J	T					
36. --T. Rowe Price Mid Cap Growth		None	J	T					
37. Nationwide Retirement Systems									
38. - Fidelity Pruition			J	T	Open	01/01/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Revisions to Part VII. Investments and Trusts.

Under "Schwab IRA" at line 11, the "cash" account was deleted as it was incorrectly listed in the initial 2011 filing. There is no cash account.

An (X) was added after "Citigroup, Inc.," "Nortel Networks CP," and "Wave Systems CP" to signify that these accounts were previously owned but were exempt from disclosure because their income and value were below the reporting thresholds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert N. Scola, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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