

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Maureen P.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 07/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court of the Western District of PA 9280 U.S. Post Office and Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Carnegie Museum of Natural History
2. Board Member	Seton LaSalle Catholic High School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 11/30/2012	Dattilo & Associates. Referral fee on case referred to another law firm in 2008 (prior to appointment to judicial position in June 2011). Contingent	\$20,562.50
2.	(continued from above) referral fee paid at the end of the litigation on November 30, 2012.	
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Architect, S-Corp, Hayes Design Group-Architects, Inc. - W2 and K1 (Employee & 100% Shareholder)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab retirement inherited IRA	C	Distribution	J	T					
2. -Cash & Cash Equivalents (Schwab various accounts)		None	J	T					
3. -Large Domestic Equity Berkshire Hathaway class B stock		None	J	T					
4. -Large Domestic Equity Lingleaf Partners Fund		None	J	T					
5. -Large Domestic Equity Wintergreen Fund		None	J	T					
6. PNC Savings Account	A	Interest	J	T					
7. BCCZ 401K Retirement Plan (no control)			N	U					
8. PNC Savings Account	A	Interest	J	T					
9. Schwab Brokerage Account									
10. -Large Domestic Equity American Express Co Stock Fund	C	Int./Div.	L	T	Sold (part)	09/07/12	J	C	
11. -Large Domestic Equity Berkshire Hathaway Stock Fund		None	J	T					
12. -Large Domestic Equity Walt Disney Stock Fund	C	Int./Div.			Sold	09/07/12	J	C	
13. -Large Domestic Equity Dodge & Cox Stock Fund		None	L	T					
14. -Large Domestic Equity Touchstone Sands Capital Fund		None	L	T	Buy	05/03/12	L		
15. -Large Domestic Equity Harbor Cap Appreciation Stock Fund		None	L	T					
16. -Large Domestic Equity Jensen Portfolio Stock Fund Stock Fun	A	Int./Div.	L	T					
17. -Large Domestic Equity Legg Mason Cap Mgmt Oppty TrStock Fun		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Large Domestic Equity LongLeaf Partners Mutual Fund	D	Int./Div.	L	T	Sold (part)	11/08/12	J	D	
19. -Large Domestic Equity LongLeaf Partners Mutual Fund	A	Int./Div.	L	T	Buy (add'l)	05/03/12	K		
20. -Large Domestic Equity PNC Fin Services Stock Fund	A	Int./Div.	J	T					
21. -Large Domestic Equity Sequoia Mutual Fund		None	K	T					
22. -Large Domestic Equity Yacktman Focused Mutual Fund	A	Int./Div.	L	T	Sold (part)	12/26/12	J	A	
23. -Small Domestic Equity AKRE Focus Mutual Fund	A	Int./Div.	L	T	Sold (part)	12/14/12	J	A	
24. -Small Domestic Equity AKRE Focus Mutual Fund		None	L	T	Buy (add'l)	05/03/12	K		
25. -Small Domestic Equity Evermore Global Mutual Fund		None	J	T					
26. -Small Domestic Equity Fairholme Mutual Fund		None	L	T					
27. -Small Domestic Equity FPA Capital Stock Fund	E	Int./Div.	L	T	Sold (part)	08/01/12	K	E	
28. -Small Domestic Equity Longleaf Partners Mutual Fund		None	K	T					
29. -Small Domestic Equity Third Avenue Mutual Fund	C	Int./Div.	M	T					
30. -Small Domestic Equity Wintergreen Mutual Fund	A	Int./Div.	K	T	Sold (part)	10/16/12	J	A	
31. -International Equity First Eagle Overseas Stock Fund	A	Int./Div.	L	T	Sold (part)	12/12/12	J	A	
32. -International Equity First Eagle Overseas Stock Fund	A	Int./Div.	L	T	Buy (add'l)	05/03/12	L		
33. -International Equity Harbor International Stock Fund		None	L	T					
34. -International Equity Longleaf Partners Stock Fund	A	Int./Div.	L	T	Sold (part)	11/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -International Equity Oakmont Global Stock Fund	A	Int./Div.	M	T	Sold (part)	12/13/12	J	A	
36. -International Equity Tweedy Browne Global Stock Fund	A	Int./Div.	L	T	Sold (part)	12/27/12	J	A	
37. -Real Estate Securities Tejon Ranch Real Estate Mutual Fund	A	Int./Div.			Sold	09/07/12	J	A	
38. -Real Estate Securities Third Ave RE fund	A	Int./Div.	K	T	Sold (part)	12/18/12	J	A	
39. -Alternative Equity Greenspring Mutual Fund	A	Int./Div.	J	T	Sold (part)	12/19/12	J	A	
40. -Alternative Equity AQR Diversified Mutual Fund		None	L	T	Buy	05/03/12	L		
41. -Alternative Equity Litman Gregory Masters Mutual Fund		None	L	T	Buy	05/03/12	K		
42. -Fixed Income FPA New Income Stock Fund	A	Int./Div.			Sold	07/02/12	J	A	
43. -Fixed Income Loomis Sayles Bond Fund		None	K	T	Buy (add'l)	01/23/12	J		
44. -Fixed Income PIMCO Unconstrained Bond Fund	A	Int./Div.	K	T	Sold (part)	12/12/12	J	A	
45. -Fixed Income PIMCO Unconstrained Bond Fund		None	K	T	Buy (add'l)	01/31/12	J	A	
46. -Fixed Income Third Ave Focused Bond Fund		None	K	T					
47. -Fixed Income Thornburg Strategic Bond Fund	A	Int./Div.	J	T	Sold (part)	11/20/12	J	A	
48. -Fixed Income Thornburg Strategic Bond Fund	A	Int./Div.	J	T	Buy (add'l)	01/31/12	J		
49. -Cash & Cash Equivalents (Schwab various accounts)	A	Interest	M	T					
50. -American Funds Invest acct Capital Income Builder	A	Dividend	J	T					
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52.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Maureen P. Kelly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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