

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) YOUNG, H. DAVID	2. Court or Organization E.D. OF ARKANSAS	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 500 W. Capitol Ave., Suite C255 Little Rock, AR 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED]									
2. Ark. Pit & Quarry	B	In/roy	N	U					
3. Cross County Gravel Co.	A	Interest	J	U					
4. Rock Island Gravel Co.	A	Interest	K	U					
5. McGeorge Equipment Rental	D	In/roy	P1	U					
6. McGeorge Contracting Co., Inc	G	Dividend	P1	W					
7. Pine Bluff Sand & Gravel Co.	E	Dividend	P1	W					
8. Cornerstone Farm & Gin Co.	D	Dividend	M	W					
9. Standard Investment Co.	D	Dividend	M	W					
10. TRUST									
11. Simmons 1st Nat'l Bank	G	Div/Int	P1	W					
12. ASSETS									
13. (1) bank acct.- Simmons 1st National Bank, [REDACTED]									
14. (2) stock in Pine Bluff Sand and Gravel Co.									
15. (3) stock in McGeorge Contracting Co.									
16. (4) 1/6 interest in [REDACTED] land - Jefferson Co., AR									
17. TRUST									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Simmons 1st Nat'l Bank, Trustee - est. 12/10/76	D	Dividend	M	W					
19. ASSETS									
20. (1) bank acct.-Simmons 1st National Bank, [REDACTED]									
21. (2) stock in McGeorge Contracting Co.									
22. (3) stock in Pine Bluff Sand & Gravel Co.									
23. (4) U.S. Treasury Bill - 20,000									
24. MISC. STOCKS & BONDS									
25. Fidelity Adv. Tech. Fund	A	Dividend	K	T					
26. Sterne Agee Money Mkt Fund	E	Interest	P1	T					
27. Gen. Elec.	A	Dividend	J	T					
28. Wal-Mart	A	Dividend	L	T					
29. Virtus Oppty Trust Fund	A	Int./Div.	K	T					
30. Virtus Opportunities Trust Global Fund	A	Int./Div.	K	T					
31. EMC Corp	A	Dividend	J	T					
32. First Trust Enhanced	A	Dividend	K	T					
33. Cisco Systems	A	Dividend	J	T					
34. Simmons First National Bank	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

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35. 1/3 int. in real estate in Pine Bluff, AR		None	M	W					
36. 1/6 int. [REDACTED] Ark. Co. AR		None	L	W					
37. International Paper Co.	A	Dividend	L	T					
38. TRUST No. 2 AT Bank of America	D	Interest	N	T					
39. Columbia Tax Exempt Fund (nowBank of Am Reserves Trust Class	A	Interest	J	T					
40. Columbia Lge Cap Fd	A	Dividend	J	T					
41. Columbia Inter. Mun Bd	A	Dividend	J	T					
42. Columbia Sm Cap Val	A	Dividend	J	T					
43. Columbia Inter. Eq	A	Dividend	K	T					
44. Columbia Midcap value	A	Dividend	J	T					
45. Columbia Short Term Mun Inc Fund	A	Dividend	M	T					
46. Columbia Acorn Fund	A	Dividend	J	T					
47. Credit Suisse Commodity Return	A	Dividend	J	T					
48. IShares MSCI Emging Mkt	A	Dividend	J	T					
49. Centerton Ark MunDistNo 3	A	Interest	L	T					
50. SW Rural Dev Auth	A	Interest	K	T					
51. Ark St Dev Fin Auth Constr	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

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YOUNG, H. DAVID

Date of Report

05/15/2014

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52. Fayetteville Ar School	A	Interest	L	T					
53. Gentry Ar School	A	Interest	M	T					
54. Paris Ar School	A	Interest	L	T					
55. Ark St Dev Fin Auth Hendrix College	A	Interest	M	T					
56. Benton AR Cap Imp Rev	A	Interest	L	T					
57. Birmingham, Al wtrwrks	A	Interest	K	T					
58. Brazos Rvr Auth Tex Rev	A	Interest	L	T					
59. Brookland Ar Sch	A	Interest	L	T					
60. Coppell Tx Recr	A	Interest	J	T					
61. Heber Springs AR Sales & Use Tax	A	Interest	K	T					
62. Indep Cty AR Pub Hlth	A	Interest	L	T					
63. LR AR RFDG Libr Const	A	Interest	M	T					
64. Northern Lights Alteris Mgd. Futures	A	Dividend	M	T					
65. Northern Lights Princeton Futures	A	Interest	M	T					
66. Virtus Dynamic	A	Dividend	M	T					
67. Ark St Dev Fin Auth AGYS	A	Interest	K	T					
68. Ark St Dev Fin Auth Mt Magazine	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

YOUNG, H. DAVID

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69. Ark StDevFinAuth CorrFac	B	Interest	L	T					
70. MagnoliaArkSales	B	Interest	K	T					
71. Conway AR	A	Interest	K	T					
72. TRUST No. 1 @ Bank of America									
73. TRUST ACCT. AT Bank of America	E	Indiv	O	T					
74. Bank of A Res Class Trust Fund formally Col Tax Exempt Fund	C	Interest	M	T					
75. IBM	A	Dividend	K	T					
76. General Electric	A	Dividend	J	T					
77. Baxter Co. Hospital	C	Interest	K	T					
78. Columbia Managed Small Cap.	A	Interest	J	T					
79. Cisco Sys. Inc.	A	Dividend	J	T					
80. Citigroup, Inc.	A	Dividend	J	T					
81. Vanguard Div Apprec	A	Dividend	L	T	Buy	10/15/13	L		
82. Columbia Div Inc Fund	A	Dividend	K	T					
83. Columbia Select Lg Cap	A	Dividend	K	T					
84. IShares MSCI Emging Mkt	A	Dividend	K	T					
85. Exxon-Mobil Corp.	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

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86. Pepsico	A	Dividend	J	T					
87. Proctor & Gamble	A	Dividend	J	T					
88. AT & T	A	Dividend	J	T					
89. Wells Fargo	A	Dividend	J	T					
90. Microsoft	A	Dividend	K	T					
91. CocaCola	A	Dividend	J	T					
92. Dell Comp	A	Dividend			Sold	10/30/13	J	A	
93. Pfizer	A	Dividend	J	T					
94. Novartis	A	Dividend	K	T					
95. Macy's	A	Dividend	J	T					
96. Coach	A	Dividend	J	T					
97. Smucker's	A	Dividend	J	T					
98. Columbia Int'l Value	A	Dividend	K	T					
99. Columbia InterMed Bd	A	Dividend	M	T					
100. Columbia MidCap Growth	A	Dividend	K	T					
101. Columbia MidCap Value	A	Dividend	K	T					
102. Columbia Marisco Growth Fund	A	Dividend			Sold	10/10/13	L	D	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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103. Johnson & Johnson	A	Dividend	J	T					
104. Springdale Ark Sales Use REV RFDG	C	Interest			Matured	10/02/13	K	A	
105. Rogers Ark SWR Rev Impt	B	Interest	L	T					
106. LR Ark INDL Dev Rev	B	Interest	K	T					
107. Ark St Dev Fin Auth Rev St Agen	B	Interest	K	T					
108. Jeff Cty Ark Pol CTL	A	Interest			Matured	02/04/13	J	A	
109. Ark St. Univ RFDG Constr-HSG SYS	A	Interest	J	T					
110. LR Ar Hlth Fac	A	Interest	J	T					
111. Indep Cty Ark Pol Ctl	B	Interest			Matured	02/04/13	K	A	
112. Ark St Dev Fin Auth Pub Purp Rev	A	Interest	K	T					
113. Birmingham Al wtrwrks	A	Interest	L	T					
114. Marshall City Al Health	A	Interest	K	T					
115. Texas Wtr Dev	A	Interest			Matured	08/23/13	K	A	
116. Texas St PFA Bldg	A	Interest	K	T					
117. Heber Sprgs Ark Hosp Hlth Care	B	Interest	K	T					
118. Van Buren Ar Schl Dist	B	Interest	K	T					
119. Morgan Stanley Group Global NT corp bond	A	Interest			Matured	03/01/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 11 of 19

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120. Bank of America	A	Dividend	J	T					
121. Regions Fin Corp	A	Dividend	J	T					
122. Simmons First	A	Dividend	J	T					
123. Windstream Corp	A	Dividend	J	T					
124. Fidelity Advisor New Insights Fund	A	Dividend			Donated				
125. Univ Cent Ark Rev RFDG Student	C	Interest	L	T					
126. Fayetteville Ar Sch	A	Interest	K	T					
127. Fayetteville Ar Sch	A	Interest	K	T					
128. Gentry Ar Sch	A	Int./Div.	L	T					
129. Virtus Oppty Trust	A	Interest	J	T					
130. Virtus Opportunities Trust Allecdor	A	Interest	K	T					
131. First Trust Unit 3289	A	Interest	J	T					
132. First Unit Trust 3779	A	Interest	K	T					
133. Bentonville AR Sch Dist	A	Int./Div.	L	T	Buy	08/22/13	L		
134. Birmingham Baptist Med Ctr	A	Int./Div.	K	T	Buy	08/02/13	K		
135. Lake Hamilton AR Sch Dist	A	Int./Div.	M	T	Buy	07/24/13	M		
136. Lee County AL WTS	A	Int./Div.	J	T	Buy	08/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UA Rev RFDG Student Fee	A	Int./Div.	M	T	Buy	07/22/13	M		
138. First Trust Core Three	A	Int./Div.	K	T	Buy	07/23/13	K		
139. First Trust MLP	A	Int./Div.	L	T	Buy	07/23/13	L		
140. First Trust Target Gbl Div LDRS	A	Int./Div.	L	T	Buy	08/26/13	L		
141. First Trust6 ETF	A	Int./Div.	L	T	Buy	08/26/13	L		
142. First Trust Euro Deep Val	A	Int./Div.	L	T	Buy	10/24/13	L		
143. First Trust 75/25 Strat Alloc	A	Int./Div.	L	T	Buy	12/26/13	L		
144. Fidelity Advisor New Insights	A	Int./Div.	L	T	Buy	09/18/13	L		
145. First Eagle Global	A	Int./Div.	L	T	Buy	12/26/13	L		
146. Mainstay Marketfield	A	Int./Div.	L	T	Buy	08/26/13	L		
147. Virtus Dynamic AlphaSector	A	Int./Div.	L	T	Buy	12/26/13	L		
148. Virtus Global Prem AlphaSector	A	Int./Div.	L	T	Buy	10/24/13	L		
149. IRA No. 1									
150. Blackrock Equity Div Fund	A	Int./Div.			Sold	03/21/13	J	A	
151. First eagle Funds Overseas	A	Int./Div.			Sold	03/21/13	J	A	
152. ING Mutual Funds Global real estate	A	Int./Div.	J	T					
153. Mainstay Funds Large Cap Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. PIMCO Total Return Funds	A	Int./Div.	J	T					
155. Pioneer Strategic Inc Fund	A	Int./Div.	J	T					
156. Ridgeworth Total Return Bond Funds	A	Int./Div.	J	T					
157. Royce 100 Fund Investment Class	A	Int./Div.			Sold	04/25/13	J	A	
158. Templeton Global Invst Fund	A	Int./Div.			Sold	06/27/13	J	A	
159. Thornburg Invst Intl Value Fund	A	Int./Div.			Sold	03/21/13	J	A	
160. JP Morgan Trust II Core	A	Interest	J	T					
161. MFS Union Std Tr Eq Fund	A	Interest	J	T					
162. Northern Funds MultiManager	A	Interest	J	T					
163. VanEckFundsGlobalHardAssets	A	Interest	J	T					
164. 1 Shares 1-3 yr Credit bond	A	Int./Div.	J	T	Buy	06/27/13	J		
165. Del Small Cap Core	A	Int./Div.	J	T	Buy	04/25/13	J		
166. MFS Int'l Diversif	A	Int./Div.	J	T	Buy	03/21/13	J		
167. Ridgeworth Large Cap	A	Int./Div.	J	T	Buy	03/21/13	J		
168. Transamerica Int'l Mutual Fund Class I	A	Int./Div.	J	T	Buy	03/21/13	J		
169. IRA No. 2									
170. Blackrock Equity Div Fund	A	Int./Div.			Sold	03/21/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. First eagle Funds Overseas	A	Int./Div.			Sold	03/21/13	J	A	
172. ING Mutual Funds Global real estate	A	Int./Div.	J	T					
173. Mainstay Funds Large Cap Growth	A	Int./Div.	J	T					
174. PIMCO Total Return Funds	A	Int./Div.	J	T					
175. Pioneer Strategic Inc Fund	A	Int./Div.	J	T					
176. Ridgeworth Total Return Bond Funds	A	Int./Div.	J	T					
177. Royce 100 Fund Investment Class	A	Int./Div.			Sold	04/25/13	J	A	
178. Templeton Global Invnt Fund	A	Int./Div.			Sold	06/27/13	J	A	
179. Thornburg Invnt Intl Value Fund	A	Int./Div.			Sold	03/21/13	J	A	
180. JPMorganTrustHICoreBond	A	Interest	J	T					
181. MFSUnionStdTrEq	A	Interest	J	T					
182. NorthernFundsMultiMg MidCap	A	Interest	J	T					
183. I Shares 1-3 yr Credit bond	A	Int./Div.	J	T	Buy	06/27/13	J		
184. VanEckFundsGlobalHardAssets	A	Interest	J	T					
185. Del Small Cap Core	A	Int./Div.	J	T	Buy	04/25/13	J		
186. MFS Int'l Diversif	A	Int./Div.	J	T	Buy	03/21/13	J		
187. Ridgeworth Large Cap	A	Int./Div.	J	T	Buy	03/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Transamerica Int'l Mutual Fund Class I	A	Int./Div.	J	T	Buy	03/21/13	J		
189. SA Trust 1									
190. SA Insured Deposit program	A	Interest	L	T	Open	01/01/13	L		
191. SA Trust 2									
192. SA Insured Deposit Program	A	Interest	K	T	Open	01/01/13	K		
193. Pimco Dynamic Credit Fund	A	Int./Div.			Buy	01/29/13	K		
194. Pimco Dynamic Credit Fund	A	Int./Div.			Sold	11/22/13	K	A	
195. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy	03/05/13	K		
196. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy (add'l)	11/22/13	K		
197. Babson Capital GLB Short Duration	A	Int./Div.	K	T	Buy	07/26/13	K		
198. Virtus Global Premium AlphaSector	A	Int./Div.	K	T	Buy	08/26/13	K		
199. First Trust Target GLB DivLDRS	A	Int./Div.	K	T	Buy	08/26/13	K		
200. First Trust MLP and Energy	A	Int./Div.	K	T	Buy	08/26/13	K		
201. First Trust 6 ETF	A	Int./Div.	K	T	Buy	08/26/13	K		
202. SA Trust 3									
203. SA Insured Deposit program	A	Interest	K	T	Open	01/01/13	K		
204. Pimco Dynamic Credit Fund	A	Int./Div.			Buy	01/29/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Pimco Dynamic Credit Fund	A	Int./Div.			Sold	11/22/13	K	A	
206. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy	03/05/13	K		
207. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy (add'l)	05/07/13	K		
208. Northern Lights Altegri Mgd Futures	A	Int./Div.	K	T	Buy	06/25/13	K		
209. First Trust ALT INCM MO CA	A	Int./Div.	K	T	Buy	06/25/13	K		
210. First Trust Target GLB DivLDRS	A	Int./Div.	K	T	Buy	08/26/13	K		
211. SA Trust 4									
212. SA Insured Deposit program	A	Interest	K	T	Open	01/01/13	K		
213. Pimco Dynamic Credit Fund	A	Int./Div.			Buy	01/29/13	K		
214. Pimco Dynamic Credit Fund	A	Int./Div.			Sold	11/22/13	K	A	
215. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy	03/05/13	K		
216. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy (add'l)	08/26/13	K		
217. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy (add'l)	09/16/13	K		
218. Virtus Dynamic AlphaSector CLC	A	Int./Div.	K	T	Buy (add'l)	11/22/13	K		
219. Babson Capital GLB Short Duration	A	Int./Div.	K	T	Buy	07/26/13	K		
220. Virtus Allocator Prem	A	Int./Div.	K	T	Buy	08/26/13	K		
221. Virtus Global Premium AlphaSector	A	Int./Div.	K	T	Buy	08/26/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. First Trust Target GLB DivLDRS	A	Int./Div.	K	T	Buy	08/26/13	K		
223. First Trust MLP and Energy	A	Int./Div.	K	T	Buy	08/26/13	K		
224. First Trust 6 ETF	A	Int./Div.	K	T	Buy	08/26/13	K		
225. Northern Lights Altegris Mgd Futures	A	Int./Div.	K	T	Buy	09/17/13	K		
226. Histatek		None	K	T					
227. AMJ Corp	E	Dividend	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

YOUNG, H. DAVID

Date of Report

05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **H. DAVID YOUNG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544