

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 04/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Court of Appeals for the Federal Circuit 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	The Richard Linn American Inn of Court
2.	Visiting Member	The Hon. William C. Conner American Inn of Court
3.	Lifetime Member	The Benjamin Franklin American Inn of Court
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The William C. Conner American Inn of Court	January 14-15, 2014	New York, NY	Inn of Court Annual Dinner	Transportation, Meals, and Hotel
2.	The Howard T. Markey American Inn of Court	February 26-27, 2014	Newport Beach, CA	Inn of Court Meeting	Transportation, Meals, and Hotel
3.	The Benjamin Franklin American Inn of Court	March 27-28, 2014	Philadelphia, PA	Inn of Court Meeting	Transportation, Meals, and Hotel
4.	The New York Intellectual Property Law Association	March 28-31, 2014	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
5.	The Richard Linn American Inn of Court	May 1-3, 2014	Chicago, IL	Inn of Court Annual Dinner	Transportation, Meals, and Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

6.	The New Jersey Intellectual Property Law Association	June 6-7, 2014	Short Hills, NJ	Jefferson Medal Dinner	Transportation, Meals, and Hotel
7.	Timothy Holbrook	July 18-20, 2014	Chicago, IL	Perform Marriage Ceremony	Transportation, Meals, and Hotel
8.	The Thomas Jefferson Intellectual Property American Inn of Court	November 7-8, 2014	Richmond, VA	Inn of Court Meeting	Transportation, Meals, and Hotel
9.	Intellectual Property Owners Education Foundation	December 9-10, 2014	Washington, DC	Annual Awards Dinner	Transportation, Meals, and Hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	William C. Conner American Inn of Court	Glass Eagle Award, with Pedastal	\$800.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of American Cash Equivalent Account	A	Interest	J	T					
2. Brokerage Account #7									
3. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account #9									
7. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
10. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account #10									
12. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
15. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
20. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
21. Brokerage Account #13									
22. -MetLife Mid Cap Stock Index Portfolio		None	M	T	Sold (part)	12/22/14	J		
23. -MetLife Stock Index Portfolio		None	N	T	Sold (part)	12/22/14	K		
24. -Russell 2000 Index Portfolio		None	M	T	Sold (part)	12/22/14	J		
25. Synchrony Bank, formerly GE Capital Retail Bank	A	Interest	M	T					
26. Brokerage Account #14									
27. -American Funds: Fundamental InvestorsSM Class F-1 (AFIFX)		None	K	T	Buy	12/29/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page, 6, line 25, GE Capitol Retail Bank changed its name to Synchrony Bank during 2014.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Linn, Richard

Date of Report

04/01/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544