

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Dawson, Robert T.	2. Court or Organization U.S. District Court	3. Date of Report 04/28/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address P O Box 1624 Fort Smith, AR 72902-1624		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. George Mason University

Jan 21, 2011 thru Jan
23, 2011

Tucson, AZ

JEP Advanced Institute on
Environmental Economics.Lodging & some meals provided; a
contribution was made.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF	C	Interest	M	T					
2. Enterprise Growth Fund C1 A 215	A	Dividend	J	T					
3. Stephens Production Company (oil & gas)	A	Royalty	J	W					
4. XTO Energy (oil & gas)	A	Royalty	J	W					
5. Cypress Production (oil & gas)	A	Royalty	J	W					
6. Tellus Operating (oil & gas)	A	Royalty	J	W					
7. ACXIOM	A	Dividend	K	T					
8. Simon Property Group	B	Dividend	K	T					
9. USA Truck	A	Dividend	J	T					
10. Arkansas St College Svgs B	B	Interest			Sold	12/15/11	K	A	
11. Cisco Sys Inc.	A	Dividend	J	T					
12. Coca Cola	A	Dividend	J	T					
13. Procter & Gamble Co.	A	Dividend	J	T					
14. Johnson & Johnson	A	Dividend	K	T					
15. McDonalds Corp	A	Dividend	J	T					
16. Southwest Airlines	A	Dividend	J	T					
17. Chevron Texaco Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Intel Corp	A	Dividend	J	T					
19. Walt Disney	A	Dividend	J	T					
20. American Small Cap World	A	Dividend	J	T					
21. Vanguard 500 Index Fund	A	Dividend	K	T					
22. NASDAQ 100 Unit Trust Series I/Power Shares QQQ	A	Dividend	M	T					
23. Merck & Co.	A	Dividend	J	T					
24. Univ of Ark Bond	A	Interest	J	T					
25. Cinergy Corp/Duke Energy	B	Dividend			Sold	02/01/11	J	A	
26. Ameren Corp	A	Dividend			Sold	12/21/11	J	A	
27. Consolidated Edison	A	Dividend	J	T					
28. Progress Energy Inc.	A	Dividend	J	T					
29. Pub Svc Ent	A	Dividend	J	T					
30. Little River Cty AR Rev.	A	Interest	J	T					
31. Entergy Corp	A	Dividend	J	T					
32. Murphy Oil	A	Dividend	J	T					
33. Bank of America	A	Dividend	J	T					
34. Ark St Fed Hwy Grant	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Blackrock Global	A	Interest	J	T					
36. Windstream/Alltel	A	Dividend	J	T					
37. Little Rock AR New Pub	A	Interest	J	T					
38. Janus Worldwide Fund (IRA)	E	Int./Div.	L	T					
39. Spectra	A	Dividend	J	T					
40. Goldman Sachs CD	A	Interest	K	T					
41. Crittenden County Bond	A	Interest	J	T					
42. Conway AR Sch Bond	A	Interest			Sold	12/15/11	J	A	
43. Nuveen Prem Ins.	A	Interest			Sold	12/21/11	J	A	
44. Ark St Higher Educ	A	Interest	K	T					
45. Fed Kaufman Small Cap	A	Interest			Sold	02/01/11	J	A	
46. Buckeye Ohio Bond	A	Interest	J	T					
47. New York City Bond	A	Interest	J	T					
48. Bentonville AR Sales	A	Interest	K	T					
49. Little Rock AR Sewer	A	Interest	J	T					
50. Baron Partners	A	Interest			Sold	02/01/11	J	A	
51. Cambiar Opp.	A	Int./Div.	J	T	Sold (part)	02/01/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Ivy Asset	A	Interest			Sold	02/01/11	J	A	
53. DN Lehman Bro CD	A	Interest			Matured	02/01/11	K	A	
54. General Electric Cap	A	Interest	K	T					
55. Verizon	A	Interest			Sold	09/08/11	J	A	
56. Amer Exp CD	B	Interest			Sold	03/11/11	J	A	
57. SunAmerica CD	A	Interest	J	T					
58. Baxter County Bond	D	Interest	J	T					
59. Ark State Univ Rev	D	Interest	J	T					
60. Beaver Water Bond	D	Interest	J	T					
61. Little Rock Ark Libr	D	Interest	J	T					
62. White Hall Bond	D	Interest	J	T					
63. Ark Best	D	Dividend	J	T					
64. T Rowe Price	E	Dividend	K	T					
65. Black Rock Global	E	Dividend	K	T					
66. Bank of America 5.25	G	Dividend	M	T					
67. Puerto Rico Bond	A	Interest	K	T					
68. Univ of Ark Revs	A	Interest	J	T					

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69. Puerto Rico Hotel Bond	A	Interest	J	T					
70. Puerto Rico Infrastructure Bond	A	Interest	K	T					
71. Rogers Ark Schl Dist	A	Interest	K	T					
72. Fayetteville Ark Schl	A	Interest	J	T					
73. Little Rock AR Schl	A	Interest	J	T					
74. Booneville Ar Schl	A	Interest	K	T					
75. Puerto Rico Mun. Bond	A	Interest	K	T					
76. Siloam Springs Schl	A	Interest	J	T					
77. Bentonville Ark Schl	A	Interest	K	T					
78. Mountain Home Schl	A	Interest	K	T					
79. Altria Group	A	Dividend			Sold	07/21/11	J	A	
80. Apple	A	Dividend	K	T					
81. Goldman Sachs	A	Dividend	J	T					
82. Nuveen Prem Ins Muni	A	Dividend	J	T					
83. Pulaski Cnty AR SPL	A	Interest	J	T					
84. Energy Transfer	A	Dividend	J	T					
85. I Shares Trust Dow Jones	A	Dividend	J	T					

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86. Cullen High Dividend	A	Dividend			Sold	02/01/11	J	A	
87. Eaton Vance Structured	A	Dividend			Sold	02/01/11	J	A	
88. Oppenheimer Rising	A	Dividend	J	T					
89. American Centruy Small	A	Dividend	J	T					
90. Puerto Rico Commonwealth	A	Dividend	K	T	Buy	06/01/11	K		
91. Century Link	A	Dividend	J	T	Buy	07/21/11	J		
92. Best Buy Bond	A	Interest	K	T	Buy	09/08/11	J		
93. Ishares S & P	A	Interest	J	T	Buy	02/15/11	J		
94. Bank of America	A	Interest	M	T	Buy	02/01/11	M		
95. General Electric	A	Dividend	J	T					
96.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert T. Dawson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544