

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Messitte, Peter J.	2. Court or Organization U.S. District Court	3. Date of Report 05/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor of Law	American University Washington College of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/04	Pension Plan - State of Maryland Judiciary
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/17	State of Maryland Judiciary - Pension Payments	\$53,623.00
2. 12/31/17	American University Washington College of Law - Teaching	\$12,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA Rule of Law Initiative (LAC)	5/8/17-5/12/17	Lima, Peru	Visit to Law Reform Project	Partial Transportation/Hotel/Meals
2. Levin College of Law University of Florida	7/13/17-7/14/17	Gainesville, FL	Educational Seminar	Transportation, Hotel, Meals
3. ABA Rule of Law Initiative (LAC)	8/10/17-8/11/17	New York, NY	Educational Seminar	Partial Transportation/Hotel
4. University of Sao Paulo Law School	10/2/17-10/6/17	Sao Paulo, Brazil	Educational Seminar	Transportation, Hotel, Meals
5. Fernando Henrique Cardoso Foundation	11/30/17-12/13/17	Sao Paulo, Brazil	Educational Seminar	Transportation

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6.	Institute of Public Law (Brazil)	12/4/17-12/8/17	Sao Paulo, Brazil	Education	Hotel
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America	A	Interest	K	T					
2. Capital One Bank, Chevy Chase, MD	A	Interest	M	T					
3. Sun Trust Bank, Chevy Chase, MD	A	Interest	L	T					
4. PJM/IRA (Wells Fargo) (same as #5 to #37)	D	Dividend	N	T					
5. PJM/IRA (Wells Fargo)	D	Distribution	N	T	Distributed (part)	12/31/17	J		See Part VIII
6. American Funds: Euro Pacific Growth Fund C1 A	A	Dividend	K	T					
7. Fed Eq Fds. Kaufman Large Cap Fd	A	Dividend	K	T					
8. Fed Eq Fds. Kaufman Large Cap Fd	A	Dividend	K	T	Sold (part)	8/2/17	J	A	
9. Royce Penn Mutual Fund	A	Dividend	J	T					
10. Blackrock US Opp. Portfolio Fd	A	Dividend	J	T					See Part VIII
11. American Funds Growth Fd of Am Clf2	A	Dividend	K	T					
12. American Funds Growth Fd	A	Dividend	K	T	Sold (part)	8/2/17	J	A	
13. Henderson Int Opp Fd C1 W	A	Dividend	K	T					See Part VIII
14. Lord Abbett Dev Growth Fd C1 F	A	Dividend	K	T					
15. Lord Abbett Dev Growth Fd C1 F	A	Dividend	K	T	Sold (part)	8/2/17	J	A	
16. MFS Value Fd C1 A	A	Dividend	K	T					
17. MFS Value Fd C1 A	A	Dividend	K	T	Sold (part)	8/2/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Oppenheimer Dev Mkts Fd C1 Y FDS	A	Dividend	K	T					
19. Thornburg Inv Inc Bldr Fd C1 I	A	Dividend	J	T					
20. Thornburg Inv. Inc. Bldr Fd C1 I	A	Dividend	J	T	Sold (part)	8/2/17	J	A	
21. John Hancock Disc Val MDCP	A	Dividend	K	T					
22. John Hancock Disc Val MDCP	A	Dividend	K	T	Sold (part)	8/1/17	J	A	
23. 1 Shares Core Emerg Mkts	A	Dividend	J	T	Buy	8/2/17	J		
24. 1 Shares Core S&P 500	A	Dividend	J	T	Buy	8/2/17	J		
25. 1 Shares S&P Small Cap	A	Dividend	K	T	Buy	8/2/17	K		
26. First Trust Sabrient Small Cap. Growth	A	Dividend	K	T					
27. First Trust Sabrient Small Cap Growth	A	Dividend	J	T	Buy	7/25/17	K		
28. John Hancock Fds. Int. Growth	A	Dividend	K	T					
29. First Trust Dorsey Wright Rel.	A	Dividend	J	T					
30. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	J	T					
31. Mainstay Fds. Tax-Free Bd Fd C1	A	Dividend	J	T					
32. Putnam Asset Alloc. Fd Dynamic Asset	A	Dividend	J	T					
33. Ridgeworth Fds. Int Equity Fd	A	Dividend	J	T					See Part VIII
34. Ridgeworth Fds. Ceredex Mid-Cap Value	A	Dividend	K	T					See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Touchstone Strategic Tr Focused Fd Y	A	Dividend	J	T					
36. Stadion Inv. Tr. Tactical Growth Fd.	A	Dividend	J	T					
37. Neuberger Berman Equity Inc. Fd.	A	Dividend	J	T					
38. SM/IRA (Wells Fargo) (same as #39 to #47)	A	Dividend	K	T					
39. SM/IRA (Wells Fargo)	A	Dividend	K	T	Distributed (part)	12/31/17	J		See Part VIII
40. Blackrock US Opp Portfolio Fd	A	Dividend	J	T					See Part VIII
41. Fd Eq Fds. Kaufmann Lge Cap Fd Inst C1	A	Dividend	J	T					
42. Oppenheimer Dev. Mkts C1 Y FDS	A	Dividend	J	T					
43. First Trust Sabrient Bakers Dozen	A	Dividend	J	T					
44. Putnam Asset Alloc Fd Dynamic Ass.	A	Dividend	J	T					
45. 1 Shares Core Emerg Mkt	A	Dividend	J	T	Buy	8/2/17	J		
46. 1 Shares Corp S&P 500	A	Dividend	J	T	Buy	8/2/17	J		
47. 1 Shares S&P Small Cap	A	Dividend	J	T	Buy	8/2/17	J		
48. SM/PJM (Wells Fargo) (same as #49 to #84)	E	Dividend	N	T					
49. Henderson Global Eq. Inc. Fd C1 1	A	Dividend	J	T					See Part VIII
50. Henderson Global Eq. Inc. Fd C1 1	A	Dividend	J	T	Sold (part)	8/2/17	J	A	
51. American Income Co. Fd. of America, F2	A	Dividend	K	T					

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52. Blackrock US Opp Ins	A	Dividend	J	T					See Part VIII
53. American Europacific Growth Fd F2	A	Dividend	J	T					
54. American Funds Growth Fd. of Amer Class F2	A	Dividend	K	T					
55. American Fds. Inv. Co. of Amer C1 F2	A	Dividend	K	T					
56. American Fds. Inv. Co. of Amer C1 F2	A	Dividend	K	T	Sold (part)	8/2/17	J	A	
57. Lord Abbett Dev Growth Fd C1 F	A	Dividend	J	T					
58. MFS Value I (ME11X)	A	Dividend	J	T					
59. MFS Value I (ME11X)	A	Dividend	J	T	Sold (part)	11/16/17	J	A	
60. Invesco Eq & Inc Fd C1 Y	A	Dividend	J	T					
61. Invesco Eq & Inc Fd C1 Y	A	Dividend	J	T	Sold (part)	8/2/17	J	A	
62. Invesco Eq & Inc Fd C1 Y	A	Dividend	J	T	Sold (part)	11/16/17	J	A	
63. John Hancock Disc Val Mid Cap Fd	A	Dividend	J	T					
64. Unit AAM Corporate Dial High Inc 58	A	Interest			Sold	7/6/17	J	A	See Part VIII
65. First Tr. Dorsey Wright Rel.	A	Interest	K	T					
66. First Tr. Dorsey Wright Rel.	A	Dividend	K	T	Sold (part)	11/16/17	K	D	
67. Fd Eq. Fds Kaufmann Lge Cap Fd. C1 1	A	Dividend	J	T					
68. Fd Eq. Fds Kaufmann Lge Cap Fd. C1 1	A	Dividend	J	T	Sold (part)	8/2/17	J	A	

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69. First Trust Sabrient Small Cap Growth	A	Dividend	J	T					
70. First Trust Sabrient Small Cap. Growth	A	Dividend	J	T	Buy	7/25/17	J		
71. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	K	T					
72. Mainstay Fds. Tax-Free Bd Fd C1 I	A	Dividend	J	T					
73. Putnam Asset Alloc Fd. Dynamic Asset	A	Dividend	J	T					
74. Ridgeworth Fds. Intl. Eq. Fd C1 I	A	Dividend	J	T					See Part VIII
75. Ridgeworth Fds. Ceredex Mid-Cap Value	A	Dividend	J	T					See Part VIII
76. Touchstone Strategic Tr. Focused Fd Y	A	Dividend	J	T					
77. Stadion Inv. Tr. Tactical Growth Fd.	A	Dividend	J	T					
78. Neuberger Berman Eq. Inc. Fds.	A	Dividend	J	T					
79. Guggenheim Under-Valued Top Picks	A	Dividend	K	T					
80. First Tr Emerging Port Mkts - Strength	A	Dividend	K	T					
81. First Tr Euro Deep Value Div	A	Dividend	K	T					
82. 1 Shares Core Emerg Mkts	A	Dividend	J	T	Buy	8/2/17	J		
83. 1 Shares Core S&P 500	A	Dividend	J	T	Buy	8/2/17	J		
84. 1 Shares S&P Small Cap	A	Dividend	J	T	Buy	8/2/17	J		
85. Rental Property in [REDACTED]	A	Rent	M	W					

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86. Maryland College Investment Plan #1 Portfolio 2021 (no control)	A	Interest	K	U					
87. Maryland College Investment Plan #2 Portfolio 2021 (no control)	A	Interest	K	U					
88. Maryland College Investment Plan #3 Portfolio 2021 (no control)	A	Interest	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Elaboration of Part VII

#5 - Previously unreported Distributions from PJM/IRA

12/31/16 J

12/31/15 J

12/31/14 J

12/31/13 J

#10 - Name changed to Blackrock Funds

Int. Growth I

High Equity Inc. Fd

#13 - Name changed to Henderson Global Inc. Fd. C1 I

#33 - Name changed to Virtus Funds

WCM Int. Eq.

Fund C1 I

#34 - Name changed to Virtus Assets Tr

Ceredex Mid Cap Val

Eq. C1 I

#39 - Previously unreported Distributions from SM/IRA

2016 J

2015 J

#40 - Name changed to Blackrock Funds

Int Growth I

High Equity Inc. Fd

#49 - Name changed to Henderson Global Inc. Fd. C1 I

#52 - Name changed to Blackrock Funds

Int Growth I

High Equity Inc. Fd

#64 - The correct name of this asset should have been listed as:

Advisors Asset Mgt

Act Nav/Dial High Inc

Opps SR 58 ADT 917

#74 - Name changed to Virtus Funds

WCM Int. Eq.

Fund C1 I

#75 - Name changed to Virtus Assets Tr

Ceredex Mid Cap Val

Eq. C1 I

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Peter J. Messitte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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