

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Jane	2. Court or Organization 8th Circuit	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 111 7th Avenue SE, Box 20 Cedar Rapids, Iowa 52401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Washington University School of Law	August 22-23, 2017	St. Louis, Missouri	Washington University School of Law Convocation participant	hotel, meal
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust (H)									
2. --Vanguard 500 Index Fund	A	Dividend	K	T					
3. --shares in Cox-Kelly Farms (subchapter S corporation)	F	Dividend	O	W					
4. --Fidelity Core Account	A	Interest	J	T					
5. Illinois SURS-traditional benefit plan	A	Interest	J	T					
6. U.S. Bank, cash accounts	A	Interest	O	T					
7. ACE Limited	A	Dividend	J	T					
8. Accenture	A	Dividend	K	T					
9. Allergan (formerly Actavis)	A	Dividend			Sold	12/01/17	J	A	
10. Aetna US Healthcare	A	Dividend	K	T					
11. Amphenol Corp.	A	Dividend	J	T					
12. Apple Inc	A	Dividend	K	T					
13. Ashland Inc Sr Note (X)	A	Interest			Sold	06/26/17	K	A	
14. Berkshire Hathaway		None	K	T					
15. CSX Corp	A	Dividend	K	T					
16. Diageo PLC (X)	A	Dividend	J	T					
17. Dow Chemical	A	Dividend	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Expedia Inc	A	Dividend	J	T					
19. Exxon Mobil	A	Dividend	J	T					
20. FDIC Insured Deposit	A	Interest	K	T					
21. First Republic Bank	A	Dividend	J	T					
22. Flowserve Corp	A	Dividend			Sold	05/25/17	J	A	
23. General Electric	A	Dividend			Sold	12/01/17	J	B	
24. Goldman Sachs	A	Dividend	K	T					
25. Google Inc. A	A	Dividend	J	T					
26. Google Inc. C	A	Dividend	J	T					
27. Iowa State Univ. Bond	A	Interest	K	T					
28. Ishares MSCI	A	Dividend	J	T					
29. Ishares Russell 2000 Value	A	Dividend	K	T					
30. Ishares Russell 2000 Growth	A	Dividend	J	T					
31. Ishares Emerging Markets	A	Dividend	J	T					
32. Marriott International Note	A	Interest	K	T					
33. Mastercard	A	Dividend	K	T					
34. Nestle	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Nextera Energy	A	Dividend	K	T					
36. Nike	A	Dividend	K	T					
37. Northern Trust	A	Dividend	J	T					
38. Ross Stores	A	Dividend			Sold	12/01/17	K	D	
39. Skyworks Solutions	A	Dividend	J	T					
40. TJX Companies	A	Dividend	K	T					
41. United Tech Corp	A	Dividend	K	T					
42. Univ of Iowa Bond	A	Interest	K	T					
43. Vanguard CRSP -Grow	A	Dividend	K	T					
44. Vanguard CRSP- Value	A	Dividend	K	T					
45. Verizon Comm	A	Dividend			Sold	12/01/17	K	B	
46. Wyndham Worldwide	A	Dividend	J	T					
47. Des Moines IA Bond	A	Interest	K	T					
48. Higher Dimension Research		None	J	W					
49. Arrow Electronics	A	Dividend	J	T					
50. Cedar Rapids, Iowa bond	A	Interest	K	T					
51. Comcast Corporation	A	Dividend	J	T					

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52. Council Bluffs, Iowa bond	A	Interest	K	T					
53. Council Bluffs, Iowa bond	A	Interest	K	T					
54. National Oilwell Varco Inc	A	Dividend			Sold	05/25/17	J	A	
55. NXP Semi Conductor NV	A	Dividend			Sold	12/01/17	K	C	
56. The Growth Fund of America	A	Dividend	J	T					
57. The New Economy Fund	A	Dividend	J	T					
58. The New Perspective Fund	A	Dividend	J	T					
59. The SmallCap World Fund	A	Dividend	J	T					
60. Bank of the West cash account		None	K	T					
61. JP Morgan Chase	A	Dividend	K	T					
62. Checkpoint Software	A	Dividend	J	T					
63. Pfizer	A	Dividend	J	T					
64. Abbott Labs	A	Dividend	K	T					
65. Spirit Lake Comm. Schools	A	Dividend	J	T					
66. Cedar Rapids Iowa	A	Interest	J	T					
67. The Hein Celestial Group	A	Dividend			Sold	02/17/17	J	A	
68. Lockheed Martin Corp	A	Dividend	J	T					

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69. Johnson and Johnson	A	Dividend	J	T					
70. FactSet Research Systems	A	Dividend			Sold	12/01/17	J	B	
71. Chicago Bridge and Iron Co. NV	A	Dividend			Sold	08/10/17	J	A	
72. Casey's General Stores	A	Dividend			Sold	08/24/17	J	A	
73. Alibaba Group Holding	A	Dividend	K	T					
74. AECOM	A	Dividend	J	T					
75. Thermo Fisher Scientific	A	Dividend	J	T					
76. PayPal Holdings	A	Dividend	J	T					
77. Sensata Technologies Holding	A	Dividend	J	T	Buy	05/25/17	J		
78. Pioneer Natural Resources	A	Dividend	J	T	Buy	05/25/17	J		
79. Volkswagen AG-ADR	A	Dividend	J	T	Buy	05/25/17	J		
80. SPOR S&P Biotech	A	Dividend	J	T	Buy	05/25/17	J		
81. Highwoods Realty	A	Interest	K	T	Buy	07/17/17	K		
82. University of Iowa Bond	A	Interest	J	T	Buy	08/18/17	J		
83. Microsemi Corp	A	Dividend	J	T	Buy	12/01/17	J		
84. International Business Machines	A	Dividend	J	T	Buy	12/01/17	J		
85. Citigroup Inc	A	Dividend	J	T	Buy	12/01/17	J		

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86. Check Point Software Technologies	A	Dividend	J	T	Buy	12/01/17	J		
87. BB&J Corporation	A	Dividend	J	T	Buy	12/01/17	J		
88. SK Telecom Co	A	Dividend	J	T	Buy	12/01/17	J		
89. Shire PLC	A	Dividend	J	T	Buy	12/01/17	J		
90. Mondelez International	A	Dividend	J	T	Buy	12/01/17	J		
91. Kimberly-Clark Corp	A	Dividend	J	T	Buy	12/01/17	J		
92.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jane Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544