AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Woodcock , John A.	Maine	05/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
202 Harlow Street Bangor, ME 04401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Emeritus Member of Board of Trustees	Bowdoin College
2.	Member Board of Visitors	University of Maine School of Law
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Merck Common Stock	B	Dividend	K	T					
2. Chindex International Common Stock		None	J	T					
3. Boeing Common Stock	A	Dividend	K	T					
4. Cisco Common Stock	A	Dividend	K	T	Buy (add'l)	01/11/13	J		
5. MetLife Common Stock	A	Dividend	K	T					
6. Wells Fargo Common Stock	C	Dividend	M	T					
7. Union Pacific Common Stock	A	Dividend	K	T	Buy	04/11/13	K		
8. Frontier Communications Common Stock	A	Dividend	J	T					
9. Mitsui Common Stock - ADRs	B	Dividend	K	T					
10. Apple, Inc. Common Stock	B	Dividend	L	T					
11. MorganStanley Bank Deposits (Comment)	B	Int./Div.	L	T					
12. Cisco Common Stock (Comment)	A	Dividend			Sold	10/15/13	J	A	
13. ExxonMobil Common Stock	B	Dividend	L	T					
14. General Electric Common Stock	B	Dividend	K	T					
15. American Growth Fund of America Mutual Fund (Comment)	B	Dividend	K	T					
16. American Capital Income Builder	C	Dividend	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Norfolk Southern Common Stock	A	Dividend	K	T					
19. Pepsico Common Stock	A	Dividend	K	T					
20. Ameritas Life Insurance Corp		None	L	T					
21. Procter & Gamble Common Stock	A	Distribution	K	T					
22. Bangor Savings Bank Checking Account	A	Int./Div.	J	T					
23. ASA (Bermuda) STD Common Stock	A	Dividend	J	T					
24. Health Care Services Group Common Stock	B	Dividend	K	T					
25.									
26.									
27. CVS Caremark Corp Common Stock	A	Dividend	K	T					
28.									
29. United Technologies Common Stock	A	Dividend	K	T					
30. AT&T Common Stock	B	Dividend	K	T					
31.									
32. Legg Mason ClearBridge Appreciation Fund IRA	A	Dividend	L	T					
33. Legg Mason ClearBridge Equity Income Builder Fund	A	Dividend	K	T					
34.									

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35.									
36. McDonalds Corp. Common Stock	B	Dividend	L	T					
37. IBM Common Stock	A	Dividend	K	T					
38. Johnson & Johnson Common Stock	A	Dividend	K	T					
39. Stericycle, Inc. Common Stock		None	L	T					
40. U.S. Bancorp Common Stock	A	Dividend	K	T					
41. JP Morgan Chase Common Stock	A	Dividend			Sold	04/11/13	K	E	
42. Zimmer Holdings Common Stock	A	Dividend	K	T					
43. MetLife Life Insurance Policies	A	Dividend	K	T					
44. Caterpillar, Inc. Common Stock	A	Dividend			Sold	04/11/13	K		
45. Chevron Corp. Common Stock	A	Dividend	K	T					
46. Rental Property, Hancock, Maine (Comment)		None	O	R					
47. American Income Fund of America Mutual Fund	B	Dividend	K	T					
48. EMC Corp. Mass Common Stock		None			Sold	01/11/13	K	A	
49. Seadrill Ltd. Common Stock	B	Dividend	K	T					
50. Verizon Common Stock	B	Dividend	K	T	Buy (add'l)	01/11/13	J		
51.									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Cisco Common Stock - Items 4 reflects a purchase of additional shares on January 11, 2013 and Item 12 reflects a partial sale on October 15, 2013. Item 4 reflects information concerning retained stock; Item 12 contains information concerning the sold shares of Cisco.

Regarding Item 11, MorganStanley Bank Deposits was the recipient of stock sales, interest and dividends during the year.

Regarding Item 46, the property was not rented in 2013. The item is listed for consistency. The property was purchased on June 29, 2011 for $573,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John A. Woodcock

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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