

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Foschio, Leslie G.	2. Court or Organization U.S. District - WDNY	3. Date of Report 11/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 2 Niagara Square Room 724 Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Theodore Roosevelt Inaugural National Historic Site Foundation(Buffalo) (uncompensated)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

11/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/17-12/17	The Principal - Company Sponsored Pension	\$8,040.00
2. 1/17-12/17	NY State - Employee Retirement System - Vested Pension Plan	\$7,246.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Foschio, Leslie G.

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11/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Foschio, Leslie G.

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11/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Ameritrade - IRA	E	Distribution	N	T					
2. -Advisor Inner Circle - CIPMX	A	Dividend	J	T	Buy	04/25/17	J		
3. -Eventide Gilead Fund	A	Dividend	J	T					
4. -Apple	A	Dividend	K	T	Sold (part)	05/26/17	K	D	
5. -BRiley Financial	A	Dividend	J	T	Buy	05/23/17	J		
6. -Chipotle Mexican Grill	A	Dividend			Sold	05/23/17	J	A	
7. -Cleveland Biolabs		None	J	T					
8. -Dollar General	A	Dividend	J	T	Buy	05/26/17	J		
9. -Ford Motor	A	Dividend	J	T	Buy	05/26/17	J		
10. -General Electric	A	Dividend	J	T	Buy	05/26/17	J		
11. -General Motors	A	Dividend	J	T					
12. -Investco Mortgage	A	Dividend	J	T	Buy	09/09/17	J		
13. -IShares 1-3 Year Credit	A	Int./Div.			Buy	05/05/17	J		
14.					Sold	08/10/17	J	A	
15. -Jetblue	A	Distribution	J	T	Buy	03/03/17	J		
16. -Microsoft	A	Dividend	J	T					
17. -Paypal		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Pennymac Mtg	A	Dividend	J	T	Buy	03/03/17	J		
19. -Procter & Gamble	A	Dividend	J	T					
20. -Tesla		None			Sold	03/02/17	J	B	
21. -Tower International	A	Dividend	J	T					
22. -Walmart	A	Dividend	J	T					
23. -Whole Foods	A	Dividend			Sold	05/08/17	J	A	
24. -Arcelormittal 3/01/21 Bond	A	Int./Div.	J	T					
25. -Avenet Inc 12/01/21	A	Int./Div.	J	T	Buy	05/08/17	J		
26. -Block Financial 11/01/22 Bond	A	Int./Div.	J	T	Buy	03/09/17	J		
27. -Freeport-McMoran 11/14/21 Bond	A	Int./Div.	J	T	Buy	02/23/17	J		
28. -General Electric 12/31/19 Bond	A	Int./Div.	J	T	Buy	01/06/17	J		
29. -jp Morgan 12/31/2199 Bond 48126hac4	A	Int./Div.	J	T	Buy	01/06/17	J		
30. -JP Morgan 12/31/2199 Bond 48127faa1	A	Int./Div.	J	T	Buy	01/06/17	J		
31. -Pennant Inv 10/01/19 Bond	A	Int./Div.	J	T	Buy	01/23/17	J		
32. -Popular 7/1/19 Bonds	A	Int./Div.	J	T					
33. -The ADT Corp 10/15/21 Bonds	A	Int./Div.	J	T	Buy	02/08/17	J		
34. -Transocean 11/15/20 Bonds	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. -Transocean 10/15/17 Bonds	A	Int./Div.			Matured	10/15/17	J	A	
36. -Washington Post 02/01/19 Bond	A	Int./Div.	J	T	Buy	04/25/17	J		
37. -Wells Fargo 12/31/2199	A	Int./Div.	J	T	Buy	01/06/17	J		
38.									
39. Security Benefit IRA	B	Distribution			Closed	10/02/17	M	D	
40. - Goldman Sach Floating Rate	A	Int./Div.			Sold	10/02/17	K	A	
41. - Guggenheim VIF Floating Rate	A	Int./Div.			Sold	10/02/17	K	A	
42. - Ivy Limited Term Bond	A	Int./Div.			Sold	01/02/17	K	A	
43. - Rydex Basic Materials	A	Int./Div.			Sold	10/02/17	K	A	
44. - Rydex Biotech	B	Int./Div.			Sold	10/02/17	K	A	
45. - Rydex Consumer Products	B	Int./Div.			Sold	10/02/17	L	A	
46. - Rydex Energy	A	Int./Div.			Sold	10/02/17	K	A	
47. - Trp Limited Term Bond	A	Int./Div.			Sold	10/02/17	K	A	
48. First Great West Life Insurance - Whole Life		None	M	T					
49.									
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52.									
53.									
54.									
55.									
56.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

11/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Assets in the Security Benefit IRA on Lines 39-48 were sold and that account was transferred to the TD Ameritrade IRA.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

11/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie G. Foschio**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544