

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. District Court	3. Date of Report 05/31/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Washington State Judicial Retirement	\$62,090.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Money Market sweep account	A	Interest	K	T					
2. Abbott Laboratories (ABT)	A	Dividend	K	T	Buy	01/05/17	K		
3. American Intl Gro 21 WTS (AIGWS)	A	Dividend	K	T	Buy	09/13/17	K		
4. American Intl Group (AIG)	A	Dividend	K	T	Buy	04/17/17	K		
5. AQR Eqty Mkt Neutral FD (QMNIX)	A	Dividend	L	T					
6. Barclays PLC (BCS)	A	Dividend			Sold	07/05/17	K		
7. Boeing (BA)	B	Dividend	L	T					
8. Builders Firstsource (BLDR)	A	Dividend	K	T					
9. Charles Schwab Corp (SCHW)	A	Dividend	K	T					
10. Comcast Corp (CMCSA)	A	Dividend	L	T					
11. Communications Sales (CSAL)		None	K	T					
12. Digital Realty Trust (DLR)	A	Dividend	K	T					
13.					Sold (part)	11/20/17	J		
14. Dupont Fabros Technology (DFT)	A	Dividend			Merged (with line 12)	08/22/17	J		
15. Ensco Plc ADR (ESV)	A	Dividend			Sold	05/09/17	K		
16. First American Fin Corp (FAF)	A	Dividend	K	T					
17. FMI Large Capital Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. FMI Intl Fd Inst (FMIYX)	A	Dividend	L	T	Buy	04/17/17	L		
19. Goldman Sachs MLP Energy (GMLPX)	C	Dividend			Sold	02/09/17	L		
20. Guggenheim Short Duration ETF (GSY)	A	Dividend	J	T	Buy	07/03/17	J		
21. Hart Cap Management Acct	A	Interest	L	T					
22. iShares S&P 500 Growth ETF (IVW)	A	Dividend			Buy	02/13/17	K		
23.					Sold	04/19/17	K		
24. Johnson & Johnson (JNJ)	B	Dividend	L	T					
25. JP Morgan Chase & Co (JPM)	A	Dividend	K	T					
26. JPMorgan Emrg Mkts Eqty (JEMSX)		None	L	T	Buy	12/15/17	L		
27. Lamar Advertising (LAMR)	A	Dividend	K	T					
28. MGM Resorts Intl (MGM)	A	Dividend	K	T	Buy	06/06/17	K		
29. Microsoft (MSFT)	B	Dividend	M	T					
30. MainGate MLP Fund (IMLPX)		None	L	T					
31. Mosaic Co (MOS)	A	Dividend			Sold	06/26/17	K		
32. Nasdaq 100 Shares	A	Dividend	L	T					
33. North Cascades Bank Shares	A	Dividend	K	T					
34. Norwegian Cruise Lin (NCLH)	A	Dividend	K	T					

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35. Numerica CU	A	Interest	L	T					
36. Outfront Media Inc (OUT)	B	Dividend	K	T	Buy (add'l)	06/09/17	J		
37. Pimco Enhanced Shrt Mat (MINT)	B	Dividend	M	T	Buy	08/31/17	M		
38.					Buy (add'l)	09/28/17	K		
39.					Buy (add'l)	12/28/17	K		
40. Pimco Eqs Long Short Fd	A	Dividend	J	T					
41. Potlatch Corporation New (PCH)	B	Dividend			Sold	07/26/17	J		
42. Powrshars S&P 500 Hgh Div (SPHD)	A	Dividend	K	T	Buy	11/15/17	K		
43. Powershares QQQ Trust ETF(QQQ)	A	Dividend	K	T	Buy	02/13/17	K		
44.					Sold (part)	04/19/17	J		
45.					Sold (part)	05/26/17	J		
46.					Sold	09/28/17	J		
47.					Buy	11/07/17	L		
48. Realty Income Corp (O)	A	Dividend	J	T					
49. RMPI Account	A	Interest	K	T					
50. Schwab Govt Money Fund (SWGXX)	A	Dividend	K	T	Sold (part)	02/13/17	L		
51. Schwab International Equity ETF (SCHF)	A	Dividend	L	T	Buy	05/31/17	L		

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52. Schwab U.S. Mid-Cap ETF (SCHM)	A	Dividend	M	T	Sold (part)	11/20/17	K		
53. Schwab US Small Cap ETF (SCHA)	A	Dividend			Buy (add'l)	02/13/17	K		
54.					Sold	05/23/17	L		
55. Schwab US Large Cap ETF (SCHX)	A	Dividend			Sold	04/18/17	K		
56. SPDR S&P Biotech ETF (XBI)	A	Dividend			Sold	06/06/17	J		
57. SPDR Dow Jones Global Real Estate ETF	A	Dividend	J	T					
58. Sportsmans WHSE Hldg (SPWH)	A	Dividend	K	T	Buy	03/29/17	K		
59. St. Jude Med (STJ)	A	Dividend	K	T					
60. Sterling Constructn (STRL)	A	Dividend			Sold	09/05/17	K	A	
61. Tripadvisor In (TRIP)	A	Dividend	K	T	Buy	09/27/17	K		
62.					Sold (part)	11/09/17	K		
63. Vaneck Vectors Fallen Angel High Yield ETF (ANGL)	A	Dividend			Buy	02/13/17	K		
64.					Sold	11/07/17	K		
65. Vanguard Mkt Neutral Inv (VMNFX)	A	Dividend			Sold	12/15/17	L		
66. Wash Trust Savings	A	Interest	J	T					
67.									
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544