

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reade, Linda R.	2. Court or Organization District Court ND Iowa	3. Date of Report 01/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 111 7th Ave SE Cedar Rapids, IA 52401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 08/01/93	State of Iowa and filer-Iowa Judicial Retirement Plan. The state is paying me retirement income as of February 2013.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	State of Iowa judicial retirement annuity	\$31,958.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	May 24-25, 2017	Washington DC	Board Meeting	transportation, lodging and food
2. US Dept of Justice	May 16-18, 2017	Colombia SC	teaching trial advocacy	transportation, lodging and food
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Accounts	A	Interest	M	T					
2. CSCO Stock	A	Dividend	J	T					
3. Medtronic Inc. Stock	A	Dividend	J	T					
4. Deferred Comp Plan (H)									
5. -Stock Index Fund-Valic	A	Dividend	J	T					
6. -Science & Technology Fund-Valic	A	None	J	T					
7. -Putnam New Opportunity Fund-Valic	A	None	J	T					
8. -Putnam Global Gr Fund-Valic	A	None	J	T					
9. -American Cent Ultra Fund-Valic	A	None	J	T					
10. Retirement Account (H)									
11. -Schwab Money Market Fund	A	Interest	M	T					
12. -ABEONATherapeutics (ABEO) (Y)									
13. -AT&T	C	Dividend	M	T					
14. -Agenus (AGEN)		None	K	T	Buy	08/18/17	K		
15. -Air Canada (ACDVF)		None	L	T	Sold (part)	04/12/17	K	E	
16. -Altria Group, Inc (MO)	C	Dividend	L	T					
17. -American Airlines (AAL)	A	Dividend	K	T	Sold (part)	12/11/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Int'l Grp (AIG)	B	Dividend	L	T					
19. -Annaly Capital Mgmt Reit (NLY)	E	Dividend	M	T					
20. -Apple (APPL)	D	Dividend	O	T	Buy (add'l)	06/09/17	M		
21. -Alamos Gold (AGI)	A	Dividend	J	T					
22. -Axon Enter (AAXN) formerly Taser		None	J	T					
23. -Applied Materials (AMAT)		None	K	T	Buy	12/11/17	K		
24. -Barrick Gold Corp (ABX)	A	Dividend	J	T					
25. -Blackrock Health Sciences Fund (SHSAX)	C	Distribution	L	T					
26. -Boeing (BA)	B	Dividend	L	T					
27. -British Petro (BP)	B	Dividend	K	T					
28. -Carlyle Grp (CG)	C	Dividend	L	T					
29. -Citigroup (CIT)	A	Dividend			Sold	03/08/17	L	C	
30.					Buy	05/17/17	L		
31.					Sold	05/19/17	L	B	
32.					Buy	05/31/17	L		
33.					Sold	06/08/17	L	C	
34. -Cytokinetics Inc (CYTK)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -DDR Corp	A	Dividend	J	T					
36. -Delta Airlines (DAL)		None	K	T	Buy	12/11/17	K		
37. -RRDonnelley (RRD)	A	Dividend			Sold	12/20/17	J		
38. -Donnelley Financial (DFIN)		None			Sold	02/07/17	J	B	
39. -LSC Communications (LKSD)	A	Dividend			Sold	04/25/17	J	A	
40. -Doubleline Inc (DSL)	C	Dividend	L	T					
41. -Enbridge Energy (EEP)	B	Dividend	J	T					
42. -Exact Sciences (EXAS)		None			Sold	04/27/17	K	D	
43. -First Data Corp (FDC)		None	K	T					
44. -Ford (F)	A	Dividend	K	T	Buy	04/19/17	K		
45. -General Electric Co (GE)	B	Dividend	K	T					
46. -Goldcorp Inc. F (GG)	A	Dividend	J	T					
47. -Graphic Packaging Hldg (GPK)	A	Dividend			Sold	06/01/17	K	C	
48. -GSV Capital (GSVC)		None			Sold	09/11/17	J		
49. -Hovnanian (HOV)		None	J	T					
50. -Icahn Ent (IEP)	B	Dividend	K	T					
51. -India Fund (IFN)	B	Distribution			Sold	09/11/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Lumentum Holdings (LITE)		None	K	T	Buy	08/07/17	K		
53. -JP Morgan Chase (JPM)	B	Dividend	M	T					
54. -Metlife (MET)	A	Dividend			Sold	09/27/17	K	D	
55. -Brighthouse Financial (BHF)		None			Spinoff (from line 54)	08/04/17	J		
56.					Sold	09/11/17	J	A	
57. -Micron Tech (MU)		None			Sold	09/27/17	K	D	
58. -Microsoft (MSFT)	B	Dividend	L	T					
59. -Morgan Stanley (MS)(L)	A	Dividend	J	T					
60. -New York Management REIT(NYMT)	B	Dividend			Sold	06/02/17	K		
61. -Novartis (NVS)	C	Dividend	L	T					
62. -Orion(OEC)	B	Dividend	L	T	Buy	07/07/17	L		
63. -Ovascience Inc. (OVAS)(L)		None	J	T					
64. -Paragon Shippng (PRGN)(Y)									
65. -Performance Food Group (PFGC)		None	K	T					
66. -Pepsico (PEP)	A	Dividend	K	T					
67. -Pfizer (PFE)	B	Dividend	K	T					
68. -Pimco (PTY)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Principal Financial (PFG)	D	Dividend	N	T					
70. -Royal Dutch Shell (RDSA)	C	Dividend	L	T	Buy	01/09/17	K		
71. -Safe Bulkers (SB)		None	J	T					
72. -Schlumberger (SLB)	A	Dividend	K	T					
73. -Sodastream (SODA)		None			Sold	08/03/17	K	A	
74. -Telecom Argentina SA (TCMFF)		None			Sold	02/03/17	J		
75. -Total SAADRF1 (TOT)	B	Dividend			Sold	12/18/17	K	C	
76. -Trupanion Inc (TRUP)		None			Sold	10/10/17	K	D	
77. -Tsakos Energy (TNP)	A	Dividend	K	T					
78. -Verizon Communications (VZ)	B	Dividend			Sold	04/25/17	L	D	
79. -VISA	A	Dividend	L	T					
80. -Vodafone (VOD)	B	Dividend	K	T					
81. -Xerox (XRX)	A	Dividend	K	T	Buy	03/29/17	K		
82. -Yamana Gold Incoo (AVY)	A	Dividend	J	T					
83. McVean Trading and Investment		None	J	T					
84. Farm 1 Dallas Co., IA	D	Rent	M	W					
85. Farm 3 Dallas Co. IA	D		L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Farm 5 Adair Co, IA	E	Rent	N	W					
87. Farm 7 Adair Co, IA	D		L	W					
88. Farm 10 Adair Co, IA	E	Rent	N	W					
89. Farm 14 Adams Co, IA	E	Rent	M	W					
90. Farm 15 Adams Co, IA	D	Rent	L	W					
91. Farm 20 Decatur County, IA	B		J	W					
92. Cincinnati Life Insurance Whole Life Policy		None	M	T					
93. Mineral Interest,Dallas County, IA (Y)									
94. Scout Energy fkaFidelity ExplorRoyalty Continental Resor Bowman Co, ND	D	Royalty	J	T					
95. Phoenix-Tuson Ranch LLC (Y)									
96. Brokerage 1									
97. -Schwab money market account	A	Interest	J	T					
98. -Ally Financial (ALLY)	A	Dividend			Buy	01/12/17	J		
99.					Sold	04/25/17	J	A	
100. -American Airlines Grp (AAL)	A	Dividend	L	T					
101. -AMC Enter (AMC)	A	Dividend			Buy	02/10/17	K		
102.					Sold	04/25/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -At & T (T)	B	Dividend	L	T	Buy (add'l)	05/10/17	K		
104. -Agenius(AGEN)		None	K	T	Buy	08/18/17	K		
105. -Air Canada (ACDVF)		None			Sold	07/27/17	K	D	
106. -Apache Corp (APA)	A	Dividend	K	T	Buy	07/10/17	K		
107. -Applied Materials (AMAT)		None	K	T	Buy	12/11/17	K		
108. -Blackstone (BX)	C	Dividend	K	T					
109. -Boeing (BA)	B	Dividend	L	T					
110. -Caterpillar Inc (CAT)	A	Dividend	K	T					
111. -Carlyle Grp (CG)	D	Dividend	M	T	Buy (add'l)	01/23/17	K		
112.					Buy (add'l)	02/09/17	K		
113. -Citigroup (C)		None			Buy	04/28/17	L		
114.					Sold	05/26/17	L	C	
115. --Cytokinetics (CYTK)		None	K	T					
116. -Delta (DAL)	B	Dividend	L	T	Buy	08/07/17	K		
117. -Flextronics Int (FLEX)		None	K	T					
118. -Ford Motor (F)	A	Dividend	J	T					
119. -General Motors (GM)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Houghton (HMHC)		None			Buy	01/12/17	J		
121.					Sold	04/25/17	J	A	
122. -International Paper (IP)		None			Buy	05/31/17	L		
123.					Sold	06/01/17	L	A	
124. -Mobileye (MBLY)		None			Sold	03/13/17	J	B	
125. -Orion Eng ((OEC)	A	Dividend	K	T	Buy	07/28/17	K		
126. -Ovascience Inc (OVAS)		None	J	T					
127. -Pimco Opp Fund (PTY)	A	Dividend	J	T					
128. -Principal Finan (PFG)	A	Dividend	J	T					
129. -PJT Partners (PJT)	A	Dividend			Sold	07/19/17	J	B	
130. -Real Industry (RELY)		None			Buy	01/12/17	J		
131.					Sold	12/28/17	J		
132. -Royal Dutch Shell (RDSA)	C	Dividend	K	T	Buy	01/06/17	K		
133. -Ryman Hosp (RHP)	A	Dividend			Buy	06/22/17	K		
134.					Sold	11/21/17	K	B	
135. -Total SA (TOT)	B	Dividend	L	T					
136. -US Food (USFD)		None			Buy	01/04/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	04/24/17	K	A	
138. -Vale SA ADR (VALE)		None			Sold	01/17/17	J		
139. -Vanguard (VOO)	A	Dividend			Buy	03/02/17	K		
140.					Sold	05/05/17	K	A	
141. -Veracyte (VCYT)		None	K	T	Buy (add'l)	05/17/17	K		
142. -Verastem (VSTM)		None	L	T	Buy (add'l)	07/13/17	K		
143.					Buy (add'l)	04/17/17	L		
144.					Buy (add'l)	12/18/17	K		
145. -Viewray (VRAY)		None	K	T					
146. -Vodafone (VOD)	A	Dividend	J	T					
147. Brokerage II	A	Int./Div.			Closed	06/01/17	K		
148. -NYCB		None			Sold	06/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 4-9 The dividend amounts are not detailed in the statement I receive

Line 85 the income is crops (\$5917.46) and crop insurance (\$1233)

Line 87 the income is crops (\$8272.29) plus crop insurance (\$800)

Line 91 the income is CRP (\$1913)

d

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Reade, Linda R.

Date of Report

01/03/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Linda R. Reade**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544