

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baverman, Alan J.	2. Court or Organization Northern District of Georgia	3. Date of Report 01/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1885 US Courthouse 75 Ted Turner Drive, SW Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Self-employed real estate broker-agent and managing broker
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	10/26-29/2016	San Francisco, California	Attend NCBJ National Convention as President of Federal Magistrate Judges Association	airfare, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Flagstar Bank	Mortgage on Rental Property # 2 Smyrna GA	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCT Fid SEP IRA 090									
2. -Fid Govt MM (SPAXX)	A	Dividend			Closed	08/25/16	K		Tfr to Schwab 665
3. -Synchrony Bank Retail CD 7/25/16	A	Interest			Redeemed	07/25/16	J		
4. -Synchrony Bank Retail CD 7/24/17	A	Interest			Closed	08/11/16	J		tfr to Schwab 665
5. -Barclays BK DEL CD (8/19)	A	Interest			Closed	08/11/16	L		tfr to Schwab 665
6. -FirstBank P R Santruce CD (11/17)	A	Interest			Closed	08/11/16	L		tfr to Schwab 665
7. -GE CAP Bk Retail CD (8/18)	A	Interest			Closed	08/11/16	K		tfr to Schwab 665
8. -Ally Bank CD (8/16)	A	Interest			Redeemed	08/22/16	K		
9. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend			Closed	08/11/16	K		tfr to Schwab 665
10. -PIMCO Inv Gr Corp Bond Instl	A	Dividend			Closed	08/11/16	J		tfr to Schwab 665
11. -PIMCO Foreign Inst SHS	A	Dividend			Closed	08/11/16	J		tfr to Schwab 665
12. -Israel ST \$BD 7TH JU	A	Interest			Closed	08/11/16	K		tfr to Schwab 665
13. -Fidelity Select Pharmaceuticals Index	A	Distribution			Sold	07/29/16	J	A	
14. -Fidelity MSCI Health Care Index ETF	A	Dividend			Sold	07/29/16	J	A	
15. -Fidelity Select Health Care Index	A	Distribution			Sold (part)	07/29/16	J	A	
16. -Fidelity Select Health Care Index					Sold	08/01/16	J	A	
17. -Fidelity Select Pharmaceuticals Index	A	Distribution			Sold (part)	07/29/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Select Pharmaceuticals Index					Sold	08/01/16	J	A	
19. -Fidelity Select Biotechnology Index	A	Distribution			Sold (part)	07/29/16	J	A	
20. -Fidelity Select Biotechnology Index					Sold	08/01/16	J	A	
21. --iShares Core S&P Total US St Mkt ETF	A	Dividend			Sold	07/29/16	J	A	
22. -iShares U S Real Estate ETF (IYR)	A	Dividend			Sold (part)	07/29/16	J	A	
23. -iShares U S Real Estate ETF (IYR)					Closed	08/11/16	J		tfr to Schwab 665
24. -Vanguard Sector Ind FDS ETF (VIS)	A	Dividend			Closed	08/11/16	J		tfr to Schwab 665
25. SCHWAB SEP IRA 665									
26. -Deposit Account	A	Interest	K	T	Open	08/11/16	K		tfr fm Fid 090
27. -Barclays BK DEL CD (8/19)					Open	08/11/16	L		tfr fm Fid 090
28. -Barclays BK DEL CD (8/19)					Closed	08/19/16	L		tfr to Schwab 049
29. -Dreyfus Funds Standish GL Fixed Inc					Open	08/11/16	K		tfr fm Fid 090
30. -Dreyfus Funds Standish GL Fixed Inc					Closed	08/19/16	K		tfr to Schwab 049
31. -FirstBank P R Santruce CD (11/17)					Open	08/11/16	L		tfr fm Fid 090
32. -FirstBank P R Santruce CD (11/17)					Closed	08/19/16	L		tfr to Schwab 665
33. -GE CAP Bk Retail CD (8/18)					Open	08/11/16	K		tfr fm Fid 090
34. -GE CAP Bk Retail CD (8/18)					Closed	08/19/16	K		tfr to Schwab 049

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -iShares U S Real Estate ETF (IYR)					Open	08/11/16	J		tfr fm Fid 090
36. -iShares U S Real Estate ETF (IYR)					Closed	08/19/16	J		tfr to Schwab 049
37. -Israel ST SBD 7TH JU	A	Interest	K	T	Open	08/11/16	K		tfr fm Fid 090
38. -PIMCO Foreign Inst SHS PFORX					Open	08/11/16	J		tfr fm fid 090
39. -PIMCO Foreign Inst SHS PFORX					Closed	08/19/16	J		tfr to Schwab 049
40. -PIMCO Inv Gr Corp Bond Instl (PIGIX)					Open	08/11/16	J		tfr fm Fid 090
41. -PIMCO Inv Gr Corp Bond Instl (PIGIX)					Closed	08/19/16	J		tfr to Schwab 049
42. -Synchrony Bank Retail CD 7/24/17					Open	08/11/16	J		tfr fm Fid 090
43. -Synchrony Bank Retail CD 7/24/17					Closed	08/19/16	J		tfr to Schwab 049
44. -Vanguard Sector Ind FDS ETF (VIS)					Open	08/11/16	J		tfr fm Fid 090
45. -Vanguard Sector Ind FDS ETF (VIS)					Closed	08/19/16	J		tfr to Schwab 049
46. SCHWAB CONTR IRA 049									
47. -Barckays BK DEL CD (8/19)	A	Interest			Closed	08/19/16	L		tfr fm Schwab 665
48. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend	K	T	Open	08/19/16	K		tfr fm Schwab 665
49. -FirstBank P R Santruce CD (11/17)	A	Interest	L	T	Open	08/19/16	L		tfr fm Schwab 665
50. -GE CAP Bk Retail CD (8/18)	A	Interest	K	T	Open	08/19/16	K		tfr fm Schwab 665
51. -Guggenheim S&P 500 Equal Weig HC ETF:RYH	A	Dividend	J	T	Buy	09/14/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Guggenheim S&P 500 Equal Weig HC ETF:RYH					Buy (add'l)	09/29/16	J		
53. -Guggenheim S&P 500 Equal Weig HC ETF:RYH					Buy (add'l)	10/11/16	J		
54. -Guggenheim S&P 500 Equal Weig HC ETF:RYH					Buy (add'l)	10/13/16	J		
55. -iShares U S Real Estate ETF (IYR)	A	Dividend	J	T	Open	08/19/16	J		tfr fm Schwab 665
56. -PIMCO Foreign Inst SHS PFORX	A	Dividend	J	T	Open	08/19/16	J		tfr fm Schwab 665
57. -PIMCO Inv Gr Corp Bond Instl (PIGLX)	A	Dividend	J	T	Open	08/19/16	J		tfr fm Schwab 665
58. -Synchrony Bank Retail CD 7/24/17	A	Interest	J	T	Open	08/19/16	J		tfr fm Schwab 665
59. -Vanguard Sector Ind FDS ETF (VIS)	A	Dividend	J	T	Open	08/19/16	J		tfr fm Schwab 665
60. -Vanguard Health Care ETF: VHT	A	Dividend	J	T	Buy	09/14/16	J		
61. -Vanguard Health Care ETF: VHT					Buy (add'l)	09/29/16	J		
62. -Vanguard Health Care ETF: VHT					Buy (add'l)	10/11/16	J		
63. -Vanguard Health Care ETF: VHT					Buy (add'l)	10/13/16	J		
64. SCHWAB CONTR IRA 580									
65. -Ch Schwab US REIT ETF (SCHH)	A	Dividend	J	T	Buy	08/24/16	J		
66. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	09/13/16	J		
67. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	10/06/16	J		
68. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	10/13/16	J		

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69. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	11/04/16	J		
70. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	11/15/16	J		
71. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	12/13/16	J		
72. -Schwab Emerging Mkts Equity ETF (SCHE)	A	Dividend	J	T	Buy	08/24/16	J		
73. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	09/13/16	J		
74. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	10/13/16	J		
75. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/15/16	J		
76. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/16/16	J		
77. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/13/16	J		
78. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/19/16	J		
79. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/27/16	J		
80. -Schwab Funda EMG Mkts Large ETF IV (FNDE)	A	Dividend	J	T	Buy	08/24/16	J		
81. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	09/13/16	J		
82. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	09/13/16	J		
83. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	09/14/16	J		
84. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	10/13/16	J		
85. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	11/15/16	J		

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86. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	11/16/16	J		
87. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	12/13/16	J		
88. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	12/19/16	J		
89. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	12/27/16	J		
90. -Schwab Fundamental INL Large COM ETF (FNDF)	A	Dividend	J	T	Buy	08/24/16	J		
91. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	09/13/16	J		
92. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	10/13/16	J		
93. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	11/15/16	J		
94. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	11/17/16	J		
95. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	12/13/16	J		
96. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	12/19/16	J		
97. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	12/27/16	J		
98. -Schwab Fundamental Intl smal COM ETF (FNDC)	A	Dividend	J	T	Buy	08/24/16	J		
99. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	09/13/16	J		
100. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	10/13/16	J		
101. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	11/15/16	J		
102. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	12/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	12/19/16	J		
104. -Schwab Fundamental US Large ETF IV (FNDX)	A	Dividend	J	T	Buy	08/24/16	J		
105. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	09/13/16	J		
106. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	10/13/16	J		
107. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	10/20/16	J		
108. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	11/15/16	J		
109. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	12/13/16	J		
110. -Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T	Buy	08/24/16	J		
111. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	09/13/16	J		
112. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	09/14/16	J		
113. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	10/13/16	J		
114. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/15/16	J		
115. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/13/16	J		
116. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy	08/24/16	J		
117. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	09/13/16	J		
118. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	10/13/16	J		
119. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	11/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	12/13/16	J		
121. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	12/19/16	J		
122. -Schwab Int'l Equity ETF (SCHF)					Buy (add'l)	12/30/16	J		
123. -Schwab Int'l Small Cap Eqy ETF (SCHC)	A	Dividend	J	T	Buy	08/24/16	J		
124. -Schwab Int'l Small Cap Eqy ETF (SCHC)					Buy (add'l)	09/13/16	J		
125. -Schwab Int'l Small Cap Eqy ETF (SCHC)					Buy (add'l)	11/15/16	J		
126. -Schwab Int'l Small Cap Eqy ETF (SCHC)					Buy (add'l)	12/13/16	J		
127. -Schwab Int'l Small Cap Eqy ETF (SCHC)					Buy (add'l)	12/19/16	J		
128. -Schwab US Large CAP ETF (SCHX)	A	Dividend	J	T	Buy	08/24/16	J		
129. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	09/13/16	J		
130. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	10/13/16	J		
131. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	11/15/16	J		
132. -Schwab US Small CAP ETF (SCHA)	A	Dividend	J	T	Buy	08/24/16	J		
133. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	09/13/16	J		
134. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	10/13/16	J		
135. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	11/04/16	J		
136. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	11/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	12/13/16	J		
138. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)	A	Dividend	J	T	Buy	08/24/16	J		
139. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	09/13/16	J		
140. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	10/13/16	J		
141. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	11/15/16	J		
142. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	11/16/16	J		
143. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	12/13/16	J		
144. BROKERAGE ACCT Fid IRA 350									
145. -Fidelity Govt MM	A	Dividend			Closed	08/11/16	M		tfr to Schwab 359
146. -MUFG UnionBank NA CD IDX ZERO (form. Union Bank CD)	A	Interest			Redeemed	05/26/16	K	A	
147. -iShares Core Total US BD Mkt ETF	A	Dividend			Sold (part)	04/27/16	J	A	
148. -iShares Core Total US BD Mkt ETF					Sold	05/02/16	J	A	
149. -PIMCO Inv Gr Corp Bond Instl (PIGIX)	A	Dividend			Closed	08/11/16	J		tfr to Schwab 359
150. -PIMCO Foreign Inst SHS (PFORX)	A	Dividend			Closed	08/11/16	J		tfr to Schwab 359
151. -Fidelity Select Materials Portfolio	A	Dividend			Sold (part)	07/29/16	J		
152. -Fidelity Select Materials Portfolio	A	Dividend			Sold	07/29/16	J		
153. -Fidelity Select Software & IT SRVC	A	Dividend			Sold	07/29/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Fidelity Select Chemicals	A	Dividend			Sold	07/29/16	J	A	
155. -Fidelity MSCI Info Tech Ind ETF (FTEC)	A	Dividend			Sold	07/29/16	K	A	
156. -Israel ST \$8D JUB 05/19	A	Interest			Closed	08/11/16	K		tfr to Schwab 359
157. -Vanguard Sector Index FDS Vanguard Utils Viper	A	Dividend			Closed	08/11/16	K		tfr to Schwab 359
158. SCHWAB IRA CONTRIB 359									
159. -Deposit Account	A	Interest	M	T	Open	08/11/16	M		
160. -Israel ST \$8D JUB 05/19	A	Interest	K	T	Open	08/11/16	K		tfr fm Fidelity 350
161. -PIMCO Foreign Inst SHS (PFORX)	A	Dividend	J	T	Open	08/11/16	J		tfr fm Fidelity 350
162. -PIMCO Inv Gr Corp Bond Instl (PIGIX)	A	Dividend	J	T	Open	08/11/16	J		tfr fm Fidelity 350
163. -Vanguard Sector Index FDS Vanguard Utils Viper	A	Dividend	K	T	Open	08/11/16	K		tfr fm Fidelity 350
164. BROKERAGE ACCT Fid X19									
165. -Cash Acct (Fidelity)	A	Interest			Closed	08/11/16	J	A	
166. RENTAL PROPERTY									
167. -# 1 Atlanta, DeKalb Co., Ga. (2016 Assessment: \$272,500)	E	Rent	N	S					
168. -#2 Smyrna, Cobb Co., Ga. (2016 Assessment: \$129,000)	D	Rent	M	S					
169. FAMILY S CORPORATION (F1)	C	Distribution	N	T					
170. -American Funds Fundamental Investors- Class A (FI-A)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -American Funds WaMu Investors Fund - Class A (WMIF-A)	E	Dividend	N	T					
172. -American Funds Inv. Co. of Amer. A (ICA- A)	A	Dividend			Distributed	06/27/16	J	A	
173. -American Funds Money Market Fund-A (MMF-A)	A	Dividend	J	T					
174. -American Funds New Economy Fund-A (NEF-A)	A	Dividend	J	T					
175. -American Funds Smallcap World Fund-A (SCWF-A)	A	Distribution	J	T					
176. -Trust Bank Account (SunTrust)	B	Interest	K	T					
177. BANK ACCOUNTS									
178. -North Georgia Bank 1	A	Interest	M	T					
179. -North Georgia Bank 2	A	Interest	J	T					
180. -North Georgia Bank 3	A	Interest	J	T					
181. -North Georgia Bank 4	A	Interest	L	T					
182. -North Georgia Bank 5	A	Interest	K	T					
183. -SunTrust I	A	Interest	J	T					
184. -Chase I	A	Interest	J	T					
185. -Chase II	A	Interest	J	T					
186. TRUST BROKERAGE ACCOUNT (E- Trade)									
187. -E-Trade cash balance	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Nuveen Preferred and Conv. Income Fund	B	Dividend	K	T					
189. BELL & HOWELL RETIREMENT (II) SAVINGS PLAN NO. 3 (Fidelity)									
190. -Fidelity Money Market (FDRXX)	A	Dividend	J	T	Distributed (part)	12/01/16	J		
191. -Fidelity Asset Mgr (FASMX)	A	Dividend	J	T					
192. -iShares Core S&P Total US Stock Mkt (ITOT)	A	Dividend	J	T					
193. BROKERAGE ACCT Fid IRA BDA									
194. -Fidelity Govt MM	A	Distribution			Closed	08/11/16	K	A	tfr to Schwab 146
195. -iShares Core S&P Total US Stock Mkt ETF	A	Dividend			Sold	07/29/16	J	A	
196. -James Balanced: Golden Rainbow Fund	A	Dividend			Sold	08/01/16	J	A	
197. SCHWAB INH IRA BENE 146									
198. -Deposit account	A	Interest	K	T	Open	08/11/16	K		tfr fm Fidelity BDA
199. IRREVOCABLE TRUST							N		
200. -TransAmerica Annuity (Fixed)	B	Interest	L	T	Open	02/17/16	L		See Part VIII, Note 1
201. -Baltimore County Real Estate (2016 assessment: \$256,500)		None	N	S	Open	02/17/16	N		""

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1. Trust created and activated for existing annuity and real property.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan J. Baverman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544