

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LAMOUTTE, ENRIQUE S.	2. Court or Organization U.S. BANKRUPTCY COURT, D.P.R.	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. POST OFFICE & COURTHOUSE 300 RECINTO SUR, SUITE 251 SAN JUAN, PUERTO RICO 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. ABI Caribbean Insolvency Symposium	February 9 - 12, 2017	Grand Cayman, Cayman Islands	Conference Panelist	Air fare and three night hotel expense.
3. ABI Northeast Conference	July 18 - 23, 2017	Newport, Rhode Island	Conference Panelist	Air fare and two night hotel expense.
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ENCARNAN I TRUST: UBS:									
2. ---PR Short Term Inv Fund		None	K	T					
3. ---PR GNMA PL00374397X UT093		None	K	T					
4. ---Puerto Rico Fixed Income FD II Inc		None	L	T					
5. ENCARNAN II TRUST: Commercial real estate, Caguas		None	M	Q					
6. ENCARNAN III TRUST: UBS									
7. ---PR Short Term Inv Fund		None	J	T					
8. ---PR HWY&TRANS AU SPL FAC RV BE/R/5,850 070125 DTD 103003		None	M	T					
9. ---PR AAA PRTFL TARGET MAT FUND INC		None	M	T					
10. ---PUERTO RICO FIXED INCOME FD II INC.		None	L	T					
11. ---PUERTO RICO FIXED INCOME FUND INC		None	L	T					
12. CARIBE FEDERAL CREDIT UNION	A	Dividend	L	T					
13. First Bank Puerto Rico	A	Interest	M	T					
14. Banco Popular Puerto Rico	A	Interest	L	T					
15. Banco Santander PR (X)	B	Interest	N	T					
16. Scotiabank (X)	C	Interest	N	T					
17. Merrill Lynch Stock Portfolio (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ---AMGN	A	Dividend	J	T	Buy	12/05/17	J		
19. ---ADP	A	Dividend	J	T					
20. ---CHRW	A	Dividend	J	T	Buy	11/16/17	J		
21. ---EMR	A	Dividend	J	T	Buy	02/08/17	J		
22. ---JNJ	A	Dividend	J	T					
23. --MSFT	A	Dividend	J	T					
24. ---PAYX	A	Dividend	J	T	Buy	10/12/17	J		
25. ---PG	A	Dividend	J	T					
26. ---QCOM	A	Dividend			Sold	08/17/17	J		
27. ---MMM	A	Dividend	J	T					
28. ---WMT	A	Dividend	J	T					
29. ---UNP	A	Dividend	J	T					
30. ---CVS	A	Dividend			Sold	10/04/17	J		
31. ---HON	A	Dividend			Sold	08/02/17	J		
32. ---TXN	A	Dividend	J	T	Buy	03/09/17	J		
33. ---TIF	A	Dividend	J	T					
34. ---UTX	A	Dividend	J	T	Buy	08/03/17	J		

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35. Merrill Lynch Fixed Income (X)									
36. GNMA P553895	B	Interest	K	T					
37. GNMA P553923	B	Interest	K	T					
38. GNMA P608663	B	Interest	K	T					
39. GNMA P608663	B	Interest	K	T					
40. GNMA P417903	B	Interest			Redeemed	12/15/17	K		
41. --- PR COMM INDL DEV GEN	A	Int./Div.	J	T					
42. Triple S Management Stock (X)	A	Int./Div.	K	T					
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts, line 1, ENCARNAN I Trust: I am a 1/3 beneficiary of the assets of the trust. Pursuant to the terms of the trust the grantor is the beneficiary of the income of the trust. The assets are in a UBS Resource Management Account.

Part VII, Investments and Trusts, line 2, ENCARNAN II Trust: I am a 1/3 beneficiary of the assets of the trust. Pursuant to the terms of the trust the grantor is the beneficiary of the income of the trust. The asset is a real estate commercial property located in Avenida Degetau, Caguas, Puerto Rico, leased to Golden Arch Corp. ENCARNAN II Trust has a 50% interest in the property. DATE OF APPRAISAL OF ENCARNAN II TRUST: DECEMBER 15, 1989

Part VII, Investments and Trusts, line 3, ENCARNAN III Trust: I am a 1/3 beneficiary of the assets of the trust. Pursuant to the terms of the trust the grantor is the beneficiary of the income of the trust. The assets are in a UBS Resource Management Account

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ENRIQUE S. LAMOUTTE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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