

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HANSEN, LeROY	2. Court or Organization U.S. DISTRICT COURT, New Mexico	3. Date of Report 04/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court P.O. Box 669 Albuquerque, NM 87103-0669		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New York Life Whole Life Policies		None	K	T					
2. Land in Estancia, NM		None	J	W					
3. IRA	D	Int./Div.	M	T					
4. -Ivy Global Natural Resourced FD									
5. -DWS Equity Dividend Fund									
6. -Thornburg Invst Income Builder Fund									
7. -ING Global Dividend & Premium Oppty Fund					Sold (part)	10/24/13	J		
8. -iShares S&P U.S. Preferred Stock Index ETF									
9. -Cohen & Steers Ifrastructure FD									
10. -Suntrust BK Atlanta GA C/D					Redeemed	04/29/13	J		
11. -Prime Money Market Fund RBC Investor Class					Closed	12/31/13	J		
12. -AEGON NV 6.875% Perpetual Cap. Securities									
13. -CONAGRA FOODS					Sold (part)	03/04/13	J	B	
14. -Clearbridg Energy MLP FD Inc									
15. -Barclay's Bk PLC 6.625% Non Cum PFD ADR Ser 2									
16. -Exelon Corp									
17. -First Energy Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -General Elec Cap Corp 09/17/2032 04.000%									
19. -Cushing Royalty & Income Fund									
20. -Government Properties Income Trust									
21. -Reaves Util Incom FD					Buy	03/01/13	J		
22. -Morgan Stanley Bank N.A.					Open	10/28/13	J		
23. Bank of America Account	A	Interest	K	T					
24. Brokerage Account (H)									
25. -Prime Money Market Fund RBC Investor Class	A	Dividend			Redeemed	10/25/13	J		
26. -Conagra Foods Inc common stock	A	Dividend	J	T					
27. -ConocoPhillips common stock	A	Dividend	J	T					
28. -Olin Corp common stock	A	Dividend	J	T					
29. -Eaton Vance Tax Mngd Gbl Dividend Equity Fund	B	Dividend	J	T	Sold (part)	10/24/13	J	A	
30. -Wells Fargo Advantage Multi Sector Fund	B	Dividend	K	T	Sold (part)	10/24/13	J	A	
31.					Sold (part)	11/08/13	J		
32. -Western Asset/Claymore Inflation LKD OPP & INC Fund	A	Dividend	J	T	Sold (part)	10/24/13	J	A	
33.					Sold (part)	11/15/13	J		
34. -Tortoise MLP Fund Inc	A	Dividend	J	T					

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35. -Universal Corp common stock	A	Dividend	J	T					
36. -GE common stock	A	Dividend	J	T					
37. -Exelon	A	Dividend	J	T					
38. -Molson Coor Brewing Co	A	Dividend	J	T					
39. -BB&T PFD Stock	A	Dividend	J	T					
40. -Phillips 66 common stock	A	Dividend	J	T					
41. -Reaves Util Income Fd	A	Dividend	J	T					
42. -Morgan Stanley Bank N.A.	A	Interest	J	T	Open	10/28/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. line 5

Name of fund corrected from "DWS Strategic Val Fund" to "DWS Equity Dividend Fund." This name change was made by DWS in February 2012 and should have been noted in my Financial Disclosure Report for Calendar Year 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LeROY HANSEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544