

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 08/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
2. Bank of America Checking Account	A	Interest	K	T					
3. Potomac Cliffs at Watson House RE P-ship (See Part VIII)		None	K	R					
4. TCW/JRW Hartford Life Second-to-Die Life Insurance Policy		None	N	T					
5. JRW Commonwealth Annuity Whole Life Policy		None	K	T					
6. Broker Account #1 -- JRW Rev. Trust									
7. - Daimler AG Common Stock	A	Dividend	K	T					
8. - Altria Group Common Stock	A	Dividend	K	T					
9. - Davis New York Venture A	A	Dividend	K	T	Buy (add'l)	07/05/16	J		
10. - Davis New York Venture A	A	Dividend	K	T	Buy (add'l)	12/14/16	J		
11. Broker Account #2 -- JRW IRA									
12. - Citigroup Common Stock	A	Dividend	J	T					
13. - Legg Mason Clearbridge Value Fund	A	Dividend	K	T	Buy (add'l)	12/09/16	J		
14. Broker Account #3 -- TCW IRA Rollover									
15. - Covidien PLC Common Stock	A	Dividend	L	T					
16. - Tyco Electronics Common Stock	A	Dividend	J	T					
17. - Altria Group Common Stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Apollo Investment Common Stock	B	Dividend	J	T					
19. -American Express Common Stock	B	Dividend	L	T	Buy (add'l)	10/21/16	K		
20. - Apple Common Stock	A	Dividend	K	T					
21. - Bank of America Common Stock	A	Dividend	K	T	Buy	02/23/16	K		
22.					Buy (add'l)	07/06/16	J		
23.					Buy (add'l)	11/25/16	J		
24. - Bristol Myers Squibb Common Stock	B	Dividend	L	T					
25. - Cisco Systems Common Stock	B	Dividend	L	T	Sold (part)	02/22/16	J	A	
26. - Comcast Common Stock	C	Dividend	M	T					
27. - Constellation Brands Common Stock		None	K	T					
28. - Deutsch X-Trackers MSCI Europe Fund	B	Dividend	K	T					
29. - Walt Disney Common Stock	B	Dividend	L	T	Buy (add'l)	01/14/16	J		
30. - Emerson Electric Common Stock	B	Dividend	K	T					
31. - Financial Sel Sect SPDR Fund	A	Dividend	K	T	Buy	12/19/16	K		
32. - First Tr MLP&ENRG Fund	C	Dividend	K	T					
33. - General Electric Common Stock	B	Dividend	K	T					
34. - Alphabet, Inc. Common Stock (formerly Google)		None	M	T					

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35. - Halliburton Common Stock	A	Dividend	L	T	Buy (add'l)	10/26/16	K		
36.					Buy (add'l)	10/13/16	J		
37. - HP Inc. Common Stock (formerly Hewlett Packard)	B	Dividend			Sold	05/25/16	K	D	
38. - HP Inc. Common Stock	B	Dividend	K	T	Buy	05/25/16	K		
39. - Home Depot Common Stock	C	Dividend	M	T					
40. - Hospitality Properties Trust Common Stock	C	Dividend	L	T	Buy (add'l)	08/25/16	J		
41. - J.P. Morgan Chase Common Stock	B	Dividend	M	T	Buy (add'l)	05/10/16	J		
42. - Kroger Common Stock	A	Dividend	K	T					
43. - Marriott Int'l Common Stock	A	Dividend	L	T					
44. - Microsoft Common Stock	B	Dividend	L	T	Sold (part)	12/19/16	K	D	
45. - Pepsico Common Stock	C	Dividend	M	T					
46. - Philip Morris Int'l Common Stock	B	Dividend	K	T					
47. - Sandridge Miss. Trust	D	Dividend	J	T					
48. - Southwest Airlines Common Stock	A	Dividend	L	T					
49. - 3M Common Stock	C	Dividend	M	T					
50. - United Parcel Service Common Stock	B	Dividend	L	T					
51. - United States Steel Common Stock	A	Dividend	J	T					

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52. - Verizon Common Stock	C	Dividend	L	T					
53. - Walmart Common Stock	B	Dividend	L	T	Buy (add'l)	04/04/16	J		
54. - Williams Partners Ltd.	D	Dividend	L	T					
55. - Cohen & Steers Infrastructure Fund	B	Dividend	K	T					
56. - Kayne Anderson Energy Return Fund	C	Dividend	L	T					
57. - New Germany Fund	C	Dividend	L	T					
58. - Eaton Vance LTD Duration Income Fund	B	Dividend	K	T					
59. Brker Acct #4 -- SSB Asset Man Fd, JRW Rev Trst									
60. - Citibank Money Market	A	Interest	J	T					
61. - ADT Corp. Common Stock	A	Dividend			Sold	02/16/16	J	A	
62. - AES Corp. Common Stock		None	J	T					
63. - AMC Networks Common Stock		None	J	T					
64. - Adobe Systems Common Stock		None	J	T					
65. - Aetna Inc. Common Stock	A	Dividend	J	T					
66. - Akamai Technologies Common Stock		None	J	T					
67. - Alaska Air Group Common Stock	A	Dividend	J	T					
68. - Allergan Common Stock		None	J	T	Buy (add'l)	11/15/16	J		

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69. - Allison Transmission Common Stock	A	Dividend	J	T					
70. - Alphabet Common Stock (formerly Google)		None	J	T					
71. - Anadarko Petroleum Common Stock	A	Dividend	J	T	Buy (add'l)	01/12/16	J		
72. - American Express Common Stock	A	Dividend	J	T					
73. - American Homes 4 Rent Common Stock	A	Dividend	J	T	Sold (part)	08/29/16	J	A	
74. - Ameriprise Common Stock	A	Dividend	J	T	Buy	02/23/16	J		
75. - Anheuser Busch InBev SA Spon. Common Stock	A	Dividend	J	T					
76. - Apache Corp. Common Stock	A	Dividend	J	T					
77. - Apple, Inc. Common Stock	A	Dividend	J	T					
78. - Applied Materials, Inc. Common Stock	A	Dividend	J	T	Sold (part)	08/22/16	J	B	
79. - Autodesk, Inc. Delaware Common Stock		None	J	T					
80. - Axis Capital Holdings Common Stock	A	Dividend	J	T					
81. - Biogen Idec Inc. Common Stock		None	J	T	Buy (add'l)	12/12/16	J		
82. - Blackrock, Inc. Common Stock	A	Dividend	J	T	Sold (part)	10/10/16	J	C	
83. - Bristol Myers Squibb Common Stock	A	Dividend	J	T	Buy (add'l)	12/29/16	J		
84. - Broadcom Corp. Common Stock	A	Dividend	J	T					
85. - Calpine Corp. Common Stock		None	J	T	Buy (add'l)	12/29/16	J		

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86.					Buy (add'l)	11/28/16	J		
87. - CME Group, Inc. Common Stock	A	Dividend	J	T					
88. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
89. - Cameron Intl. Corp. Common Stock		None	J	T					
90. - Carnival CP New Paired Common Stock	A	Dividend	J	T					
91. - Celgene Corp. Common Stock		None	J	T					
92. - Chipotle Common Stock		None	J	T	Buy	12/12/16	J		
93. - Cisco Systems Inc. Common Stock	A	Dividend	J	T					
94. - CitiGroup Common Stock	A	Dividend	J	T					
95. - Citrix Systems, Inc. Common Stock		None	J	T	Sold (part)	05/25/16	J	A	
96. - CME Group Common Stock	A	Dividend	J	T					
97. - Coach Common Stock	A	Dividend	J	T					
98. - Coca Cola Inc. Common Stock	A	Dividend	J	T					
99. - Comcast Corp. CL A Special New Common Stock	A	Dividend	J	T	Sold (part)	11/16/16	J	B	
100. - Cree Research Inc. Common Stock		None	J	T					
101. - Deere & Co. Common Stock	A	Dividend	J	T					
102. - Devon Energy Corp. New Common Stock	A	Dividend	J	T	Buy (add'l)	12/29/16	J		

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103.					Sold (part)	11/28/16	J	A	
104. - Discover Financial Services Common Stock		None	J	T					
105. - Discovery Comm. Serv. Common Stock		None	J	T					
106. - DuPont de Nemours Common Stock	A	Dividend	J	T					
107. - Walt Disney Co. Holding Common Stock	A	Dividend	J	T					
108. - Dolby CL A Common Stock		None	J	T					
109. - Eaton Corp. Common Stock	A	Dividend	J	T					
110. - EBay Inc. Common Stock		None	J	T					
111. - Ecolab Common Stock	A	Dividend	J	T					
112. - Emerson Electric Common Stock	A	Dividend	J	T					
113. - Ensco PLC Common Stock	A	Dividend	J	T					
114. - Ericsson LM Tel. ADR CL B New Common Stock	A	Dividend			Sold	06/10/16	J	A	
115. - Exelon Corp. Common Stock	A	Dividend	J	T	Buy	11/15/16	J		
116. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T					
117. - Fluor Corp. New Common Stock	A	Dividend	J	T					
118. - Facebook Common Stock		None	J	T					
119. - FMC Technologies Common Stock		None	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - First Republic Bank Common Stock	A	Dividend	J	T					
121. - Forest Laboratories Inc. Common Stock		None	J	T					
122. - Franklin Resources Inc. Common Stock	A	Dividend	J	T					
123. - Freeport McMoran Common Stock	A	Dividend	J	T	Buy (add'l)	09/12/16	J		
124. - GAP, Inc. Common Stock	A	Dividend	J	T					
125. - GATX Corp. Common Stock	A	Dividend	J	T	Buy	08/23/16	J		
126. - General Electric Co. Common Stock	A	Dividend	J	T					
127. - Gentex Common Stock	A	Dividend	J	T					
128. - GlaxoSmithKline PLC ADS Common Stock	A	Dividend	J	T					
129. - Greenhill Common Stock	A	Dividend	J	T					
130. - Halliburton Co. Common Stock	A	Dividend	J	T					
131. - Hess Corp. Common Stock	A	Dividend			Sold	06/23/16	J	A	
132. - Home Depot Inc. Common Stock	A	Dividend	J	T	Sold (part)	01/20/16	J	C	
133. - Honeywell Intl. Inc. Common Stock	A	Dividend	J	T	Sold (part)	04/28/16	J	B	
134. - Immunogen Common Stock		None	J	T					
135. - Intuit Inc. Common Stock	A	Dividend	J	T					
136. - Invesco Ltd. Common Stock	A	Dividend	J	T	Buy	07/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Ionis Pharmaceuticals Common Stock		None	J	T					
138. - JP Morgan Chase & Co. Common Stock	A	Dividend			Sold	07/13/16	J	B	
139. - Jacobs Engineering Group Common Stock		None	J	T					
140. - Johnson & Johnson Common Stock	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
141.					Sold (part)	11/16/16	J	A	
142. - Jones Lang LaSalle Inc. Common Stock	A	Dividend	J	T					
143. - KLA Tencor Corp. Common Stock	A	Dividend	J	T					
144. - Keycorp New Common Stock	A	Dividend	J	T					
145. - L-3 Communications Holding Inc. Common Stock	A	Dividend	J	T					
146. - Liberty Media Co. Liberty Cap A Common Stock		None	J	T					
147. - Liberty Interactive Co. Inter A Common Stock		None	J	T					
148. - Linked-In Common Stock		None	J	T					
149. - Medtronic PLC Common Stock	A	Dividend	J	T					
150. - Merck & Co. Inc. New Common Stock	A	Dividend	J	T	Sold (part)	10/06/16	J	B	
151. - Metlife Common Stock	A	Dividend	J	T	Buy	04/26/16	J		
152. - MGIC Investment Corp. Common Stock		None	J	T	Buy	08/19/16	J		
153. - Microsoft Corp. Common Stock	A	Dividend	J	T	Sold (part)	11/15/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Monsanto Co. New Common Stock	A	Dividend	J	T					
155. - Morgan Stanley Common Stock	A	Dividend	J	T					
156. - Murphy USA Common Stock		None	J	T					
157. - Mylan Common Stock		None	J	T	Buy	12/01/16	J		
158. - NASDAQ Inc. Common Stock	A	Dividend	J	T	Sold (part)	02/03/16	J	A	
159. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T					
160. - Newfield Expl. Co. Common Stock		None	J	T					
161. - Nike Inc. B Common Stock	A	Dividend	J	T					
162. - Novartis AG ADR Common Stock	A	Dividend	J	T					
163. - NOW Inc. Common Stock		None	J	T					
164. - Nuance Comm. Common Stock		None	J	T					
165. - Nucor Corp. Common Stock	A	Dividend	J	T	Sold (part)	11/15/16	J	A	
166. - NXP Semiconductor Common Stock		None	J	T	Buy	03/02/16	J		
167. - Occidental Petroleum Common Stock	A	Dividend			Sold	08/17/16	J	A	
168. - OneMain Holdings Common Stock		None	J	T	Buy (add'l)	12/29/16	J		
169.					Buy (add'l)	11/28/16	J		
170. - Oracle Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Oshkosh Corp. Common Stock		None	J	T					
172. - PayPal Holdings Common Stock		None	J	T	Sold (part)	02/08/16	J	B	
173. - Pebblebrook Hotel Tr. Com. Common Stock	A	Dividend	J	T	Buy (add'l)	12/29/16	J		
174. - Pentair Ltd. Common Stock	A	Dividend	J	T					
175. - Pier 1 Imports Common Stock	A	Dividend	J	T					
176. - Precision Castparts Common Stock	A	Dividend	J	T					
177. - Procter & Gamble Common Stock	A	Dividend	J	T					
178. - Pulte Group Common Stock	A	Dividend	J	T					
179. - Raytheon Common Stock	A	Dividend	J	T	Sold (part)	11/15/16	J	B	
180. - Realogy Holdings Common Stock	A	Dividend	J	T	Buy	10/17/16	J		
181. - Red Hat Common Stock		None	J	T					
182. - Regeneron Pharm Common Stock		None	J	T					
183. - Regions Finance Corp. Common Stock	A	Dividend	J	T	Sold (part)	11/15/16	J	B	
184. - Rockwell Collins Common Stock	A	Dividend	J	T					
185. - Sandisk Corp. Common Stock	A	Dividend			Redeemed	05/13/16	J	C	
186. - Service Corp. Intl. Common Stock	A	Dividend	J	T					
187. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Charles Schwab New Common Stock	A	Dividend	J	T					
189. - Stanley Black & Decker Common Stock	A	Dividend	J	T					
190. - Suncorp Common Stock	A	Dividend	J	T	Buy	08/23/16	J		
191. - Symantic Corp. Common Stock	A	Dividend	J	T					
192. - Synchrony Fin. Common Stock		None	J	T					
193. - Teradyne Common Stock		None	J	T					
194. - Teva Pharma Common Stock	A	Dividend			Sold	08/19/16	J	A	
195. - Texas Instruments Common Stock	A	Dividend	J	T	Sold (part)	03/01/16	J	A	
196. - Thermo Fisher Scientific Inc. Common Stock	A	Dividend	J	T					
197. - Towers Watson Common Stock	A	Dividend	J	T					
198. - Twenty-First Century Fox Common Stock	A	Dividend	J	T					
199. - Twitter Common Stock		None	J	T	Buy (add'l)	12/29/16	J		
200.					Buy (add'l)	11/28/16	J		
201. - US Bancorp. Com New Common Stock	A	Dividend			Sold	10/14/16	J	B	
202. - Unilever PLC (New) ADS Common Stock	A	Dividend	J	T					
203. - United Parcel Service Inc. CL B Common Stock	A	Dividend	J	T					
204. - United States Steel Corp. New Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - United Health Group Inc. Common Stock	A	Dividend			Sold	06/20/16	J	C	
206. - United Rentals Common Stock		None	J	T					
207. - Veeco Instr. Inc. Common Stock		None	J	T					
208. - Vertex Pharmaceuticals Common Stock		None	J	T					
209. - Visa Inc. CL A Common Stock	A	Dividend	J	T					
210. - VMWare Common Stock		None	J	T					
211. - Vodafone Group PLC ADS New Common Stock	A	Dividend	J	T					
212. - W.W. Grainger Common Stock	A	Dividend	J	T					
213. - Wells Fargo Common Stock	A	Dividend	J	T	Buy	10/14/16	J		
214. - Western Digital Common Stock	A	Dividend	J	T					
215. - Weyerhaeuser Co. Common Stock	A	Dividend	J	T					
216. - Yahoo Common Stock		None			Sold	10/11/16	J	A	
217. - Yum Brands Common Stock	A	Dividend	J	T					
218. - Xilinx Inc. Common Stock	A	Dividend	J	T					
219. - Zoetis Common Stock	A	Dividend	J	T					
220. - Covidien PLC New Common Stock	A	Dividend	J	T					
221. - Seagate Technology PLC Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Allied World Assurance Co. Hldgs Ltd. Common Stock	A	Dividend	J	T					
223. - Weatherford International Ltd. Common Stock		None	J	T	Buy (add'l)	12/29/16	J		
224.					Sold (part)	11/28/16	J	A	
225. - TE Connectivity Ltd. New Common Stock	A	Dividend	J	T					
226. - Tyco International Ltd. New Common Stock	A	Dividend	J	T					
227. Brker Acct # 5 -- SSB Asset Man Fd, TCW IRA									
228. - MS Liquid Asset Fund Money Market		None	J	T					
229. - Davis New York Venture Mutual Fund	B	Dividend	N	T	Buy (add'l)	07/02/16	K		
230.					Buy (add'l)	12/13/16	K		
231. Broker Account #6 -- JRW Pers. Trust									
232. - Dominion Resources Common Stock	B	Dividend	L	T					
233. - Exxon Mobile Common Stock	C	Dividend	M	T	Donated (part)				
234. - Pepsico Common Stock	A	Dividend	K	T					
235. - PNC Financial Services Common Stock	A	Dividend	K	T	Donated (part)				
236. - Walmart Common Stock	B	Dividend	K	T					
237. - Puerto Rico Housing Public Serv. Bonds 07/01/16	B	Interest			Redeemed	07/01/16	K	A	
238. - Baltimore MD Ser. C Sink Fund 07/01/17	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - MFS Maryland Municipal Bond Fund	B	Interest	K	T					
240. Broker Account #7 -- iShares Managed Trust									
241. - First Trust Exchange Traded Fund II	A	Dividend	K	T	Buy (add'l)	03/16/16	J		
242. - SPDR Barclay's Aggregate Bond	B	Interest	L	T					
243. - SPDR Barclay's Short Term Corp Bond	A	Interest	L	T	Sold (part)	03/16/16	J	A	
244. - iShares Barclay's Aggregate Bond Fund	B	Dividend	L	T					
245. - iShares Barclay's 1-3 Yr. CD Bond Fund	A	Dividend	L	T					
246. - iShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T					
247. - iShares Dow Jones Real Estate Index Fund	A	Dividend	K	T	Buy (add'l)	03/16/16	J		
248. - iShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T					
249. - iShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Sold (part)	03/16/16	J	A	
250. - iShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Buy (add'l)	03/16/16	J		
251. - iShares Dow Jones US Energy Sector Index Fund	A	Dividend	L	T	Buy (add'l)	03/16/16	J		
252. - Guggenheim Enhanced Short Duration Bond	A	Interest	L	T	Sold (part)	03/16/16	J	A	
253. - Guggenheim Bulletshares 2017 High Yield Corp Bond	A	Interest	K	T					
254. - Vanguard Bond Index Fund	B	Interest	K	T					
255. Broker Account # 8 -- TCW/JRW Joint RMA									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Puerto Rico Elec. Power Auth Bonds 007/01/17		None			Redeemed	07/01/16	J	A	
257. - Blackrock Munivest Fund II	B	Interest	K	T					
258. - Blackrock Munivest Fund	B	Interest	K	T					
259. - Nuveen Select Tax Free Income Port 3	B	Interest	K	T					
260. - Dreyfus State Mun Bond Fd Maryland Ser Class C	B	Interest	K	T					
261. Brker Acct # 9 -- iShares Man JRW Rev. Trust									
262. - First Trust Exchange Traded Fund II	A	Dividend	K	T	Buy (add'l)	03/16/16	J		
263. - iShares Barclay's Aggregate Bond Fund	B	Dividend	L	T					
264. - SPDR Barclay's Aggregate Bond Fund	B	Interest	L	T					
265. - SPDR Barclay's Short Term Corp Bond Fund	A	Interest	L	T	Sold (part)	03/16/16	J	A	
266. - iShares Barclay's 1-3 Yr. CD Bond Fund	B	Dividend	L	T					
267. - iShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T					
268. - iShares Dow Jones US Real Estate Index Fund	B	Dividend	K	T	Buy (add'l)	03/16/16	J		
269. - iShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T					
270. - iShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Sold (part)	03/16/16	J	A	
271. - iShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Buy (add'l)	03/16/16	J		
272. - iShares Dow Jones US Energy Sector Index Fund	A	Dividend	K	T	Buy (add'l)	03/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Guggenheim Bulletshares 2017 High Yield Corp Bond	B	Interest	K	T					
274. - Guggenheim Enhanced Short Duration Bond	A	Interest	L	T	Sold (part)	03/16/16	J	A	
275. - Vanguard Bond Index Fund	B	Interest	L	T					
276. Broker Account # 10 -- TCW Rev. Trust									
277. - American Capital Limited Common Stock		None	L	T					
278. - Bristol Myers Squibb Common Stock	B	Dividend	L	T					
279. - Ford Motor Co. Common Stock	B	Dividend	K	T					
280. - Macy's Common Stock	B	Dividend	K	T					
281. - Schlumberger Ltd. Common Stock	B	Dividend	K	T					
282. - ING Clarion Global Real Estate Fund	C	Interest	K	T					
283. - Clearbridge Energy MLP Fd	B	Dividend	K	T					
284. - Kayne Anderson Energy Fund	C	Dividend	K	T					
285. - ACAP Strategic Fund Shares Mutual Fund		None	L	T					
286. - Legg Mason Western Asset Mun. Class C	B	Dividend			Sold	08/31/16	L	C	
287. - Principal Investment Fund	B	Dividend	K	T					
288. Broker Account # 11 -- EJW IRA (See Part VIII)									
289. - Tortoise MLP Fund (See Part VIII)	B	Dividend			Sold	10/02/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Best Buy Common Stock (See Part VIII)	A	Dividend			Sold	11/30/15	K	A	
291. - Chesapeake Energy Corp. Common Stock (See Part VIII)	A	Dividend			Sold	11/30/15	K	A	
292. - Schlumberger Ltd. Common Stock (See Part VIII)	A	Dividend	J	T					
293. Broker Account # 12 -- EJW IRA Trust (See Part VIII)									
294. - United Health Group Common Stock (See Part VIII)	A	Dividend	K	T					
295. - Calamos Strategy Fund (See Part VIII)	B	Dividend	K	T					
296. - Hartford Floating Rate Fund (See Part VIII)	B	Dividend	K	T					
297. - Pimco Commodity Real Return Strategy Fund (See Part VIII)	A	Dividend	K	T					
298. - Putnam Diversified Income Trust Fund, Class C (See Part VIII)	B	Dividend			Sold	12/02/15	K	A	
299. Broker Account # 13 -- HKW IRA Trust									
300. - Bank of America Common Stock	A	Dividend	K	T					
301. - Bristol Myers Squibb Common Stock	B	Dividend	L	T					
302. - Exxon Mobile Common Stock	B	Dividend	L	T					
303. - Ford Motor Co. Common Stock	A	Dividend	K	T					
304. - Freeport McMoran Common Stock	B	Dividend	K	T					
305. - U.S. Steel Common Stock	A	Dividend	J	T					
306. - Whole Foods Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Principal Inv. Pref. Sec. Mut. Fund	A	Dividend	J	T					
308. Brker Acct #14--For HKW IRA Man Acct									
309. - Alger Small Cap Growth Inst.		None	K	T	Sold (part)	04/22/16	J	B	
310. - Artisan Midcap Value Inv.	A	Dividend	K	T	Sold (part)	02/18/16	J	A	
311. - Blackrock Equity Dividend I	A	Dividend	L	T	Sold (part)	07/15/16	J	A	
312. - Columbia Select Large Cap Growth		None	L	T	Buy (add'l)	12/16/16	J		
313. - Oppenheimer Int' Growth	A	Dividend	L	T	Sold (part)	04/22/16	J	B	
314. - Prudential Jennison MD Cap.		None	K	T	Sold (part)	04/22/16	J	A	
315. - Royce Premier Inv.	A	Dividend	K	T	Sold (part)	02/18/16	J	A	
316. - SPDR Barclay's High Yield Bond Fd.	A	Dividend	J	T	Buy	07/15/16	J		
317. - Wells Fargo Emerg. Mkts.	A	Dividend	J	T	Buy (add'l)	07/15/16	J		
318. - iShares Barclays 1-3 Yr Trs Bd	A	Dividend	J	T	Buy	04/22/16	J		
319. - iShares Core U.S. Aggregate Fd	A	Dividend	J	T	Buy	04/22/16	J		
320. - iShares MSCI Japan ETF	A	Dividend	J	T	Buy	01/22/16	J		
321. - iShares TIPS Bond ETF	A	Dividend	J	T	Buy	07/15/16	J		
322. Broker Account #15 --HKW IRA Managed Account									
323. - MS Liquid Asset Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Alger Small Cap Growth Inst.		None	J	T	Sold (part)	04/22/16	J	A	
325. - Artisan Midcap Value Inv.	A	Dividend	J	T	Sold (part)	04/22/16	J	A	
326. - Blackrock Equity Dividend	B	Dividend	L	T	Sold (part)	02/18/16	J	B	
327. - Columbia Select Large Cap Growth		None	M	T	Buy (add'l)	12/13/16	J		
328. - Oppenheimer Int'l Growth	B	Dividend	K	T	Buy (add'l)	01/22/16	J		
329. - Prudential Jennison MD Cap.		None	J	T	Buy (add'l)	07/15/16	J		
330. - Royce Premier Inv.	A	Dividend	J	T	Buy (add'l)	07/15/16	J		
331. - Virtus Insight Emerg. Mkts.	A	Dividend	K	T	Buy (add'l)	07/15/16	J		
332. - Wells Fargo Emerg. Mkts.	A	Dividend	K	T	Buy (add'l)	07/15/16	J		
333. - iShares Barclays 1-3 Yr TSY Bd	A	Dividend	J	T	Buy	04/22/16	J		
334. - iShares Core U.S. Aggregate Fd	A	Dividend	J	T	Buy	04/22/16	J		
335. - iShares MSCI Japan ETF	A	Dividend	J	T	Buy	01/22/16	J		
336. - iShares TIPS Bond ETF	A	Dividend	J	T	Buy	07/15/16	J		
337. - SPDR BBG Barclays High Yield Bd	A	Dividend	J	T	Buy	07/15/16	J		
338. Broker Account #16 -- TCW Trust									
339. - Alcoa Corp. Common Stock		None	J	T	Buy	09/01/16	J		
340. - Arconic Inc. Common Stock	A	Dividend	K	T	Buy	09/01/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Blackstone Group Common Stock	C	Dividend	L	T	Buy (add'l)	05/10/16	J		
342. - Carnival CP Common Stock	A	Dividend	K	T	Buy	08/31/16	K		
343. - CVS Caremark Common Stock	C	Dividend	M	T					
344. - Celgene Common Stock		None	M	T					
345. - Crown Castle Int'l Common Stock	C	Dividend			Sold	06/30/16	M	E	
346. - EMC Corp. Mass. Common Stock	B	Dividend			Sold	09/01/16	L	D	
347. - Enterprise Products Partners LP	D	Dividend	L	T	Buy (add'l)	01/11/16	J		
348. - General Electric Common Stock	A	Dividend	K	T					
349. - General Motors Common Stock	B	Dividend	L	T					
350. - Lamar Advertising Common Stock	C	Dividend			Sold	04/04/16	K	D	
351. - Merck Common Stock	B	Dividend	L	T					
352. - Murphy Oil Common Stock	A	Dividend	J	T					
353. - Starbuck's Corp. Common Stock	A	Dividend	L	T	Buy	09/01/16	L		
354. - Starwood Hotels Common Stock	A	Dividend			Sold	03/14/16	K	A	
355. - United Health Group Common Stock	B	Dividend	L	T					
356. - WPX Energy Common Stock		None	K	T					
357. - Yum Brands Common Stock	A	Dividend			Sold	08/31/16	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Calamos Strg Title Return Fd	D	Dividend	K	T	Buy (add'l)	04/04/16	K		
359. - Deutsch X-Trackers MSCI Euro Fd	B	Dividend	K	T					
360. - First Tr MLP & Eng Fund (FEI)	E	Dividend	M	T					
361. - Nuveen NFM MTP Preferred	B	Dividend	K	T					
362. - Nuveen MD Dividend Muni Fund	A	Dividend	K	T					
363. - Hartford Floating Rate Fund	B	Dividend	L	T					
364. - Putnam Growth & Inc. C	A	Dividend	L	T					
365. - Legg Mason WA SHT Muni Fund	B	Dividend	L	T					
366. - Legg Mason Western Asset Muni Fund	B	Dividend	L	T					
367. - iShares NASDAQ Biotech ETF	A	Dividend	L	T	Buy	04/04/16	L		
368. Broker Account #17--Small Cap Man. Trust									
369. - MS Liquid Asset Fund	A	Interest	J	T					
370. - Alger Small Cap Growth Inst.		None	J	T	Buy (add'l)	12/29/16	J		
371. - American Century Int. TRM TX FR BD Inv.	B	Dividend	K	T					
372. - American Century Intl. Bond Inv.	A	Dividend	J	T					
373. - Artisan Midcap Value Inv.	A	Dividend			Sold	03/15/16	J	A	
374. - Baron Small Cap Retail		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Columbia Select Large Cap Growth Fd		None	K	T	Buy	02/23/16	K		
376. - Columbia Marsico Growth Z		None	K	T					
377. - Columbia Marsico Intl Opp. Z		None			Sold	03/15/16	K	A	
378. - Davis New York Venture Y	A	Dividend	K	T	Buy (add'l)	12/29/16	J		
379. - Federated Strategy Val Div Inst Fd	A	Dividend	K	T	Buy	12/29/16	K		
380. - Franklin Fed Intm Trm Txfr Adv	A	Dividend	K	T	Buy (add'l)	12/29/16	K		
381. - Nationwide Geneva Mdcg Gw Fund		None	J	T					
382. - American Europacific Growth F1	A	Dividend	K	T	Buy (add'l)	01/22/16	J		
383. - Goldman Sachs Growth Opp. 1		None	J	T					
384. - American Growth Fund of America F1	A	Dividend	K	T	Buy (add'l)	02/24/16	J		
385. - Invesco American Value Y		None	J	T	Buy	03/15/16	J		
386. - JP Morgan Emerging Markets Sel	A	Dividend	J	T					
387. - JP Morgan Mid Cap Value S	A	Dividend	J	T	Buy	03/15/16	J		
388. - Lazard Emerging Markets 1	A	Dividend	J	T					
389. - Legg Mason WA Interim Muni Inst.	B	Dividend	K	T	Buy (add'l)	12/29/16	K		
390. - Mainstay ICAP Select Equity 1	A	Dividend			Sold	08/25/16	J	A	
391. - Oppenheimer Intl Growth Y	A	Dividend	K	T	Buy	12/29/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - PIMCO Emerging Local BD P	A	Dividend	K	T	Buy (add'l)	02/24/16	J		
393. - SPDR Nuveen BBG Short-Term	A	Dividend	L	T	Buy	02/24/16	J		
394. - T Rowe Price High Yield	A	Dividend	J	T	Buy (add'l)	04/06/16	J		
395. - T Rowe Price Mid-Cap Growth		None	J	T					
396. - Templeton Int'l Bond Fund	A	Dividend	J	T	Sold (part)	04/06/16	J	A	
397. - Royce Micro-cap Inv.	A	Dividend	J	T					
398. - Royce Total Return Inv.	A	Dividend	J	T					
399. - Templeton Global Bond Fd Adv	A	Dividend	J	T					
400. - CRM Mid-cap Value Instl.	A	Dividend			Sold	03/15/16	J	A	
401. - Wells Fargo High Income Inv.	A	Dividend	J	T	Buy (add'l)	04/06/16	J		
402. Broker Account #18 -- HKW/TCW Annuities									
403. - Tactical Diversified Futures Fund LP		None	K	T					
404. - Sun Life of Canada Annuity		None	M	T					
405. - Nationwide Life & Annuity		None	M	T					
406. - Skybridge Multi-Advisor Hedge Fund		None	L	T					
407. Broker Account #19 -- US Bank EL Trust									
408. - First American Prime Obligation Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

08/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - iShares Iboxx H Y Corp Bond	A	Dividend	J	T					
410. - Abbvie Common Stock	A	Dividend	J	T					
411. - Align Technology Common Stock		None	J	T	Buy	06/16/16	J		
412. - Allergan Inc. Common Stock	A	Dividend			Sold	06/16/16	J	A	
413. - American Tower Common Stock	A	Dividend	J	T					
414. - Amgen Common Stock	A	Dividend	J	T					
415. - Anadarko Petroleum Common Stock	A	Dividend	J	T					
416. - Apple Inc. Common Stock	A	Dividend	J	T					
417. - AQR Long/Short Equity Fd	A	Dividend	J	T	Buy	06/16/16	J		
418. - AT&T Inc. Common Stock	A	Dividend	J	T					
419. - Cincinnati Financial Corp. Common Stock	A	Dividend	J	T					
420. - Citigroup Common Stock	A	Dividend	J	T					
421. - CME Group Common Stock	A	Dividend	J	T					
422. - Computer Sciences Corp. Common Stock	A	Dividend	J	T					
423. - Covidien Common Stock	A	Dividend	J	T					
424. - CVS Caremark Common Stock	A	Dividend	J	T					
425. - Walt Disney Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

08/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Dollar Tree Inc. Common Stock		None	J	T	Buy	09/29/16	J		
427. - EOG Resources Common Stock	A	Dividend	J	T					
428. - Ecolab Inc. Common Stock	A	Dividend	J	T					
429. - Electronic Arts Common Stock		None	J	T					
430. - Emerson Electric Co. Common Stock	A	Dividend	J	T					
431. - Express Scripts Common Stock		None	J	T					
432. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T					
433. - Facebook Common Stock		None	J	T					
434. - Gilead Sciences Common Stock		None	J	T					
435. - Glenmeade Sm Cap Equity Fund	A	Dividend	J	T					
436. - Goldman Sachs Common Stock	A	Dividend	J	T					
437. - Alphabet Common Stock (formerly Google Inc.)		None	J	T					
438. - Intuit Common Stock	A	Dividend	J	T					
439. - Invesco Common Stock	A	Dividend	J	T					
440. - JP Morgan Chase Co. Common Stock	A	Dividend	J	T					
441. - Johnson & Johnson Common Stock	A	Dividend	J	T					
442. - Lincoln Nat'l Corp. Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Lowe's Common Stock	A	Dividend	J	T					
444. - McDonald's Corp. Common Stock	A	Dividend	J	T					
445. - McKesson Corp. Common Stock	A	Dividend	J	T					
446. - Medco Health Solutions Inc. Common Stock		None	J	T					
447. - Microsoft Common Stock	A	Dividend	J	T					
448. - Mohawk Indus. Common Stock	A	Dividend	J	T					
449. - Morgan Dempsey Small Cap Value Fund	A	Dividend	J	T					
450. - Nextera Energy Inc. Common Stock	A	Dividend	J	T					
451. - Philip Morris Intl. Common Stock	A	Dividend	J	T					
452. -- Pioneer Natural Resources Common Stock	A	Dividend	J	T					
453. - Polaris Ind. Inc. Common Stock	A	Dividend	J	T					
454. - Praxair Inc. Common Stock	A	Dividend	J	T					
455. - Priceline Com. Inc. Common Stock		None	J	T					
456. - Procter & Gamble Inc. Common Stock	A	Dividend	J	T					
457. - Prudential Financial Inc. Common Stock	A	Dividend	J	T					
458. - Robeco Boston Partners Long/Short Fd		None	J	T	Buy	04/20/16	J		
459. - Southwest Airlines Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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460. - Thermo Fisher Scientific Inc. Common Stock		None	J	T	Sold (part)	06/16/16	J	A	
461. - United Health Group, Inc. Common Stock	A	Dividend	J	T					
462. - Visa Inc. CL A Common Stock	A	Dividend	J	T					
463. - WFA Mid-Cap Value Fund	A	Dividend	K	T					
464. - Wells Fargo Common Stock	A	Dividend	J	T					
465. - Wyndham Worldwide Common Stock	A	Dividend			Sold	09/29/16	J	A	
466. - 3M Co. Common Stock	A	Dividend	J	T					
467. - Accenture PLC CL A Common Stock	A	Dividend	J	T					
468. - Ace Ltd. Common Stock	A	Dividend	J	T					
469. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
470. - SPDR DJ Wilshire Int'l Common Stock	A	Dividend	J	T					
471. - American Funds Europacific Growth Fd	A	Dividend	K	T					
472. - Baron Emerging Mkts. Fd.	A	Dividend	J	T					
473. - Calvert High Yield Bond Fund	A	Dividend	J	T					
474. - Causeway Emerging Mkts Fund	A	Dividend	J	T					
475. - Dreihaus Select Credit Fund	A	Dividend	J	T					
476. - Highland Long Short Equity Z	A	Dividend			Sold	04/20/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. - Invesco Bal Risk Commodity Fd		None	J	T					
478. - IPath Dow Jones UBS Commodity		None	J	T					
479. - iShares JP Morgan Emerg. Mkts. Fd	A	Dividend	J	T					
480. - Loomis Sayles ABS Strat Y	A	Dividend	J	T					
481. - Mainstay Floating Rate Fund	A	Dividend	J	T					
482. - Principal Global Real Estate Sec. Fd	A	Dividend	J	T					
483. -- TCW Emerging Market Income Fund	A	Dividend	J	T					
484. - Nuveen Inter. Mun. Fund	A	Dividend	J	T					
485. - Nuveen Real Estate Security Class I	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

08/14/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 3, Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

In my 2015 Financial Disclosure Report, I neglected to report that Broker Account #11 (lines 291-294) and Broker Account #12 (lines 296-300) were closed effective March 6, 2015. These accounts represented inherited IRA accounts of my deceased parents, and a full distribution was required in 2015. The assets in Broker Account #11 were transferred to Broker Account #10 on March 6, 2015. The Chesapeake Energy common stock (line 293) was sold on August 7, 2015. The Tortoise MLP Fund (line 291) was sold on October 2, 2015. The Best Buy common stock (line 292) was sold on November 30, 2015. The Schlumberger common stock (line 294) is still in Broker Account #10 (line 283). The assets in Broker Account #12 were transferred to Broker Account #16 on March 6, 2015. The Pimco mutual fund (line 299) was sold on December 1, 2015. The Putnam Fund (line 300) was sold on December 2, 2015. The United Health common stock (line 296), the Calamos Strategy Fund (line 297), and the Hartford Floating Rate Fund (line 298) are still in Broker Account #16 (lines 357, 360, and 365 respectively). The details of the 2015 transactions are shown on lines 291, 292, 293, and 300 respectively.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544