

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tucker, Petrese B.	2. Court or Organization PA-Eastern District	3. Date of Report 11/24/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Room 9613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106-1751		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2000	PA State Retirement Plan
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	PA SERS State Employee Retirement System	\$28,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Commonwealth of PA - Common Pleas Court Judge - Salary
2. 2013	Cottage Home - Rental income
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	Farm Credit of the Virginias	Mortgage on Land	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Land Halifax County, VA		None	L	W					
2. Royal Bank - bank accounts	A	Interest	J	T					
3. Estate #1 - Real Estate Phila, PA Appraisal Date 1/20/09		None	M	Q					
4. Estate #1 - PNC Bank	A	Interest	K	T					
5. 3M Company	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
6.					Sold (part)	12/11/13	J	A	
7. Aarons Inc	A	Dividend	J	T	Buy	12/05/13	J		
8. Abbott Labs	A	Dividend	J	T	Buy (add'l)	11/11/13	J		
9.					Buy (add'l)	11/08/13	J		
10.					Buy (add'l)	12/11/13	J		
11.					Sold (part)	12/11/13	J	A	
12.					Sold (part)	12/11/13	J	A	
13.					Sold (part)	11/26/13	J	A	
14. Abbvie Inc	A	Dividend	J	T	Buy	12/11/13	J		
15. Accenture	A	Dividend	J	T	Buy	12/11/13	J		
16. ACE Ltd	A	Dividend	J	T	Buy	12/11/13	J		
17. Activation Blizzard Inc	A	Dividend			Sold	08/08/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Actuant Corp	A	Dividend	J	T	Buy	12/05/13	J		
19. ADP Inc	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
20.					Buy (add'l)	03/07/13	J		
21.					Buy (add'l)	03/08/13	J		
22.					Sold (part)	11/14/13	J	A	
23.					Sold (part)	11/15/13	J	A	
24. ADT CORP SHS	A	Dividend			Sold	12/11/13	J	A	
25.					Sold (part)	07/31/13	J	A	
26.					Buy (add'l)	04/05/13	J		
27. AETNA Inc New	A	Dividend			Sold	12/11/13	J	A	
28. Agilent Technologies Inc	A	Dividend			Sold	12/11/13	J	A	
29. Agrium Inc	A	Dividend			Sold	10/24/13	J	A	
30. Albemarle Corp	A	Dividend	J	T	Buy	12/11/13	J		
31. Alliance Data Systems Corp	A	Dividend	J	T	Buy (add'l)	06/27/13	J		
32.					Buy (add'l)	05/09/13	J		
33.					Buy (add'l)	12/11/13	J		
34.					Sold (part)	11/26/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. Alliance Global	A	Dividend	J	T					
36. ALLERGAN INC	A	Dividend			Sold	05/01/13	J	A	
37. ALLIANZ NFJ DIVIDEND VALUE FUND	A	Dividend			Sold	03/18/13	J	A	
38. Allstate Corp Del	A	Dividend			Sold (part)	11/26/13	J	B	
39.					Sold	12/11/13	J	A	
40. Altria Group	A	Dividend	K	T	Buy	12/11/13	J		
41.					Buy (add'l)	12/11/13	J		
42.					Buy (add'l)	12/11/13	J		
43.					Sold (part)	12/23/13	J	A	
44. AMAZON COM INC COM	A	Dividend	J	T	Sold (part)	02/05/13	J	A	
45.					Sold (part)	04/18/13	J	A	
46.					Sold (part)	06/27/13	J	A	
47.					Sold (part)	10/25/13	J	A	
48.					Sold (part)	11/26/13	J	A	
49. AMTR Financial Services	A	Dividend	J	T	Buy	12/05/13	J		
50. American Axle & Mfg	A	Dividend	J	T	Buy	12/05/13	J		
51. AMER EXPRESS COMP	A	Dividend	J	T	Sold (part)	12/11/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. AMER INTL GROUP	A	Dividend			Sold (part)	12/11/13	J	A	
53.					Sold (part)	04/30/13	J	A	
54.					Sold (part)	11/26/13	J	A	
55.					Sold	12/11/13	J	A	
56.					Buy	05/01/13	J		
57. American Express-Global Notes 6.15% 9/28/17	A	Interest			Sold	11/26/13	J	A	
58. Ameriprise Financial Inc	A	Dividend			Sold	11/26/13	J	B	
59.					Sold	12/11/13	J	A	
60. AMGEN INC COM PV \$,0001	A	Dividend			Sold	12/11/13	J	B	
61. AMN ELEC POWER CO	A	Dividend			Sold	12/11/13	J	A	
62. ANALOG DEVICES INC COM	A	Dividend	J	T	Buy	12/11/13	J		
63. ANHEUSER-BUSCH INBEV ADR	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
64.					Sold (part)	12/11/13	J	A	
65.					Sold (part)	11/26/13	J	A	
66. ANHEUSER-BUSCH INBEV SA GLB-4,125% 1/15/2015	A	Interest			Sold	12/10/13	J	A	
67. ANNALY CAP MGMT INC	A	Dividend			Sold	10/21/13	J	A	
68. AON CORP COM	A	Dividend	J	T	Sold (part)	11/26/13	J	A	

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69.					Buy (add'l)	05/07/13	J		
70.					Buy (add'l)	05/08/13	J		
71. Apache Corp	A	Dividend			Sold (part)	12/10/13	J	A	
72.					Sold	12/11/13	J	A	
73.					Buy (add'l)	01/15/13	J		
74.					Buy (add'l)	08/07/13	J		
75.					Buy (add'l)	08/07/13	J		
76. Apache Corp GLB 2.625 1/15/23	A	Interest			Sold	11/26/13	J	A	
77. Apple Computer	A	Dividend	K	T	Buy (add'l)	04/26/13	J		
78.					Buy (add'l)	10/29/13	J		
79.					Buy (add'l)	12/11/13	J		
80.					Buy (add'l)	12/11/13	J		
81.					Buy (add'l)	08/07/13	J		
82.					Sold (part)	12/11/13	J	A	
83.					Sold (part)	05/01/13	J	A	
84.					Sold (part)	07/01/13	J	B	
85.					Sold (part)	08/21/13	J	A	

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86.					Sold (part)	11/26/13	J	D	
87. Applied Material	A	Dividend			Sold	12/11/13	J	A	
88. ASML HLDG NV NEW YORK	A	Dividend	J	T	Sold (part)	08/09/13	J	A	
89.					Sold (part)	11/26/13	J	A	
90. ASSA ABLOY AB (X)	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
91. ASTRAZENECA PLC BONDS	A	Interest			Sold	11/26/13	J	A	
92. ASTRAZENECA PLC SPND ADR	A	Dividend			Sold	11/26/13	J	A	
93.					Sold	12/11/13	J	A	
94. AT&T	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
95.					Sold (part)	12/11/13	J	A	
96. AT&T INC GLOBAL BONDS-3% 2/15/2022	A	Interest			Sold	10/28/13	J	A	
97. AUTOZONE INC NEVADA COM	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
98. Atwood Oceanics	A	Dividend	J	T	Buy	12/05/13	J		
99. B/E Aerospace Inc	A	Dividend	J	T	Buy	12/05/13	J		
100. BAIDU INC SPON ADR	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
101. Balchem Corp	A	Dividend	J	T	Buy	12/05/13	J		
102. BANCO DO BRASIL SA-SPON	A	Dividend			Sold	02/01/13	J	A	

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103.					Sold	02/01/13	J	A	
104. BANK MANDIRI TBK-UNSPON	A	Dividend	J	T					
105. Bank of the Ozarks	A	Dividend	J	T	Buy	12/05/13	J		
106. BARRICK GOLD CORPORATION	A	Dividend			Sold	12/11/13	J	A	
107.					Buy	05/15/13	J		
108.					Buy (add'l)	07/16/13	J		
109. Baxter International Inc	A	Dividend	J	T	Buy	12/11/13	J		
110. BAYERISCHE MOTORENWERKE	A	Dividend	J	T	Buy (add'l)	09/12/13	J		
111.					Buy (add'l)	09/12/13	J		
112.					Sold (part)	12/11/13	J	A	
113. BCE Inc	A	Dividend	J	T	Buy	12/11/13	J		
114. BERKSHIRE HAYAWAY FIN CO-1.60% 5/15/2017	A	Interest			Sold	11/26/13	J	A	
115. BERKSHIRE HAYAWAY INC	A	Dividend			Sold	12/11/13	J	A	
116. BG GROUP PLC SPON ADR	A	Dividend							
117. BIF MONEY FUND	A	Dividend	M	T	Redeemed (part)	04/04/13	K		
118.					Redeemed (part)	04/04/13	K		
119. BIOGEN IDEC	A	Dividend	J	T	Sold (part)	12/11/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	11/26/13	J	B	
121.					Buy (add'l)	05/29/13	J		
122. Biomarin Pharmaceuticals	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
123.					Buy (add'l)	09/19/13	J		
124.					Buy (add'l)	11/14/13	J		
125. Bio Reference Labs	A	Dividend	J	T	Buy	12/05/13	J		
126. BLACKROCK BOND ALLOC TARGET SHS SERIES C	A	Dividend			Sold (part)	01/08/13	J	A	
127.					Sold	12/10/13	K	B	
128.					Buy (add'l)	05/13/13	J		
129. BLACKROCK BOND ALLOC TARGET SHS SERIES M	A	Dividend			Sold	12/10/13	K	A	
130.					Buy	05/13/13	J		
131. BLACKROCK ALLOC TARGET SHS SERIES S	A	Dividend	L	T	Buy	12/05/13	L		
132.					Sold (part)	12/23/13	J	A	
133.					Buy (add'l)	12/05/13	J		
134. Blackrock Inc	A	Dividend	J	T	Buy	12/11/13	J		
135.					Buy (add'l)	12/11/13	J		
136.					Buy (add'l)	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/23/13	J	A	
138. BLACKROCK EQTY DIVIDEND	A	Dividend			Sold	03/18/13	J	A	
139. BOEING CO - GLOBAL BONDS	A	Interest			Redeemed	02/15/13	J	A	
140. BOEING COMPANY	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
141.					Sold (part)	02/07/13	J	A	
142.					Sold (part)	11/26/13	J	B	
143. Boston Beer Company	A	Dividend	J	T	Buy	12/05/13	J		
144. BORG WARNER INC COM	A	Dividend			Sold	12/11/13	J	A	
145.					Sold (part)	02/04/13	J	A	
146. BOTTLING GROUP INC GLB-6.95% 3/15/2014	A	Int./Div.			Sold	11/26/13	J	A	
147. BP CAPITAL MARKETS PLC GLB-1.7% 12/5/2014	A	Int./Div.			Sold	11/26/13	J	A	
148. BP CAPITAL MARKETS PLC GLB-3.875% 3/1/2015	A	Int./Div.			Sold	11/26/13	J	A	
149. BRISTOL MYER SQUIBB	A	Dividend	K	T	Buy (add'l)	12/11/13	J		
150.					Buy (add'l)	12/11/13	J		
151.					Buy (add'l)	12/11/13	J		
152.					Buy	12/11/13	J		
153.					Sold (part)	01/09/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	11/26/13	J	B	
155.					Sold (part)	12/23/13	J	A	
156. BRITISH AMERICAN TOBACCO	A	Dividend			Sold	05/14/13	J	A	
157. CA Inc	A	Dividend			Sold	12/11/13	J	A	
158. CAMERON INTL CORT	A	Dividend			Sold	04/08/13	J	A	
159.					Sold (part)	04/05/13	J	A	
160.					Buy (add'l)	01/29/13	J		
161. Carnival Corp	A	Dividend	J	T	Buy	12/11/13	J		
162.					Buy (add'l)	12/11/13	J		
163.					Sold (part)	12/23/13	J	A	
164. CATERPILLER FINANCIAL SE - 7.150% 2/15/2019 (X)	A	Int./Div.			Sold	11/26/13	J	A	
165. Celgene Corp	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
166.					Sold (part)	11/26/13	J	A	
167.					Buy (add'l)	10/07/13	J		
168.					Buy (add'l)	11/12/13	J		
169.					Buy (add'l)	05/29/13	J		
170. CERNER CORP	A	Dividend			Sold	01/15/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CGG VERITAS	A	Dividend			Sold	03/11/13	J	A	
172. Checkpoint Software	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
173.					Buy (add'l)	08/06/13	J		
174.					Buy (add'l)	08/07/13	J		
175. CHEVRON CORP	A	Dividend	K	T	Buy (add'l)	12/11/13	J		
176.					Sold (part)	01/14/13	J	A	
177.					Sold (part)	12/11/13	J	A	
178. CHINA CONSTRUCT UNSPN AD	A	Dividend			Sold	03/20/13	J	A	
179.					Sold	03/20/13	J	A	
180. China Mobile Ltd SPN ADR	A	Dividend			Sold	09/13/13	J	A	
181.					Sold	09/13/13	J	A	
182. China Shenhua Energy	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
183. Chipotle Mexican Grill	A	Dividend			Sold (part)	06/27/13	J	A	
184.					Sold	06/28/13	J	A	
185.					Buy	01/17/13	J		
186. CHUBB CORP	A	Dividend			Sold	12/11/13	J	A	
187. Cinn Financial Corp	A	Dividend	J	T	Buy	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CISCO SYSTEMS INC	A	Dividend	J	T	Buy (add'l)	08/05/13	J		
189.					Buy (add'l)	12/11/13	J		
190.					Buy (add'l)	12/11/13	J		
191.					Buy (add'l)	08/05/13	J		
192.					Sold (part)	04/25/13	J	A	
193.					Sold (part)	11/14/13	J	A	
194.					Sold (part)	04/25/13	J	A	
195.					Sold (part)	11/14/13	J	A	
196.					Sold (part)	12/23/13	J	A	
197. CIT Group	A	Dividend	J	T	Buy	11/27/13	J		
198.					Buy (add'l)	11/26/13	J		
199.					Buy (add'l)	11/26/13	J		
200.					Sold (part)	12/11/13	J	A	
201. CITIGROUP INC COM NEW (FOMERLY CITIGROUP INC)	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
202.					Sold (part)	11/26/13	J	A	
203.					Sold (part)	12/11/13	J	A	
204.					Sold (part)	12/11/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. CITIGROUP INC - SUB NOTES	A	Interest			Sold	11/26/13	J	A	
206. Coca Cola Com	A	Dividend	K	T	Buy (add'l)	12/11/13	J		
207.					Buy (add'l)	12/11/13	J		
208.					Buy (add'l)	12/11/13	J		
209.					Sold (part)	11/26/13	J	A	
210. Colfax Corp	A	Dividend	J	T	Buy	12/05/13	J		
211. COMCAST CORP - BONDS	A	Interest			Sold	11/26/13	J	A	
212. COMCAST CORP NEW CL A	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
213.					Sold (part)	11/26/13	J	A	
214.					Buy (add'l)	07/11/13	J		
215. COMERICA INC	A	Dividend	J	T	Buy	12/19/13	J		
216. Conoco Phillips	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
217. Consol Energy	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
218. Continental AG Spnrd	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
219. CORNING INC	A	Dividend	J	T	Buy	12/11/13	J		
220.					Buy (add'l)	12/11/13	J		
221.					Sold (part)	12/11/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal (See Column C2)	P4 = More than \$50,000,000 R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Corrections Corp	A	Dividend	J	T	Buy	12/11/13	J		
223. COVIDIEN PLC SHS	A	Dividend	J	T	Buy	12/11/13	J		
224. Covidien Int'l Finance 1.35% 5/29/15	A	Interest	J	T	Buy	12/05/13	J		
225.					Buy (add'l)	12/05/13	J		
226. Credicorp	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
227. Credit Acceptance	A	Dividend	J	T	Buy	12/05/13	J		
228. CROWN CASTLE INTL CORP	A	Dividend	J	T	Buy (add'l)	2/22/13	J		
229.					Sold (part)	04/29/13	J	A	
230.					Sold (part)	11/26/13	J	A	
231. Cullen Frst Bkrs PV .01	A	Dividend	J	T	Buy	12/11/13	J		
232. CVS CAREMARK CORP	A	Dividend	J	T	Buy (add'l)	5/14/13	J		
233.					Buy (add'l)	12/11/13	J		
234.					Buy (add'l)	05/14/13	J		
235.					Sold (part)	12/11/13	J	A	
236.					Sold (part)	11/26/13	J	A	
237. Daihatsu Motor Unspnd	A	Dividend			Sold	04/30/13	J	A	
238. DAIMLERCHRYSLER NA HOLDING- BONDS 6.5% 11/15/2013	A	Interest			Redeemed	11/15/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. DAITO TR CONSTRUCTION CO	A	Dividend			Sold	02/05/13	J	A	
240. Daiwa House	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
241. DANAHER CORP DEL	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
242.					Buy (add'l)	12/11/13	J		
243.					Sold (part)	11/26/13	J	A	
244. Delphia Automotive	A	Dividend	J	T	Buy	12/11/13	J		
245. Diageo PLC	A	Dividend	J	T	Buy	12/11/13	J		
246. DIRECTTV HLDG/FIN INC-3.5% 3/1/16	A	Int./Div.			Sold	11/26/13	J	A	
247. DISCOVER COMMUNICATION	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
248. DISCOVER FINL SVCS	A	Dividend			Sold	12/11/13	J	A	
249. Disney Walt	A	Dividend	J	T	Buy	12/11/13	J		
250.					Sold (part)	12/11/13	J	A	
251. Dominion Res Inc New VA	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
252.					Buy (add'l)	12/11/13	J		
253.					Buy (add'l)	09/06/13	J		
254.					Buy (add'l)	09/08/13	J		
255.					Sold (part)	11/26/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Dorman Products Inc	A	Dividend	J	T	Buy	12/05/13	J		
257. Dover Corp	A	Dividend	J	T	Buy	07/22/13	J		
258.					Sold (part)	12/11/13	J	A	
259. Dow Chemical	A	Dividend			Sold	12/11/13	J	A	
260.					Buy	10/21/13	J		
261. Duke Energy	A	Dividend	J	T	Buy	12/11/13	J		
262. DU PONT E I DE NEMOURS	A	Dividend			Sold	12/11/13	J	A	
263. Eagle Bancorp Inc	A	Dividend	J	T	Buy	12/05/13	J		
264. Eastman Chemical Co	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
265. Eaton Corp	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
266.					Buy (add'l)	02/04/13	J		
267.					Buy (add'l)	02/04/13	J		
268.					Buy (add'l)	02/05/13	J		
269.					Sold (part)	05/13/13	J	A	
270.					Sold (part)	07/11/13	J	A	
271.					Sold (part)	11/26/13	J	A	
272. Ebay Inc	A	Dividend	J	T	Sold (part)	11/26/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	06/26/13	J		
274.					Buy (add'l)	08/15/13	J		
275. Edwards Lifesciences CRP	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
276. E M C CORPORATION MAS	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
277.					Sold (part)	12/11/13	J	A	
278.					Sold (part)	07/11/13	J	A	
279. Emerson Electric Co	A	Dividend	J	T	Buy	12/11/13	J		
280. Encore Capital Group Inc	A	Dividend	J	T	Buy	12/05/13	J		
281. Enersys	A	Dividend	J	T	Buy	12/05/13	J		
282. ENI SPA SPONSORED		None			Sold	07/02/13	J	A	
283. ENSCO PLC SHS CLA A (FORMERLLY ENSCO INTL LTD SPNSR ADR)	A	Dividend			Sold	12/11/13	J	A	
284. ENTERPRISE PRODUCTS OPER CO-5.2% 9/1/2020	A	Interest			Sold	11/26/13	J	A	
285. EOG Resources	A	Dividend			Sold	02/22/13	J	A	
286. Equinix Inc	A	Dividend			Sold	08/13/13	J	A	
287.					Buy (add'l)	04/29/13	J		
288.					Buy (add'l)	04/30/13	J		
289. Esterline Technologies CP	A	Dividend	J	T	Buy	12/05/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. EXELON CORP BONDS 4.9% 6/15/2015	A	Interest			Sold	11/26/13	J	A	
291. EXPERIAN PLC SP ADR	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
292. EXPRESS SCRIPT HLDG CO (FORMERLLY Express Scripts Inc Com)	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
293.					Sold (part)	05/10/13	J	A	
294.					Sold (part)	05/13/13	J	A	
295. Exxon Mobil	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
296.					Sold (part)	01/14/13	J	A	
297.					Sold (part)	08/08/13	J	A	
298.					Sold (part)	12/11/13	J	A	
299. FACEBOOK INC		None			Sold	11/26/13	J	A	
300.					Sold	12/11/13	J	A	
301. FAMILY DOLLAR STORES	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
302. FNMA .625% 10/30/14	A	Int./Div.	J	T	Buy	12/06/13	J		
303.					Buy (add'l)	12/06/13	J		
304. Federated Investors B	A	Dividend	J	T	Buy	12/11/13	J		
305.					Buy (add'l)	12/11/13	J		
306.					Sold (part)	12/11/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. FNMA 3.5% 2042	A	Interest			Sold (part)	01/11/13	J	A	
308.					Sold	10/25/13	J	A	
309. FED HOME LN MTG CORP-4.875% 11/15/013	A	Interest			Redeemed	11/15/13	J	A	
310. FED NAT'L MTG ASSOC-.625% 12/29/2014	A	Interest			Sold	12/24/13	J	A	
311. FED NAT'L MTG ASSOC-.625% 10/30/14	A	Interest			Sold	12/10/13	J	A	
312. FED NAT'L MTG ASSOC-1.250% 1/31/2017	A	Interest			Sold	12/10/13	J	A	
313.					Sold (part)	01/08/13	J	A	
314.					Buy (add'l)	05/13/13	J		
315. FHLMC .875% 3/7/2018	A	Interest			Buy	01/17/13	J		
316.					Buy (add'l)	01/17/13	J		
317.					Sold	11/26/13	J	A	
318.					Sold	12/10/13	J	A	
319. FHLMC .5% 3/30/16	A	Interest			Buy	02/15/13	J		
320.					Buy (add'l)	02/15/13	J		
321.					Sold (part)	11/26/13	J	A	
322.					Sold	12/10/13	J	A	
323. FEDEX CORP DELAWARE COM	A	Dividend	J	T	Buy (add'l)	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	03/28/13	J		
325.					Sold (part)	11/26/13	J	B	
326. FHLMC A9 4713 04% 2040	A	Interest			Sold	12/10/13	J	A	
327. FHLMC A9 6409 03 50% 2041	A	Interest			Sold	12/11/13	J	A	
328. FHLMC Q1 4039 03 50% 2042	A	Interest			Sold	11/26/13	J	A	
329.					Sold	12/10/13	J	A	
330.					Buy (add'l)	04/17/13	J		
331.					Buy (add'l)	04/17/13	J		
332. Fidelity National Information Svcs	A	Dividend	J	T	Buy	12/11/13	J		
333. FIFTH THIRD BANCORP	A	Dividend			Sold	12/11/13	J	A	
334. First C Financial Services	A	Dividend	J	T	Buy	12/05/13	J		
335. First Financial Holdings	A	Dividend	J	T	Buy	12/05/13	J		
336. First Service Sub Vtg	A	Dividend	J	T	Buy	12/05/13	J		
337. Fixed Income Shares Series M F CL	A	Dividend			Sold (part)	06/13/13	J	A	
338.					Sold (part)	06/13/13	J	A	
339.					Sold	12/10/13	K	B	
340.					Sold	12/10/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	09/05/13	J		
342.					Buy (add'l)	11/05/13	J		
343.					Buy (add'l)	09/05/13	J		
344.					Buy (add'l)	11/05/13	J		
345. FNMA P735580 5% 2035	A	Interest			Sold	08/22/13	J	A	
346.					Buy	03/18/13	J		
347. FNMA P745944 5% 2033	A	Interest			Sold	04/22/13	J	A	
348. FNMA P889579 6% 2038	A	Interest			Sold	12/10/13	J	A	
349. FNMA PAA4524 04 50% 2024	A	Interest			Sold	04/22/13	J	A	
350. FNMA PAH0936 03 50% 2040	A	Interest			Sold	12/11/13	J	A	
351. FNMA PAH2683 04% 2041	A	Interest			Sold	12/11/13	J	A	
352. FNMA PAJ2616 03 50% 2042	A	Interest			Sold	12/11/13	J	A	
353. FNMA PAL0065 04 50% 2041	A	Interest			Sold	12/11/13	J	A	
354. FNMA PAO9925 03 50% 2042	A	Interest			Sold	12/11/13	J	A	
355. FNMA PAP7553 03% 2042	A	Interest			Sold	12/11/13	J	A	
356. FNMA PMA0639 4% 2041	A	Interest			Sold	12/10/13	J	A	
357.					Buy	09/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. FNMA PAB5196 4% 2042	A	Interest			Buy	10/25/13	J		
359.					Sold	11/26/13	J	A	
360. FNMA PAK1932 4% 2042	A	Interest			Buy	02/07/13	J		
361.					Buy (add'l)	02/07/13	J		
362.					Sold	12/10/13	J	A	
363.					Sold	11/26/13	J	A	
364. FNMA PAL2825 3.5% 2042	A	Interest			Buy	04/17/13	J		
365.					Sold	10/25/13	J	A	
366. Ford Motor Co	A	Dividend			Sold (part)	11/26/13	J	A	
367.					Sold	12/11/13	J	A	
368.					Buy (add'l)	03/19/13	J		
369. Franklin Res Inc	A	Dividend	J	T					
370. FREEPT-MCMRAN CPR & GLD	A	Dividend			Sold	3/20/13	J	A	
371. Gallagher Arthur Inc	A	Dividend	J	T	Buy	12/11/13	J		
372. GAP INC DELAWARE	A	Dividend			Sold	01/02/13	J	A	
373. Gaming & Leisure Properties Inc	A	Dividend	J	T	Buy	12/05/13	J		
374. GENERAL ELEC CAP CORP GLB-I.625% 7/02/2015	A	Interest			Sold	12/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

11/24/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. GENERAL ELEC CAP CORP SER MTN-5.625% 9/15/2017	A	Interest			Sold	11/26/13	J	A	
376. GENERAL ELECTRIC	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
377.					Sold (part)	12/11/13	J	A	
378.					Sold (part)	08/15/13	J	A	
379.					Sold (part)	12/11/13	J	A	
380. General Mills	A	Dividend	J	T	Buy	12/11/13	J		
381. GENERAL MOTORS CO	A	Dividend	J	T	Buy	12/16/13	J		
382. GENL DYNAMICS CORP	A	Dividend	J	T	Buy	12/11/13	J		
383.					Buy (add'l)	12/11/13	J		
384.					Sold (part)	12/11/13	J	A	
385. Genessee & Wyoming	A	Dividend	J	T	Buy	12/05/13	J		
386. Genuine Parts Co	A	Dividend	J	T	Buy	12/11/13	J		
387. GILEAD SCIENCE INC	A	Dividend			Sold	06/26/13	J	A	
388. GlaxoSmithKline PLC ADR	A	Dividend	J	T	Buy	12/11/13	J		
389. Glaxosmithkline Capital 5.65% 5/15/18	A	Interest			Sold	11/26/13	J	A	
390. GOLDMAN SACHS GROUP INC	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
391.					Buy (add'l)	01/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

11/24/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	12/11/13	J	A	
393.					Sold (part)	05/03/13	J	A	
394. GOLDMAN SACHS GROUP INC - NOTES	A	Interest			Sold	11/26/13	J	A	
395. GOOGLE INC	A	Dividend	J	T	Buy (add'l)	06/06/13	J		
396.					Buy (add'l)	11/13/13	J		
397.					Buy (add'l)	12/9/13	J		
398.					Buy (add'l)	12/11/13	J		
399.					Buy (add'l)	11/13/13	J		
400.					Buy (add'l)	12/09/13	J		
401.					Sold (part)	12/11/13	J	B	
402.					Sold (part)	11/26/13	J	B	
403.					Sold (part)	12/11/13	J	A	
404.					Sold (part)	11/26/13	J	B	
405. Halliburton Company	A	Dividend			Sold (part)	03/18/13	J	A	
406.					Sold (part)	03/18/13	J	A	
407.					Sold	12/11/13	J	A	
408.					Buy (add'l)	08/08/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Tucker, Petrese B.

Date of Report

11/24/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. HARBOR INTERNATIONAL	A	Dividend			Sold	03/05/13	J	A	
410. HARRIS CORP DEL (FORMERLY HARRIS STRATEX NETWORK)	A	Dividend			Sold (part)	11/26/13	J	A	
411.					Sold	12/11/13	J	A	
412. Hartford Financial Services Inc	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
413. Hasbro Inc	A	Dividend	J	T	Buy	12/11/13	J		
414.					Buy (add'l)	12/11/13	J		
415.					Sold (part)	12/11/13	J	A	
416. Hatteras Financial Corp	A	Dividend	J	T	Buy	12/11/13	J		
417. HCP Inc	A	Dividend	J	T	Buy	12/11/13	J		
418. Healthcare REIT Inc Com	A	Dividend	J	T	Buy	12/11/13	J		
419. Heico Corp	A	Dividend	J	T	Buy	12/05/13	J		
420. Hewlett Packard GLB 2.6% 9/15/17	A	Interest			Sold	11/26/13	J	A	
421.					Buy (add'l)	02/25/13	J		
422. Hibbett Sports Inc	A	Dividend	J	T	Buy	12/05/13	J		
423. Hollyfrontier Corp	A	Dividend			Sold	11/14/13	J	A	
424.					Buy	04/16/13	J		
425. Home Bancshares Inc	A	Dividend	J	T	Buy	12/05/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Home Depot Inc	A	Dividend	J	T	Buy	7/26/13	J		
427.					Buy (add'l)	07/02/13	J		
428.					Sold (part)	11/26/13	J	A	
429. Honeywell Intl Inc Del	A	Dividend	J	T					
430. HSN Inc	A	Dividend	J	T	Buy	12/05/13	J		
431. IBM Corp	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
432.					Buy (add'l)	12/11/13	J		
433.					Sold (part)	02/28/13	J	A	
434.					Sold (part)	08/05/13	J	A	
435.					Sold (part)	11/26/13	J	A	
436. ILLUMINA INC COM	A	Dividend			Sold	11/01/13	J	A	
437. INGERSOL-RAND PLC	A	Dividend			Sold	12/11/13	J	A	
438. Intel Corp	A	Dividend	K	T	Buy (add'l)	10/22/13	J		
439.					Buy (add'l)	12/11/13	J		
440.					Buy (add'l)	12/11/13	J		
441.					Buy (add'l)	10/22/13	J		
442.					Sold (part)	12/11/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	11/26/13	J	A	
444.					Sold (part)	12/11/13	J	A	
445.					Sold (part)	12/11/13	J	A	
446. Intercontinental Exchange	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
447. International Consolidated	A	Dividend	J	T	Sold (part)	12/11/13	J	A	
448. INTERTEK GROUP PLC SHS	A	Dividend			Sold	10/22/13	J	A	
449.					Sold	10/22/13	J	A	
450. INTL PAPER CO	A	Dividend			Sold (part)	03/13/13	J	A	
451.					Sold (part)	03/27/13	J	A	
452.					Sold	12/11/13	J	A	
453.					Sold	12/11/13	J	A	
454. Intuit	A	Dividend	J	T	Sold (part)	11/26/13	J	A	
455.					Buy (add'l)	10/31/13	J		
456. IVY ASSET STRATEGY FUND CL I (X)	A	Dividend			Sold	03/18/13	J	A	
457. JOHNSON AND JOHNSON	A	Dividend	K	T	Buy (add'l)	1/30/13	J		
458.					Buy (add'l)	12/11/13	J		
459.					Buy (add'l)	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	