

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Phillips, Mary E.	2. Court or Organization U.S. District Court, Western District of Missouri	3. Date of Report 7/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 400 E. 9th Street Suite 7452 Kansas City, MO 64105		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Family Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

State of Missouri - Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Family Trust (H) (See Section VII)									
2. Country Club Bank Account	A	Interest	L	T					
3. Rental Property, Kansas City, Jackson County, Missouri (\$170,000-2007)	E	Rent			Sold	12/08/17	M		Edmondson Trust
4. Adorn, Inc. (2007)		None	K	W					
5. Brokerage Account #1 (H)									
6. Vanguard Select Value (VASVX)	C	Int./Div.							
7. UNTS Gugg Flah Crum Prfd - Bond	A	Int./Div.			Sold	05/15/17	K		
8.			J	T	Buy	05/16/17	J		
9. Missouri Env Imp Engy RV - Bond	A	Int./Div.	J	T					
10. Kansas City MO SRA BE/R	B	Int./Div.	J	T					
11. Platte Cnty Mo Reorg - Bond	B	Int./Div.	K	T					
12. Bayless Mo Cons Sch - Bond	B	Int./Div.	K	T					
13. Kansas City Mo San Swr S - Bond	B	Int./Div.	K	T					
14. St. Louis Mo MFC Leashld Assur RV CA V6.34 - Bond	A	Int./Div.	K	T					
15. Missouri St Health & EDL - Bond	B	Int./Div.	K	T					
16. Verizon Comm Inc. NTS B/E Bond	B	Int./Div.	K	T	Buy	04/12/17	K		
17. California Resource Corp	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Colgate Palmolive	A	Int./Div.	K	T					
19. Diageo Plc new GB Spon ADR	A	Int./Div.	K	T					
20. Intel Corp	A	Interest	K	T					
21. Johnson & Johnson Com	A	Int./Div.	K	T					
22. Medtronic	A	Int./Div.	K	T					
23. Occidental Petroleum CRP	A	Int./Div.			Sold	11/13/17	J		
24. Qualcomm	A	Int./Div.	J	T					
25. Pepsico Inc.	A	Int./Div.	K	T					
26. Rockwell Automation Inc	A	Int./Div.	K	T					
27. Unilever PLC Amer SHS	A	Int./Div.			Sold	11/13/17	J	B	
28. Union Pacific Corp	A	Int./Div.	J	T					
29. Praxair, Inc.	A	Int./Div.	J	T					
30. Suncor Energy Inc.	A	Int./Div.	J	T	Buy	11/13/17	J		
31. IsharesT rust DJ US Real Estate ETF (IYR)	B	Int./Div.	K	T					
32.					Buy (add'l)	11/13/17	J		
33. Ishares MSCI Emerging Markets ETF (EEM)	A	Int./Div.	K	T					
34. Vanguard Index Funds Mid-Cap Growth (VOT)	A	Int./Div.	L	T					

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35. Vanguard Index Funds Mid-Cap Value (VOE)	B	Int./Div.	L	T					
36. Vanguard MSCI Emerging Markets (VWO)	A	Int./Div.	K	T					
37. Vanguard Scottsdale 1000 Growth (VONG)	B	Int./Div.	M	T					
38. Matthews Int Pacific Tiger Fund (MAPTX)	B	Int./Div.	K	T					
39. Vanguard Tax-Managed Sm Cap Fund (VTMSX)	A	Int./Div.	K	T					
40. Direxion IDX Cmtty Stg I (DXCIX)	D	Int./Div.	J	T					
41. Vanguard Scottsdale 2000 Value (VTWV)	A	Int./Div.	K	T					
42. Vanguard Scottsdale 2000 Growth (VTWG)	A	Int./Div.	K	T					
43. Vanguard MSCI ETF (VEA)	B	Int./Div.	L	T					
44. Powershares DB Commodity Index (DBC)	A	Int./Div.	J	T					
45. Vanguard Primecap Core (VPCCX)	B	Int./Div.	K	T					
46. T. Rowe Price Intl Fds (TROSX)	A	Int./Div.	J	T					
47. Loomis Sales Growth (LGRGX)	A	Int./Div.	J	T					
48. Oakmark Intl Fund Class I (OAKIX)	A	Int./Div.	J	T					
49. Vanguard Total Stock Mkt Inx (VTSAX)	A	Int./Div.	K	T					
50. UBS Deposit Account	A	Int./Div.	K	T					
51. 457(b) Plan - MO2035 Fund	B	Int./Div.	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The liability previously listed in Part VI was sold as is reflected in row 3 of Section VII.
Assets listed in rows 2-50 are in a family trust

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary E. Phillips**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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