

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houston, John A.	2. Court or Organization Southern District of California	3. Date of Report 04/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Carter-Keep Federal Courthouse 333 West Broadway Suite 1380 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Trustee	Trust #4
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Psychology Consultation Services, Inc

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Mortgage - Investment Real Property	K
2.	Chase Slate	Credit Card	J
3.	Chase Freedom	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Funds - IRA									
2. --Fidelity Discovery Fund	A	Dividend	J	T					
3. ---Fidelity New Markets Fund	A	Dividend	J	T					
4. Trust									
5. - Leap Wireless Communications (Common Stock)	A	Dividend	J	T					
6. Trust #2	D	Distribution	M	T					
7. --MSDW Dividend Growth Securities Fund	A	Distribution	J	T	Redeemed	03/10/16	J	A	
8. --MSDW California Tax-Free Income Fund	A	Interest	K	T	Merged (with line 12)	05/05/16	K	A	
9. --AIM Advisor Real Estate Fund	B	Dividend	J	T	Redeemed	05/05/16	J	B	
10. --Eaton Vance WW Health Sciences Fund	A	Dividend	J	T	Redeemed	05/05/16	J	A	
11. --Eaton Vance Tax Managed Growth Fund	A	Dividend	J	T	Merged (with line 12)	05/05/16	J	A	
12. --Franklin Calif Tax Free Income Fund	B	Int./Div.	L	T	Buy (add'l)	05/05/16	L		
13. --MFS MidCap Growth Fund	A	Dividend	J	T					
14. --Putnam Research Fund	A	Dividend	J	T	Redeemed	03/10/16	J	A	
15. --Putnam International Voyager Fund	A	Dividend	J	T	Redeemed	03/10/16	J	A	
16. --Sentinel Small Cap Companies Fund	A	Distribution	J	T	Redeemed	03/10/16	J	A	
17. --Reserve Primary Fund Class R	A	Dividend	J	T	Redeemed	03/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Lord Abbet Mid Cap Val Class C	A	Dividend	J	T	Redeemed	03/10/16	J	A	
19. --MFS Val Class B	A	Dividend	J	T					
20. --MFS Value Class C	A	Dividend	J	T					
21. -- American AMCAP Class C	A	Distribution	J	T	Redeemed	05/05/16	J	A	
22. -- John Hancock US Glob Leaders Growth Class C	A	Dividend	J	T	Redeemed	05/05/16	J	A	
23. -- Putnam Tax Smart Equity Class B	A	Dividend	J	T	Merged (with line 12)	05/05/16	J	A	
24. -- Delaware Tax-Free California Class B	A	Interest	J	T	Merged (with line 12)	05/05/16	J	A	
25. -- Federal Capital Reserves	B	Int./Div.	K	T	Buy	05/05/16	K		
26. Real Property (3), Birmingham, AL	C	Rent	L	W					
27. Trust #3		Interest	P1	T					
28. -- Van Kampen Insured Muni Income Trust 589	B	Int./Div.	J	T					
29. -- Union Bank CD Index Zero	A	Int./Div.	J	T					
30. -- Real Property (2)- San Diego County, CA	A	None	O	W					
31. -- Real Property (5)- Columbus Ohio	A	None	K	T	Buy	09/15/16	K	A	
32. Pacific Innovative Select -Black Rock Global Allocation,Annuity -IRA	C	Distribution	L	T					
33. Union Bank Marked Linked Cert of Deposit - IRA	A	Interest	K	T					
34. Union Bank Marked Linked Cert of Deposit - Simple IRA	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Trust #4									
36. -- Real Property(6)- Salem, VA 24153		None	N	T	Buy	12/21/16	N		
37.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 4, and Part VII, Line 35; Trustee of Trust #4, dated December 19, 2016

Part VI, Line 1: The PNC mortgage was paid off in December 2016.

Part VII, Line 1 (2015 Report): Former Rental Property (2) (not rented); Transferred to Trust #3 (Line 30).

Part VII, Trust # 1, Lines 4-5. The following information was inadvertently omitted from the 2014 Report and the items were inadvertently included in the 2015 Report. Accept the following as amendments to FDRs for the years 2014 and 2015:

1) On March 14, 2014, AT&T purchased Leap Wireless and shareholders were paid. Leap is no longer listed as a securities entity.

2) Item at Line 5 was sold after April of 2014, Value J, Gain A.

3) Trust # 1 (Line 4) has no additional assets and undersigned has no intention to continue the use of Trust # 1.

Part VII, Line 33: Real Property (5) purchased via foreclosure sale on September 15, 2016.

Part VII, Trust # 2 (beginning on Line 6). The following information was inadvertently omitted from the 2014 Report and the items were inadvertently included in the 2015 Report. Accept the following as amendments to FDRs for the years 2014 and 2015:

1) MFS MidCap Growth Fund (Line 13); Sold on 7/30/2014, Value J, Gain B

2) MFS Value Class B (Line 19); Sold on 7/30/2014, Value J, Gain A.

3) MFS Value; Class C (Line 20); Sold on 7/30/2014, Value J, Gain A.

Part VII, Trust #2 (beginning on Line 6): The following information and items were inadvertently omitted from the 2012, 2013 and 2014 Reports. Accept the following as amendments to FDRs for the years 2012, 2013 and 2014:

1) Healthcare Trust of America; Bought on 1/28/2014; Value J; and Sold on 7/30/2014; Value J and Gain A.

2) American High Income TR; Bought 12/28/2012, Value J; Sold 7/30/2014, Value J, Gain A.

3) American High Income Fund; Bought 12/28/2012, Value J; Sold 7/30/2014, Value J, Gain A.

5) AT&T; Bought 11/28/2012, Value J; Sold 7/30/2014, Value J, Gain A

6) Black Rock Build; Bought 11/28/2012, Value J; Sold 7/30/2014, Value J, Gain A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Houston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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