

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rushfelt, Gerald L.	2. Court or Organization District of Kansas	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 628 United States Courthouse 500 State Avenue Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Membership Dues	American College of Trial Lawyers	\$700.0
2.	Membership Dues	American Board of Trial Advocates	\$500.00
3.	Reduced Membership Dues	International Society of Barristers	\$475.0
4.	Membership Dues	American Bar Association	\$350.0
5.	Membership Dues	Kansas Bar Association	\$150.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	J.P. Morgan Chase Bank, N.A.	Mortgage on residence of adult child in Portland, OR.	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Life Ins. VA, Whole Life,- Philadelphia, PA	B	Int./Div.	K	T					
2. U.S. Savings Bonds	B	Interest	K	T					
3. FIDELITY MANAGEMENT TRUST CO. (IRA - Mutual Funds) - #1 (H)	D	Dividend	N	T					
4. - Pimco Total Return Administrative Shs (PTRAX)									
5.					Sold (part)	03/27/15	J	C	
6.					Sold (part)	04/02/15	J	B	
7.					Sold	04/07/15	J	A	
8. - Fidelity Total Bond (FTBFX)					Sold (part)	03/27/15	J	C	
9.					Sold	04/02/15	J	B	
10. - Strategic Advisers Small-Mid Cap Fund (/FSCFX)									
11. - Strategic Adv Intl Fund f/k/a Fidelity PAS Intl F (FILFX)					Sold (part)	03/27/15	J	B	
12.					Sold (part)	04/02/15	J	A	
13.					Buy (add'l)	10/01/15	J		
14.					Sold (part)	10/23/15	J	A	
15.					Sold (part)	10/28/15	J	A	
16. - Templeton Global Bond Class A (TPINX)									
17. - Strategic Advisers Value Fund (FVSAX)					Sold (part)	10/23/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	10/28/15	J	A	
19. - Strategic Advisers Core Fund (FCSAX)					Buy (add'l)	03/27/15	J		
20.					Buy (add'l)	08/28/15	J		
21.					Sold (part)	10/28/15	J	A	
22.					Sold (part)	12/02/15	J	A	
23. - Strategic Advisers Growth Fund (FSGFX)					Sold (part)	08/28/15	J	A	
24.					Sold (part)	10/23/15	J	A	
25.					Sold (part)	10/28/15	J	A	
26.					Sold (part)	12/02/15	J	A	
27. - Strategic Advisers Emerging Markets (FSAMX)					Buy (add'l)	04/02/15	J		
28. - Strategic Advisers Core Income Fund (FPCIX)					Buy (add'l)	03/27/15	K		
29.					Buy (add'l)	04/02/15	J		
30.					Buy (add'l)	04/06/15	J		
31.					Sold (part)	08/28/15	J		
32.					Sold (part)	10/01/15	J		
33.					Buy (add'l)	10/23/15	J		
34.					Sold (part)	10/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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35.					Buy (add'l)	12/02/15	J		
36. - Strategic Advisers Income Opportunities (FPIOX)									
37. - FIMM MMKT Inst. CI (FNSXX)					Buy (add'l)	08/28/15	J		
38. - Merger Fund (MERFX)									
39. - Strategic Advisers Short Duration Fund (FAUDX)					Sold (part)	12/02/15	J	A	
40. - Arden Alternative Strategies CI 1 Conf (ARDNX)									
41. - Blackstone Alternv Multi Manager (BXMMX)					Sold (part)	03/27/15	J	A	
42. MERRILL LYNCH IRA ACCOUNT (H)									
43. - Cash, f/k/a/ Beyond Banking - Money Account		None	J	T					
44. -Bank of America NA RASP f/k/a/ ML Bank USA RASP - Cash Account		None	J	T					
45. -American Funds Inc. Fund of America CI F2 (AMEFX f/k/a/IFAFX) FAFX)	A	Dividend	K	T	Redeemed (part)	09/16/15	J	A	
46. - First Eagle Global Class I (SGIIX f/k/a (FESGX)	A	Dividend	K	T	Redeemed (part)	09/16/15	J	A	
47. - Pioneer Strategic Income Fund CI Y (STRYX f/k/a/ PSRCX)	B	Dividend	K	T	Redeemed (part)	09/16/15	J	A	
48. BROKERAGE #1 - Merrill Lynch Account (H)									
49. - Cash, f/k/a Beyond Banking - Money Account (X)		None	J	T					
50. - ML Bank Deposit Program f/k/a ML Bank USA RASP (X)	A	Interest	K	T					
51. - Franklin Income Fd ADV (FRIAX f/k/a FCISX)	D	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. - Black Rock Global Allocation Fd Inc Instl (MALOX f/k/a/ MCLOX)	B	Dividend	L	T					
53. - Ivy Asset Strategy Fund C1 I (IVAEX f/k/a WASCX)	A	Dividend			Sold	09/15/15	J		
54. - American Capital Income Builder Fd C1 F2 (CAIFX f/k/a CIBCX)	B	Dividend	K	T	Buy (add'l)	09/16/15	J		
55. - Blackrock National Muni Fund Instl (MANLX f/k/a MFNLX)	B	Dividend	L	T	Buy (add'l)	09/16/15	J		
56. - First Eagle Global C1 I (SGHIX f/k/a FESGX)	A	Dividend	L	T					
57. - Franklin US Govt Sees Fd Adv C1 (FUSAX f/k/a FRUGX)	B	Dividend	L	T					
58. - Nuveen Kansas Municipal Bond FD C1 I (FRKSX f/k/a FCKSX)	C	Dividend	L	T	Buy (add'l)	09/16/15	J		
59. - American Funds American Balanced Fund C1 F2 (AMBFX f/k/a BALCX)	A	Dividend	K	T	Buy (add'l)	09/16/15	J		
60. - Blackrock Multi Asset Income Portfolio Instl (BIICX f/k/a BCICX)	C	Dividend	L	T					
61. UMB Bank, n.a., Overland Park, KS - accounts	A	Interest	K	T					
62. American Century IRA (H)	A	Dividend	K	T					
63. - One Choice Portfolio: Moderate (AOMIX)									
64. Trust # 1 (Income Beneficiary) (H)	E		N	W					
65. - 160 Acres of farm land in Grant County, KS		Rent							
66. - Linn Operating Co. gas lease, Grant Co, KS (Royalty)		Royalty							
67. - Pioneer Natural Resources, gas lease, Grant County, KS (Y)		Royalty							
68. - First National Bank, Overland Park, KS		Interest							

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69. Variable Annuity-Ohio National Life Insurance Co.									
70. IRA - Sunlife Assurance Co.									
71. Morgan Stanley Smith Barney Brokerage (H) Account (X)									
72. - American Century Growth Fund	A	Dividend							
73. - American Century Equity Growth Fund (X)	B	Distribution							
74. - First TR Intern Dur Prf & Inc Fd	C	Dividend							
75. - Clearbridge Amerm Engy MLP Fnd	B	Distribution			Sold	12/11/15	J		
76. - Morgan Stanley Bank N.A.	A	Interest							
77. - Lehigh Gas Partners LP	B	Distribution							
78. - Center Coast MLP Focus I	D	Distribution							
79. - KKR Income Opportunities Fund	A	Distribution							
80. - Morgan Stanley Bank	A	Interest							
81. - CrossAmerica Partners LP	B	Distribution			Buy	03/17/15	J		
82.					Sold	12/14/15	J		
83. - Azure Midstream Prt LP Com Unt (AZUR) (X)	A	Distribution			Sold	12/14/15	K		
84. - Marlin Midstream Partners LP (X)	A	Distribution							
85. IRA - Morgan Stanley & Co.			M	T					

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86.	Central Bank of the Midwest f/k/a/ Metcalf Bank - Accounts	A	Interest	M	T				
87.	GPH Family Tree LLC loan - note receivable								
88.	Elizabeth Ann Page Trust								
89.	1st Nat'l. Bank of Omaha, f/k/a 1st N.B Overland Park KS	A	Interest	L	T				
90.	Chase Home Finance LLC - Mortgagee		None						
91.	Morgan Stanley Smith Barney Variable Rate Annuity - IRA	E	Distribution	M	T				
92.	Learning Quest 529 Savings Program.- 4688 Money Mkt - Shawnee Msn, KS		None	J	T				
93.	State Street Retiree Services for Compass Group Pension (X)	E	Distribution		Merged (with line 91)		K		
94.									
95.									
96.									
97.									
98.									
99.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 67: Pioneer Natural Resources conveyed its interest as lessee of its oil and gas lease to Linn Operating Co. on July 1, 2014. The reporting person (trustee) was not privy or a party to that transaction, but the trust did receive some royalty income from Pioneer for production that presumably preceded the transfer of the lease to Linn.

Lines 69 through 85, 87, 88, 90, 91 and 93: All of the assets listed on these lines are the sole financial interest or responsibility of another individual in reporting person's household and are not reportable assets.. They are not derived from the assets, income or activities of the reporting person. The reporting person does not derive or expect to derive a benefit from them, and he has no knowledge about them, except for the limited information stated on these lines.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald L. Rushfelt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544