

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schanzle-Haskins, Thomas P.	2. Court or Organization United States District Court Central District of Illinois	3. Date of Report 06/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 05/05/2014
7. Chambers or Office Address 600 East Monroe, Rm 124 Springfield, IL 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Independent Representative (Executor)	Estate #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/12-12/12	Partnership Income: Brown, Hay & Stephens (net)	\$248,986.00
2. 1/13-12/13	Partnership Income: Brown, Hay & Stephens (net)	\$260,094.00
3. 1/14-3/14	Partnership Income: Brown, Hay & Stephens (net)	\$44,970.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/12-12/12	State of Illinois salary
2. 1/13-12/13	State of Illinois salary
3. 1/14-3/14	State of Illinois salary
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank, Springfield, Illinois	Unsecured line of credit	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brown Hay & Stephens DC Pension Plan & 401k, Filer does not own assets		None	M	T					
2. John Hancock Life Insurance Policy		None	K	T					
3. Interest bearing account, Illinois National Bank, Springfield, IL	A	Interest	J	T					
4. Estate #1 - Decedent's Residence		None			Sold	08/30/13	L	A	
5. Estate #1 Mineral Royalty Interests / Mineral Rights, Clay & Wayne Co.	C	Royalty			Sold	11/30/13	K	E	
6. Estate #1 Mineral Interests, Clay County, IL (no mineral production)		None	J	W					
7. Estate #1 Bank Account, Illinois National Bank, Springfield, IL	E	Interest	K	T					
8. EWN Netherlands ETF		None			Buy	07/25/13	J		
9. EWN Netherlands Ishares ETF		None			Sold	08/22/13	J	A	
10. EWP Spain ETF	A	Dividend	J	T	Buy	10/18/13	J		
11. EWW Mexico ETF		None			Sold	03/22/13	J	A	
12. FDN ETF Internet Index FD		None	J	T	Buy	05/06/13	J		
13. FNX ETF Mid Cap Core	A	Dividend	J	T	Buy	11/25/13	J		
14. FXD ETF IL Consumer Discretionary	A	Dividend	J	T	Buy	05/13/13	J		
15. FXH ETF IL Health Care	A	Dividend	J	T	Buy	01/30/13	J		
16. FXZ Materials ETF	A	Dividend			Sold	04/10/13	K	A	
17. ICF Real Estate ETF		None			Sold	06/03/13	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MDY ETF MIDC of A		None			Sold	10/19/13	K	C	
19. PGJ ETF Golden Dragon China Paverish	A	Dividend	J	T	Buy	10/21/13	J		
20. THD ETF Thailand		None			Sold	06/03/13	J	A	
21. WOOD Timber ETF		None			Buy	05/03/13	J		
22. WOOD Timber ETF		None			Sold	06/03/13	J		
23. AGTHX Growth Fund of America	B	Dividend	K	T					
24. AGTHX Growth Fund of America		None			Buy	01/28/14	K		
25. AIBAX Intermediate Bond Fund	A	Dividend	K	T					
26. AIVSX Investment Co. of America	C	Dividend	K	T					
27. AMECX Income Fund of America	B	Dividend	L	T					
28. CAIBX Capital Income Builder	B	Dividend	K	T					
29. NEWFX New World Fund	A	Dividend	K	T					
30. SMCWX Small Cap World Fund	C	Dividend	L	T					
31. BOND PIMCO ETF	A	Dividend			Sold	01/09/13	K		
32. DFE Wisdantree TR Europe	A	Dividend	J	T					
33. DWAS SM Cap Momentum	A	Dividend	J	T	Buy	10/17/13	K		
34. DXJ Japan ETF		None			Buy	05/09/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. DXJ Japan ETF		None			Buy	07/09/13	J		
36. DXJ Japan ETF		None			Sold	06/03/13	J		
37. DXJ Japan ETF		None			Sold	08/20/13	J		
38. ECON EM MKTS ETF		None			Buy	01/03/13	J		
39. ECON EM MKTS ETF Emerging Global		None			Sold	03/22/13	J		
40. XLF Financial ETF Select Sector SPDR	A	Dividend			Sold	05/02/13	K	B	
41. XRT Retail	A	Dividend	J	T	Buy	07/12/13	J		
42. Bank Deposit, Illinois National Bank, Springfield, IL	A	Interest	J	T					
43. China Exchange Traded Fund (ETF) PGJ		None			Sold	01/27/14	J		
44. Retail ETF - XRT		None			Sold	01/27/14	J		
45. Real Estate ETF - ICF		None	J	T					
46. Europe Dividend ETF - DFE	A	Dividend	J	T					
47. CONS DISC ETF - FXD	A	Dividend	J	T					
48. Mid Cap Core ETF - FNX	A	Dividend	J	T					
49. Real Estate ETF - ICF	A	Dividend	J	T					
50. Europacific Growth FD - AEPGX		None	K	T	Buy	01/09/14	K		
51. Intermediate BD FD - AIBAX	A	Dividend			Sold	01/09/14	K		

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52. New Perspective FD - ANWPX		None	K	T	Buy	01/09/14	K		
53. New World Fund NEWFX		None			Sold	01/28/14	K		
54. Capital Income BLDR FD - CAIBX	A	Dividend	K	T					
55. Income Fund of Amerilca ANECX	A	Dividend	L	T					
56. Investment Co of America - AIVSX	A	Dividend	K	T					
57. Small Cap World SMCWX		None	L	T					
58. Internet ETF - FDN		None	J	T					
59. Healthcare ETF - FXH		None	K	T					
60. Spain ETF - EWP		None	J	T					
61. Sm Cap Momentum ETF - DWAS		None	J	T					
62. Bank Deposit, U.S. Bank, Springfield, IL	A	Interest	J	T					
63. FDN Internet ETF		None			Sold	04/07/14	J	C	
64. DWAS Small Cap ETF		None			Sold	04/14/14	K		
65. UNG Natural Gas ETF		None	J	T	Buy	05/02/14	J		
66. FXR Ind Prod ETF		None	J	T	Buy	05/02/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1: These retirement plans are administered by the American Bar Association. In these plans, the filer does not own the underlying assets and cannot control the selection of assets, other than choosing a general category of investment. The specific funds in the Plans described on Line 1, Part VII, are Stable Asset Return Fund, Large Cap Equity Fund, and International All Cap Equity Fund. The employer match for the 401k plan for the filer's contributions made between January 1st and May 5th of 2014 will be made in 2014. After those employer match contributions are made, there will be no further contributions made by the filer's former law firm. The filer's spouse will soon be employed by the former law firm of the filer and the filer will be recused from participation in any litigation involving Brown, Hay & Stephens' attorneys due to spouse's employment by the firm.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas P. Schanzle-Haskins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544