

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Rebecca B.	2. Court or Organization Eastern District of Virginia	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	General Douglas MacArthur Foundation, Norfolk, Va
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Smith, Rebecca B.

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05/14/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

- salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

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05/14/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Branch Bank & Trust Co. Bank Account	A	Interest	J	T					
2. Investment Account #1 directing (line 3)									
3. IRA-Brokerage Account #1 holding (lines 4-37)									
4. Schwab Govt. Money Fund	A	Int./Div.	K	T					
5. Standard & Poor's Midcap 400	A	Int./Div.	K	T					
6. Tr MSCI EAFE Index Fund	A	Int./Div.			Sold	07/23/13	K	C	
7. Standard & Poor's 500 Depository Receipts Trust	A	Int./Div.			Sold	07/23/13	K	D	
8. A T & T Inc New	A	Int./Div.			Sold	05/20/13	J	B	
9. Verizon Communications	A	Int./Div.	J	T					
10. IShares S & P Smlcap Value	A	Int./Div.			Sold	07/23/13	J	C	
11. IShares TR Barclays Bond Intermediate, now IShares TR Bond	A	Int./Div.	K	T					
12. IShares TR Barclays Fund Aggregate now IShares Core	A	Int./Div.	J	T					
13. IShares Tr Cohen & Steer	A	Int./Div.			Sold	07/23/13	J	C	
14. SPDR Trust Unit Sr 1		None			Sold	07/23/13	J		
15. IShares Trust (High Yield Corp)	A	Int./Div.	J	T					
16. Vanguard MSCI Emerging Markets		None	J	T					
17. IShares Tr Barclays Tips		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Page 5 of 17

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wisdomtree Trust		None	J	T					
19. Dominion Resources	A	Int./Div.	J	T	Buy	10/09/13	J		
20. JP Morgan Chase	A	Int./Div.	K	T	Buy	06/27/13	K		
21. Apple Inc	A	Int./Div.	J	T	Buy	02/13/13	J		
22. BP PLC ADR	A	Int./Div.	J	T	Buy	07/23/13	J		
23. Alps Trust ETF		None	J	T	Buy	07/23/13	J		
24. iShares S & P 500 Value	B	Int./Div.	K	T	Buy	07/23/13	K		
25. Powershares ETF		None	J	T	Buy	03/11/13	J		
26. Vanguard FTSE ETF	B	Int./Div.	K	T	Buy	07/23/13	K		
27. Wisdomtree Small Cap	B	Int./Div.	K	T	Buy	07/23/13	K		
28. Taxable Bonds:									
29. Gov't Natl Mtg. Assn Pool 5/15/20 Medium Term Notes		None	J	T					
30. US TSY Inflation Index Notes 7-15-13		None			Matured	07/15/13	J	B	
31. U.S. T-Note 5/31/13		None			Matured	05/31/13	K		
32. U.S. T-Note 8/15/15	A	Int./Div.	J	T					
33. Fed Farm CR BK 6/8/17	A	Int./Div.	K	T					
34. Conocophillips 10/15/16	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Amgen 11/15/21	A	Int./Div.	J	T					
36. Maryland St Sta 12/15/18	A	Int./Div.	J	T					
37. Fla Hurricane	A	Int./Div.	J	T	Buy	06/06/13	J		
38. Farm, [REDACTED]		None	N	W					
39. Investment Account #2 directing (line 40)									
40. Brokerage Account #2 holding (lines 41-94)									
41. Schwab Govt Money Fund	A	Int./Div.	J	T					
42. Equities/Stocks:									
43. A T & T Inc.	B	Dividend	K	T					
44. Ace Limited	A	Dividend	K	T					
45. Auto Data Processing	A	Dividend	K	T					
46. Cisco Systems Inc.	A	Dividend	J	T					
47. Dollar Tree Inc.	A	Dividend	K	T					
48. DuPont E I De Nemours & Co.	A	Dividend	K	T					
49. Duke Energy Corp.	A	Dividend	J	T					
50. Exxon Mobil Corp.	B	Dividend	K	T					
51. General Electric Co.	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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52. Honeywell International	B	Dividend	L	T					
53. Johnson & Johnson	B	Dividend	K	T					
54. J.P. Morgan Chase & Co.	A	Dividend	K	T					
55. Kraft Foods, Inc name changed to Mondelez on 10/2/12	A	Dividend	K	T					
56. Microsoft Corp.	A	Dividend	K	T					
57. Pepsico Inc.	A	Dividend	K	T					
58. Verizon Communications	A	Dividend	J	T					
59. Canadian Natl Ry Co	A	Dividend	K	T					
60. Home Depot	A	Dividend	K	T					
61. Marathon Oil Corp	A	Dividend	J	T					
62. Proctor & Gamble	A	Dividend	K	T					
63. Travelers Companies	A	Dividend	K	T					
64. Vanguard Div Appreciation	A	Dividend	K	T					
65. Chevron Corporation	A	Dividend	K	T					
66. Wal-Mart Stores Inc	A	Dividend	K	T					
67. SPDR Index Shares Fund		None	J	T					
68. Apple Inc	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 17

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69. Marathon Pete Corp		None			Sold	07/23/13	J	B	
70. Plum Creek Timber Co	B	Dividend	J	T					
71. Amgen Incorporated	A	Dividend	J	T					
72. BP PLC ADR	A	Dividend	K	T					
73. CSX Corp	A	Dividend	K	T					
74. Cliffs Natural Res Inc	A	Dividend			Sold	02/13/13	J		
75. Coming Inc	A	Dividend	J	T					
76. McDonalds Corp	A	Dividend	J	T					
77. Kraft FoodsGroup	A	Dividend	J	T					
78. Total S A ADR	A	Dividend	J	T					
79. Deere & Co	A	Dividend	K	T	Buy	09/27/13	K		
80. ENSCO Plc Class A	A	Dividend	K	T	Buy	11/20/13	K		
81. Tax Exempt Bonds:									
82. Bristol, VA 11/1/16	A	Interest	J	T					
83. Prince William County VA CTF Partn 6/1/15	A	Interest	J	T					
84. Virginia College Bl. 9/1/15	A	Interest	J	T					
85. Virginia College Bl. 4/1/16	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 17

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86. VA Res Auth Infrastructure Rev. Pooled Loan Bond 11/1/14	A	Interest	K	T					
87. Appamattox Cnty Va 05/01/14	A	Interest	K	T					
88. Fairfax Cnty Va ECO 05/15/17	A	Interest	J	T					
89. Virginia St Hsg 04/01/13	A	Interest			Matured	04/01/13	J		
90. Hanover County VA Eco 04/01/18	A	Interest	K	T					
91. Norfolk Va Arpt Aut 07/01/13	A	Interest			Matured	07/01/13	J		
92. Virginia St Res Aut 11/01/19	A	Interest	K	T					
93. Richmond Va Met	B	Interest	K	T					
94. Fairfax Co Wtr Au	A	Interest	J	T	Buy	10/10/13	J		
95. Wells Fargo	A	Interest	M	T					
96. Rental Property #1, Norfolk, VA	E	Rent	N	W					
97. C.A. Associates, 2%, Norfolk, VA	D	Dividend	K	W					
98. GJF Currituck Beach Associates, NC		None	J	W					
99. IRA - Equi-Vest		None			Closed	08/13/13	K		
100. Deferred Annuity - Equitable Life Ins. Co.		None			Closed	08/19/13	K		
101. Holly Holdings, Inc.	A	Interest			Closed	05/15/13	M		
102. Friends of Cedar Point Country Club		None	J	T					

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Page 10 of 17

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103. IRA-Brokerage Acct #3 directing and holding (lines 104-153)									
104. UBS Financial Services IRA Partial Dist.	D	Distribution	M	T					
105. Washington Real Estate Investment Fund	A	Int./Div.	J	T					
106. iShares Trust Russell 1000 Value Index	A	Int./Div.	J	T					
107. iShares Trust Russell 1000 Growth Index	B	Int./Div.	J	T					
108. iShares Inc MSCI Brazil		None			Sold	02/25/13	J	A	
109. iShares Trust Russell Midcap Value Index	B	Int./Div.	J	T					
110. iShares Trust Russell Midcap Growth Index	B	Int./Div.	J	T					
111. iShares MSCI EAFE Index Fund	B	Int./Div.	J	T					
112. iShares Inc MSCI Pac Ex Japan	A	Int./Div.			Sold	02/25/13	J	A	
113. iShares MSCI Emerging Markets Index		None	J	T					
114. SPDR S & P 500 ETF		None			Sold	02/25/13	J		
115. Vanguard Total Stock Mkt ETF	A	Int./Div.			Sold	02/25/13	J		
116. Vanguard Index Funds Vanguard Value ETF	A	Int./Div.	J	T					
117. Vanguard Index Funds Vanguard Small Cap	B	Int./Div.	J	T					
118. Vanguard Index Funds Vanguard Growth	B	Int./Div.	J	T					
119. Vanguard REIT ETF	A	Int./Div.	J	T					

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120. Vanguard MSCI European ETF	A	Int./Div.			Sold	02/25/13	J	A	
121. iShares iBoxx \$ Invst Gra De Corp Fund		None	J	T					
122. iShares TR Core Total	A	Int./Div.			Sold	02/25/13	J	A	
123. iShares Barclays Inter CR BD FD		None			Sold	01/02/13	J	A	
124. iShares Barclays MBS Fund		None			Sold	07/23/13	J		
125. iShares iBoxx High Yield Corp		None	J	T					
126. Powershares Emerging Markets Sovereign		None	J	T					
127. SPDR Barclays Inter Term		None			Sold	02/25/13	J		
128. SPDR Barclays Intl Treas		None	J	T					
129. SPDR Barclays Capital High Yield ETF	A	Int./Div.	J	T					
130. iShares Gold Trust ETF		None			Sold	06/26/13	J		
131. Jefferies Commodity Strategy Alloc. Fund		None			Sold	02/25/13	J	A	
132. Pimco Commodity Real Return Strategy		None	J	T					
133. Permanent Portfolio Fund	A	Int./Div.			Sold	02/25/13	J	A	
134. Fed. Govt Income Fund Class A		None	J	T					
135. Lord Abbett Intermediate Tax Fund		None	J	T					
136. Thornburg Limited Term Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Wells Fargo Advantage Intermediate		None	J	T					
138. Wells Fargo Advantage Municipal Fund		None	J	T					
139. iShares 7-10 Year Treas Bond ETF		None	J	T	Buy	02/25/13	J		
140. iShares Tips Bond ETF		None	J	T	Buy	02/25/13	J		
141. iShares JP Morgan USD Emerging Markets		None	J	T	Buy	02/25/13	J		
142. Blackrock High Yield	A	Int./Div.	K	T	Buy	09/17/13	K		
143. Goldman Sachs Strategic Income	A	Int./Div.	K	T	Buy	09/17/13	K		
144. Nuveen Symphony Floating	A	Int./Div.	K	T	Buy	09/17/13	K		
145. Prudential Short Term Corporate Bond	A	Int./Div.	K	T	Buy	09/17/13	K		
146. Virtus Multi-Sector Short Term Bond	A	Int./Div.	K	T	Buy	09/17/13	K		
147. AQR Managed Futures Strategy	A	Int./Div.	J	T	Buy	02/25/13	J		
148. Tax Exempt Bonds:									
149. Virginia Beach Va Pub Impt 7/15/23	A	Interest	J	T					
150. Virginia Small Business Fing Auth 11/1/21		None	K	T					
151. Arlington Cnty Va IDA 7/1/31	A	Interest	K	T					
152. Roanoke VA EDA Hosp 7/1/20	A	Interest	K	T					
153. Henrico County Va Econ Auth Res Care 10/1/35		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Brokerage Account #4 directing and holding (lines 155-190)									
155. Resource Management Tax Free Fund (now UBS Bank USA Dep Acct)		None	J	T					
156. Equities/Stocks:									
157. IShares Trust Russell 1000 Value Index Fund	A	Dividend	J	T					
158. IShares Trust Russell 1000 Growth Index Fund	B	Dividend	J	T					
159. IShares Trust Russell Midcap Index Fund	B	Dividend	J	T					
160. IShares MSCI EAFE Index Fund	B	Dividend	J	T					
161. Rydex ETF Trust S & P 500 (NOW SPDR S & P 500 ETF)		None			Sold	02/25/13	J	B	
162. IShares Barclays MBS Bond Fd		None			Sold	05/30/13	J		
163. SPDR Barclays Capital Int Term Treasury ETF		None			Sold	02/25/13	J	A	
164. Permanent Portfolio Fund Inc.		None			Sold	02/25/13	J	B	
165. IShares Gold Trust ETF		None			Sold	02/26/13	J		
166. Vanguard Total Stock MKT ETF	A	Dividend			Sold	02/25/13	J	A	
167. Vanguard Index Funds Vanguard Value	A	Dividend	J	T					
168. Vanguard Index Funds Vanguard Growth	B	Dividend	J	T					
169. Vanguard MSCI European ETF	A	Dividend			Sold	02/25/13	J	A	
170. IShares Barclays 7-10 year Treas Bond		None			Sold	02/25/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. iShares iBoxx \$ Invt Gra De Corporate		None	J	T					
172. iShares Tr Core Total US Bond Mkt	A	Dividend			Sold	02/25/13	K	A	
173. iShares Barclays Inter CR BD FD		None	J	T					
174. iShares iBoxx High Yield Corp Bond	A	Dividend	J	T					
175. Powershares Emerging Markets Sovereign		None	J	T					
176. SPDR Barclays Intl Treas Bond ETF		None	J	T					
177. SPDR Barclays Capital High Yield	A	Dividend	J	T					
178. AQR Managed Futures Strategy Fund	B	Dividend	K	T					
179. Jefferies Commodity Strategy Alloc Fund		None			Sold	02/25/13	J		
180. Pimco Commodity Real Return Strategy		None	J	T					
181. iShares MSCI Emerging Markets		None	J	T	Buy	02/25/13	J		
182. Vanguard Index Funds Vanguard Small Cap	B	Dividend	J	T	Buy	02/25/13	J		
183. iShares Tips Bond		None	J	T	Buy	02/25/13	J		
184. iShares Inter Credit Bond		None	J	T	Buy	04/16/13	J		
185. iShares Natl Amt-Free Muni Bond		None	J	T	Buy	02/25/13	J		
186. iShares JP Morgan USD Emerging Markets		None	J	T	Buy	02/25/13	J		
187. Market Vectors ETF Trust Inter Municipal		None	K	T	Buy	02/25/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. Market Vectors ETF Trust Long Municipal		None	J	T	Buy	02/25/13	J		
189. Market Vectors ETF Trust Short Municipal		None	K	T	Buy	02/25/13	K		
190. Market Vectors High Yield Muni ETF		None	K	T	Buy	02/25/13	K		
191. NUZ Investments LLC	B	Distribution	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Smith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544