

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Robert L.	2. Court or Organization District Court - E.D. Tenn.	3. Date of Report 5/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 800 Market Street Suite 141 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Tennessee Consolidated Retirement System Judicial Retirement	\$13,935.66
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. American Trust Bank of East TN		None	J	T					
3. BB&T	B	Dividend	M	T					
4. BP PLC	A	Dividend	J	T					
5. Comcast	A	Dividend	J	T					
6. Dow Chem.	A	Dividend	J	T					
7. EMC		None	J	T					
8. First Horizon	A	Dividend	K	T					
9. General Electric	A	Dividend	K	T					
10. IBM	A	Dividend	K	T					
11. JDS Uniphase Corp		None	J	T					
12. John Hancock	A	Dividend	K	T					
13. Johnson & Johnson	A	Dividend	J	T					
14. King Phar.		None			Sold	01/31/11	J		
15. Alcatel-Lucent		None	J	T					
16. Medtronic	A	Dividend	J	T					
17. NASDAQ - 100		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Pfizer	A	Dividend	J	T					
19. Phoenix Cos.		None	J	T					
20. Putnam Global Growth		None	K	T					
21. Security Equity - RYDEX		None	J	T					
22. Sun Trust Bank	C	Dividend	O	T					
23. Twin Cities Financial, Inc.	A	Dividend	K	T					
24. Tyco Intl.	A	Dividend	J	T					
25. Cohen & Steers Select Utility	B	Dividend	K	T					
26. Vanguard Growth Index Fund	A	Dividend	L	T					
27. Vanguard 500 Index Fund	B	Dividend	L	T					
28. Vanguard Mid Cap Index Fund	A	Dividend	L	T					
29. Vanguard Small Cap Value Fund	B	Dividend	L	T					
30. Vanguard Health Care Fund	B	Dividend	L	T					
31. INTEREST, CDS, SAVINGS, ETC.									
32. Green Bank	A	Interest			Closed	09/09/11	L		
33. Regions Bank	C	Interest	N	T					
34. Citizens Bank of Blount County	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. First Tennessee	D	Interest	M	T					
36. Home Federal	B	Interest	N	T					
37. Merrill Lynch MIT		None	J	T					
38. Merrill Lynch Ready Assets		None	J	T					
39. SunTrust	C	Interest	N	T					
40. United Community Bank	A	Interest	L	T					
41. Y-12 FCU	A	Interest	L	T					
42. American Trust Bank	B	Interest	M	T					
43. First National Bank	B	Interest	M	T					
44. American Patriot Bank	A	Interest	M	T					
45. Capital Mark Bank	B	Interest	M	T					
46. Clayton B&T	B	Interest	L	T					
47. TN Bank	C	Interest	M	T					
48. Bank East	B	Interest	M	T					
49.									
50. Vanguard Int'l Value Fund	B	Dividend	K	T					
51. Vanguard Prime Cap Core Fund	A	Dividend	L	T					

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52. Atmos Energy	A	Dividend	J	T					
53.									
54. Merrill Lynch Custodian IRA									
55. - Regions Bank	A	Dividend	J	T					
56. - BMC Software		None	J	T					
57. - General Electric	A	Dividend	K	T					
58. - Johnson & Johnson	A	Dividend	J	T					
59. - King Phar.		None			Sold	01/31/11	J		
60. - Merrill Lynch Ret. Reserves		None	J	T					
61. - PerkinElmer	A	Dividend	J	T					
62. - Sun Trust Bank	A	Dividend	J	T					
63. - Tanger Factory Outlet	A	Dividend	K	T					
64. - Texas Inst.	A	Dividend			Distributed	12/08/11	J	A	Self
65. - Greene Co. Bankshares		None	J	T					
66. - Microsoft Corp.	A	Dividend	J	T					
67. - Ingersoll-Rand	A	Dividend	J	T					
68. Medtronic	A	Dividend	J	T					

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69.									
70. DEFERRED COMPENSATION - STATE OF TENNESSEE									
71. - Plan I - 457	D	Dividend	M	T					
72. - Plan II - 401K	D	Dividend	M	T					
73.									
74. Ingersoll-Rand	A	Dividend	J	T					
75. Verizon Communications	A	Dividend	J	T					
76. TN Valley Fin. Hldr. Inc.		None	J	T					
77. Caterpillar Inc.	A	Dividend	K	T					
78. LSI		None	J	T					
79. Tyco Elect. Ltd. (Renamed TE Connectivity)	A	Dividend	J	T					
80. Covidien	A	Dividend	J	T					
81. Vanguard REIT	A	Dividend	K	T					
82. DEERE CO.	A	Dividend	K	T					
83. TN Housing Dev. Agency 6%, Due 7-25	D	Int./Div.	L	T	Redeemed (part)	07/01/11	J	A	
84.					Redeemed (part)	10/03/11	J	A	
85. Mountain Nat'l Bank CDs	C	Interest	M	T					

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86. Foothills B&T	C	Interest	M	T					
87. Alcoa FCU	B	Interest	M	T					
88. Home Depot	A	Dividend	J	T					
89. Cisco Sys	A	Dividend	J	T					
90. Proctor & Gamble	A	Dividend	J	T					
91. TN Engy. Bond	A	Interest	L	T					
92. Regions FIN Bond	C	Interest	M	T					
93. Monsanto	A	Dividend	J	T					
94. Exxon-Mobil	A	Dividend	K	T					
95. Genl-Dyn	A	Dividend	J	T					
96. Merck	A	Dividend	J	T					
97. Kroger	A	Dividend	J	T					
98. Virtus Inv. Ptrs.		None	J	T					
99. Frontier Comm. Corp.	A	Dividend	J	T					
100. Johnson City TN Health & EDL	B	Interest	K	T	Buy	04/04/11	K		
101. Bristol-Myers Squibb	A	Dividend	J	T	Buy	08/09/11	J		
102. Johnson Controls	A	Dividend	J	T	Buy	08/09/11	J		

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103. Boeing Co.	A	Dividend	J	T	Buy	09/23/11	J		
104. TN ENG ACQ CRP		None	K	T	Buy	12/15/11	K		
105. TN ENG ACQ CRP		None	K	T	Buy (add'l)	12/28/11	K		
106. Texas Inst.		None	J	T	Spinoff (from line 64)	12/08/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544