

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Utschig, Thomas S.	2. Court or Organization U.S. Bankruptcy Court, WI-W	3. Date of Report 1/2/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 1/31/2012
7. Chambers or Office Address U.S. Bankruptcy Court P.O. Box 5009 Eau Claire, WI 54702-5009		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	State Bar of Wisconsin	2/28/12 to 3/2/12	Kohler, WI	Bankruptcy, Insolvency, and Creditor's Rights Annual Bankruptcy Seminar	Travel, hotel, & meals
2.	National Conference of Bankruptcy Judges	8/6/12 to 8/10/12	San Francisco, CA	National Conference of Bankruptcy Clerks Annual Conference	Travel, hotel, & meals
3.	National Conference of Bankruptcy Judges	10/24/12 to 10/26/12	Milwaukee, WI	Association of Bankruptcy Judicial Assistants Educational Conference	Travel, hotel, & meals
4.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1:		None	M	T					
2. - FundX Funds (UNBOX) Mutual Fund		None							
3. - FundX Aggressive Upgrader (HOTFX) Mutual Fund		None							
4.					Buy	04/04/12	J		
5.					Buy	05/04/12	J		
6.					Buy	06/04/12	J		
7.					Buy	07/04/12	J		
8.					Buy	08/04/12	J		
9.					Buy	09/04/12	J		
10.					Buy	10/04/12	J		
11.					Buy	11/04/12	J		
12.					Buy	12/04/12	J		
13.					Buy	12/13/12	J		
14. IRA #2:		None	L	T					
15. - FundX Aggressive Upgrader (HOTFX) Mutual Fund		None							
16. - FundX Funds (UNBOX) Mutual Fund		None							
17.					Buy	04/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	05/04/12	J		
19.					Buy	06/04/12	J		
20.					Buy	07/04/12	J		
21.					Buy	08/04/12	J		
22.					Buy	09/04/12	J		
23.					Buy	10/04/12	J		
24.					Buy	11/04/12	J		
25.					Buy	12/04/12	J		
26.					Buy	12/13/12	J		
27. - NTCXF Stock (Common)					Buy	11/05/12	J		
28. IRA #3:									
29. - FundX Conservative (RELAX) Mutual Fund	C	Dividend	M	T	Buy	01/04/12	J		
30. BROKERAGE ACCOUNT #1:									
31. - FundX Aggressive Upgrader (HOTFX) Mutual Fund		None	L	T					
32. BROKERAGE ACCOUNT #2:									
33. - FundX Aggressive Upgrader (HOTFX) Mutual Fund	A	Dividend	J	T					
34.					Sold (part)	03/31/12	J	A	

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35.					Buy	06/29/12	J		
36.					Sold	09/28/12	J	A	
37.					Buy	12/31/12	J		
38. - FundX Upgrader (FUNDX) Mutual Fund	A	Dividend	J	T					
39.					Sold (part)	03/31/12	J	A	
40.					Buy	06/29/12	J		
41.					Sold	09/28/12	J	A	
42. - FundX Flexible Income (INCMX) Mutual Fund	A	Dividend	J	T					
43.					Buy	03/31/12	J		
44.					Sold (part)	06/29/12	J	A	
45.					Buy	09/28/12	J		
46.					Sold	12/31/12	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas S. Utschig**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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