

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                          |                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Bluebond, Sheri A.                                                                                                                          | <b>2. Court or Organization</b><br><br>Central District of California                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>03/22/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge                                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>255 E. Temple Street<br>Suite 1482<br>Los Angeles, CA 90012                                                                                                          |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u>           | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|---------------------------|------------------------------------|
| 1. | Member, Board of Trustees | Los Angeles Bankruptcy Forum       |
| 2. | Trustee                   | Trust No. 1                        |
| 3. |                           |                                    |
| 4. |                           |                                    |
| 5. |                           |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                             |
|-------------|----------------------------------------------------|
| 1. 2012     | GlassRatner Advisory & Capital Group LLC -- Salary |
| 2.          |                                                    |
| 3.          |                                                    |
| 4.          |                                                    |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                               | <u>DATES</u> | <u>LOCATION</u>   | <u>PURPOSE</u>                                         | <u>ITEMS PAID OR PROVIDED</u>                        |
|---------------------------------------------|--------------|-------------------|--------------------------------------------------------|------------------------------------------------------|
| 1. American College of Bankruptcy           | 03/14-03/18  | Washington, DC    | Attendance at Induction Ceremony and Annual Conference | Airfare, Parking, Hotel, Meals                       |
| 2. California Bankruptcy Forum              | 05/17-05/21  | Rancho Mirage, CA | Speaker at Annual Conf.                                | Hotel, Meals, Tuition                                |
| 3. National Conference of Bankruptcy Judges | 10/23-10/28  | San Diego         | Attendance at Annual Conf                              | Hotel, Meals, Tuition, Mileage                       |
| 4. Practising Law Institute                 | 11/05        | San Francisco, CA | Speaker at Basics of Bankruptcy Program                | Airfare, Airport Parking, Mileage, Meals, Taxi, Tips |
| 5.                                          |              |                   |                                                        |                                                      |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Microsoft, common stock                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Cisco, common stock                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. General Electric, common stock                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Pfizer, common stock                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Juniper, common stock                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 06/29/12                | J                               | A                              |                                                                   |
| 6. TIAA-CREF Active Age Based Portfolio 5-8                                                                                 | C                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Trust #1                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. -Capstone Turbine Corp., common stock                                                                                    |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. -Broadcomm, common stock                                                                                                 | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. -Wells Fargo Bank (Accounts)                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -Stamps.com, common stock                                                                                               |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -Schwab CA Tax-Free (Muni) Money Market Mutual Fund                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy (add'l)                                     | 02/13/12                | L                               |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 02/23/12                | M                               | A                              |                                                                   |
| 14. -America First Absolute Mutual Fund                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 02/09/12                | J                               | A                              |                                                                   |
| 15. -Permanent Portfolio Mutual Fund                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 02/09/12                | K                               | B                              |                                                                   |
| 16. -First Eagle Global Mutual Fund                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17.                                                                                                                         | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                     |                                                      |                                                             |                                                            |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                       | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000        | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                       | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                               | T ~Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                 |   |          |   |   |             |          |   |   |  |
|-----------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 18. -Loomis Sayles Bond Fund (Retail Shares Fund)               | A | Dividend | J | T | Sold (part) | 03/12/12 | K | B |  |
| 19.                                                             |   |          |   |   | Sold (part) | 06/06/12 | J | A |  |
| 20.                                                             |   |          |   |   | Sold (part) | 10/15/12 | K | B |  |
| 21.                                                             |   |          |   |   | Sold (part) | 12/19/12 | J | A |  |
| 22. -Loomis Sayles Global Bond Instl Fund                       | A | Dividend | J | T | Buy         | 03/12/12 | J |   |  |
| 23.                                                             |   |          |   |   | Buy (add'l) | 10/15/12 | J |   |  |
| 24.                                                             |   |          |   |   | Buy (add'l) | 12/19/12 | J |   |  |
| 25. -American Capital World Bond Fund (aka American FD Capital) |   | None     |   |   | Sold        | 02/24/12 | L | C |  |
| 26. -DFA Inflation Prot'd Securities Mut Fund                   |   | None     |   |   | Sold        | 02/19/12 | L | D |  |
| 27. -Hussman Strategic Growth Fund                              |   | None     |   |   | Sold        | 03/12/12 | K | A |  |
| 28. -Fidelity Municipal Money Market Mut Fund                   | A | Dividend | J | T | Buy         | 2/23/12  | M |   |  |
| 29.                                                             |   |          |   |   | Sold (part) | 03/13/12 | L | A |  |
| 30. -Baron Small Cap Fd                                         |   | None     |   |   | Buy         | 03/12/12 | J |   |  |
| 31.                                                             |   |          |   |   | Buy (add'l) | 03/16/12 | J |   |  |
| 32.                                                             |   |          |   |   | Sold        | 06/06/12 | J | A |  |
| 33. -Champlain Mid Cap Advisor                                  |   | None     |   |   | Buy         | 03/12/12 | J |   |  |
| 34.                                                             |   |          |   |   | Buy (add'l) | 03/16/12 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 36. -Causeway Int'l Value Investor                                                                                          |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 37.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 38.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 39. -Dodge & Cox Stock                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 41.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 42. -Fidelity Advisor Growth Oppty Cl I                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 44.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 45. -Templeton Frontier Mrtks Fd Adv CL                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 47. -Fidelity Advisor Real Estate CL I                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 48. -Fidelity Municipal Income Fund                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/12/12                | K                               |                                |                                                                   |
| 49.                                                                                                                         | A                                       | Int./Div.                                     |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 50.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/10/12                | J                               |                                |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/12                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/12                | J                               |                                |                                                                   |
| 53. -ING Global Real Estate CL A                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 55.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 56. -iShares TR Russell 1000 Value Index Fd                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | K                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | K                               | A                              |                                                                   |
| 59. -iShares TR Russell 1000 Growth Index Fd                                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | K                               |                                |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 03/16/12                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | K                               | A                              |                                                                   |
| 62. -Morgan Stanley Mid Cap Growth Port CL<br>P                                                                             |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 65. -MFS Research Int'l Class A                                                                                             |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 66.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 68. -Oakmark Fund I                                                                                                         |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

03/22/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 70. -T Rowe Price Small Cap Value Advisor CL                                                                                |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 73. -Pimco Commodity Real Ret Strat Admin Cl                                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 76. -T Rowe Price Emerging Mkts Stock Fund                                                                                  |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 79. -T Rowe Price Int'l Stock Fund                                                                                          |                                         | None                                          |                                                 |                                           | Buy                                             | 03/16/12                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 81. -DWS California Tax Free Income Class S                                                                                 | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/12/12                | K                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/10/12                | J                               |                                |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/12                | J                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.01 - \$500,000.00 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

03/22/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. -SPDR S&P 500 ETF Trust Unit Ser 1 S&P Fund                                                                             | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 03/16/12                | J                               |                                |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | K                               | A                              |                                                                   |
| 89. -T Rowe Price Mid Cap Value Adv Class                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 91.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 92. -Templeton Global Bond Class A Fund                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/06/12                | J                               | A                              |                                                                   |
| 95. -Munder Veracity Small Cap Value Y Fund                                                                                 |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 96.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/16/12                | J                               |                                |                                                                   |
| 97.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 98. -William Blair Int'l Growth Class N Fund                                                                                |                                         | None                                          |                                                 |                                           | Buy                                             | 03/12/12                | J                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 06/06/12                | J                               | A                              |                                                                   |
| 100. -Invesco Int'l Growth Fund CL RS                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 101. -Artisan Value Fund Investor CL                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 102. -Artisan Small Cap Fund Investor CL                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -Credit Suisse Comm'd Rtn Strtg Inst'l SH<br>Fund                                                                      |                                         | None                                          | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/12                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/12                | J                               |                                |                                                                   |
| 106. -Fidelity Small Cap Value Fund                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 107.                                                                                                                        | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 108. -Fidelity Growth Company Fund                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 109. -Fidelity Advisor New Insights Cl I                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 110.                                                                                                                        | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 111. -Fidelity Low Priced Stock                                                                                             | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 112.                                                                                                                        | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 113. -iShares TR Russell 1000 Index Fund                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | K                               |                                |                                                                   |
| 114. -iShares TR Russell Midcap Index Fund                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 115. -iShares TR Russell Midcap Value Index<br>Fund                                                                         |                                         | None                                          | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 116. -Perkins Mid Cap Value Fund Class T                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 117. -MFS Int'l Diversification Fund A                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 118. -MFS Int'l Value Fund CL A                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 119. -Morgan Stanley Global Real Estate Port P                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -Oppenheimer Dev Markets Fund Class Y                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 121. -T Rowe Price Small Cap Stock Advisor CL                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 122.                                                                                                                        | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 123. -Prudential Jennison Mid Cap Growth CL A Fund                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 124.                                                                                                                        | A                                       | Distribution                                  |                                                 |                                           | Buy (add'l)                                     | 10/15/12                | J                               |                                |                                                                   |
| 125. -Vanguard Index FDS Vanguard Value Viper                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | K                               |                                |                                                                   |
| 126. -Vanguard Index FDS Vanguard Growth Viper                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/06/12                | K                               |                                |                                                                   |
| 127. -Fidelity Low Priced Stock Fund                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/06/12                | J                               |                                |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 08/10/12                | J                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 10/15/12                | J                               | A                              |                                                                   |
| 130. -Eaton Vance Income Fund of Boston CL I                                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 10/15/12                | J                               |                                |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/19/12                | J                               | A                              |                                                                   |
| 132. -Wells Fargo Short Term Muni Inc-Instit                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/19/12                | J                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 134. Assets                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 135. -San Diego Cty Cr. Union (Accts) (see Pt. VIII, Note 1)                                                                |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. -Union Bank (Account) (see Pt. VIII, Note 1)                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. -Ameritrade (Account) (see Pt. VIII, Note 1)                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. -E-Trade (Account) (see Pt. VIII, Note 1)                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. -Phycor 401(k) (see Pt. VIII, Note 1)                                                                                  |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 140. -Bridge Harb. Mgt, Inc., stock (see Pt. VIII, Notes 1 & 2)                                                             |                                         | None                                          | J                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. -BH Channelview, LLC, membshp int. (see VIII, Notes 1 & 2)                                                             |                                         | None                                          | J                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |
| 142. -BH Vincent, LLC, membshp int. (see Pt. VIII, Notes 1&2)                                                               |                                         | None                                          | J                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. -BH Bellvue, LLC, membshp int. (see Pt. VIII, Notes 1 & 2)                                                             |                                         | None                                          | J                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### NOTE 1:

\_\_\_\_\_. The only information available to me concerning \_\_\_\_\_ assets is that which was contained on a balance sheet prepared as of September 3, 2003 that was attached as a schedule to the premarital agreement that we signed on September 5, 2003.

The entries that appear on lines 1 through 132 of Part VII of this Report refer to my assets (with the exception of line 6, which refers to 529 accounts that belong to \_\_\_\_\_). The entries that appear on lines 135 through 143 of Part VII of this Report refer to \_\_\_\_\_ assets and reflect values as of September 3, 2003, as this is the only information available to me. I do not have information as to the specific funds or stocks contained within the brokerage accounts or 401(k) accounts identified on lines 137 through 139 of Part VII of this Report, and I do not have, and will not obtain, any interest in any of these assets or any income or proceeds that may be generated by these assets.

### NOTE 2:

An explanatory note on \_\_\_\_\_ 3 balance sheet reflects that the values reflected for the assets described on lines 140 through 143 of Part VII of this Report "are based on the allocation of an anticipated sales price for all four interests totaling \$24,000." I believe that the assets described on these lines may have been sold since September 3, 2003, but I do not have access to this information or any details concerning the sale and do not have any interest in any proceeds that may have been generated thereby. I also believe that, shortly after our marriage, \_\_\_\_\_ closed certain of his bank accounts and opened new bank accounts at Wells Fargo and ING. Again, I do not have any information concerning the balance on deposit in any of these new accounts or any income generated by these accounts and do not have any interest in any funds on deposit in these accounts.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bluebond, Sheri A.

Date of Report

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sheri A. Bluebond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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One Columbus Circle, N.E.  
Washington, D.C. 20544