

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DODD, DOUGLAS D.	2. Court or Organization MIDDLE DISTRICT OF LOUISIANA	3. Date of Report 06/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 707 FLORIDA ST. STE. 236 BATON ROUGE, LOUISIANA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST # 1 CLASS TRUST (SEE NOTE IN PART VIII)
2. TRUSTEE	TRUST # 2 CLASS TRUST (SEE NOTE IN PART VIII)
3. ADJUNCT PROFESSOR OF LAW	LOUISIANA STATE UNIVERSITY LAW CENTER
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	CENTER FOR AMERICAN AND INTERNATIONAL LAW	FEBRUARY 24-26, 2016	NEW ORLEANS, LA	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
2.	UNIVERSITY OF TEXAS CONSUMER BANKRUPTCY LAW CONFERENCE	JULY 14-15, 2016	GALVESTON, TX	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
3.	ASSOCIATION OF BANKRUPTCY JUDICIAL ASSISTANTS	OCTOBER 17-19, 2016	ALBUQUERQUE, NM	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
4.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TENET HEALTHCARE CORP. 401(K) PLAN									
2. -- Vanguard Inst. Index Fund	A	Int./Div.	J	T					
3. -- FID Growth Company	A	Int./Div.	J	T					
4. -- DFA US Lg Cap Value	A	Int./Div.	J	T					
5. FIDELITY HOMESTEAD ASS'N IRA (MONEY MARKET)	A	Interest	J	T					
6. WHITNEY NATIONAL BANK ACCOUNTS	A	Interest	L	T					
7. CAPITOL ONE BANK ACCOUNT		None	J	T					
8. CAMPUS FEDERAL CREDIT UNION ACCOUNTS	A	Int./Div.	J	T					
9. NORTHWESTERN MUTUAL LIFE: WHOLE LIFE POLICY	A	Dividend	K	T					
10. NORTHWESTERN MUTUAL LIFE: WHOLE LIFE POLICY	A	Dividend	J	T					
11. BRIGHTHOUSE WHOLE LIFE POLICY (X)	A	Dividend	J	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I -

LINE 1 - TRUST #1 CLASS TRUST IS AN UNFUNDED TRUST THAT WAS ESTABLISHED TO RECEIVE THE PROCEEDS OF LIFE INSURANCE POLICIES. THE INSURED IS STILL LIVING, AND THE TRUSTEE HAS NO ASSET VALUED AT MORE THAN \$1000. THE FILER, SPOUSE AND CHILDREN DID NOT CREATE THE TRUST, HAVE NEVER RECEIVED ANY INCOME FROM THE TRUST AND HAVE NEVER HAD A BENEFICIAL INTEREST IN THE TRUST.

LINE 2 - TRUST # 2 CLASS TRUST OWNS 70% OF THE MEMBERSHIP INTERESTS IN DODD INVESTMENTS, LLC, A LOUISIANA LIMITED LIABILITY COMPANY. I HAVE NO CONTROL OVER THE LIMITED LIABILITY COMPANY, WHICH HAS NO ASSETS. THE FILER, SPOUSE AND CHILDREN DID NOT CREATE THE TRUST, HAVE NEVER RECEIVED ANY INCOME FROM THE TRUST AND HAVE NEVER HAD A BENEFICIAL INTEREST IN THE TRUST.

PART III(A) -

LINE 1 - BECAUSE MY COURSE WAS NOT OFFERED I RECEIVED NO INCOME FROM LSU IN 2016.

PART VII -

LINES 3 AND 4 - TWO INVESTMENT FUNDS IN THE TENET RETIREMENT ACCOUNT CHANGED NAMES AT SOME POINT. INVESTMENT OPTIONS [REDACTED] SELECTED HAVE NOT BEEN CHANGED FOR SEVERAL YEARS.

LINE 9 - CAPITOL ONE BANK ACCOUNT IS NOT AN INTEREST BEARING ACCOUNT.

LINE 10 - SECOND SAVINGS ACCOUNT OPENED AT CAMPUS FEDERAL CREDIT UNION TO FACILITATE PAYMENT OF REFINANCED MORTGAGE ON RESIDENCE.

FORMERLY ON LINE 7 - TRUST#2 CLASS TRUST - REPORTING IS NO LONGER REQUIRED BECAUSE THE FILER, SPOUSE AND CHILDREN DID NOT CREATE THE TRUST, HAVE NEVER RECEIVED ANY INCOME FROM THE TRUST AND HAVE NEVER HAD A BENEFICIAL INTEREST IN THE TRUST.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOUGLAS D. DODD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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