

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wanslee, Madeleine C.	2. Court or Organization U.S. Bankruptcy Court, Arizona	3. Date of Report 07/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 07/22/2014
7. Chambers or Office Address U.S. Bankruptcy Court, Arizona 230 North First Avenue, Suite 101 Phoenix, AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Gust Rosenfeld, PLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	Gust Rosenfeld PLC Retirement Plan (former law firm) / no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Gust Rosenfeld, PLC - Partnership Distributions	\$317,951.00
2. 2012	Summit Law School - Teaching	\$6,000.00
3. 2013	Gust Rosenfeld, PLC - Partnership Distributions	\$303,518.00
4. 2014	Gust Rosenfeld, PLC - Capital Account Return and Salary	\$124,552.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	self-employed bankruptcy trustee
2. 2014	self-employed bankruptcy trustee
3. 2012	self-employed bankruptcy trustee
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market	D	Interest	K	T	Exempt				
2. Vanguard Morgan Grw Fund	D	Dividend	M	T	Exempt				
3. Fidelity Value Fund	D	Dividend	M	T	Exempt				
4. Fidelity Div & Growth	D	Dividend	M	T	Exempt				
5. Fidelity Cap App	D	Dividend	M	T	Exempt				
6. Templeton Foreign Fund	D	Dividend	M	T	Exempt				
7. LF	A	Dividend	J	T	Exempt				
8. NOK	A	Dividend	J	T	Exempt				
9. OMI	A	Dividend	J	T	Exempt				
10. BTU	A	Dividend	J	T	Exempt				
11. WFM	A	Dividend	J	T	Exempt				
12. WIN	A	Dividend	J	T	Exempt				
13. Franklin Mutual Global	A	Dividend	K	T	Exempt				
14. Invesco Balanced Risk	A	Dividend	K	T	Exempt				
15. Invesco Healthcare	A	Dividend	K	T	Exempt				
16. Multi Strategy G&I	A	Dividend	K	T	Exempt				
17. Cash Dreyfus	A	Interest	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Wanslee, Madeleine C.

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07/25/2014

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Eagle Mid Cap A	A	Dividend	L	T	Exempt				
19. Eaton Vance Atlanta Cap B	A	Dividend	K	T	Exempt				
20. Eaton Vance Div Builder B	A	Dividend	K	T	Exempt				
21. Eaton Vance Large Cap Value	A	Dividend	J	T	Exempt				
22. Fidelity Advisor Sm Cap	A	Dividend	L	T	Exempt				
23. Invesco Van Kampen E&I A	A	Dividend	L	T	Exempt				
24. Oppenheimer Developing Markets A	A	Dividend	K	T	Exempt				
25. Oppenheimer Int Bond A	A	Interest	K	T	Exempt				
26. DDD	A	Dividend	K	T	Exempt				
27. ABT	A	Dividend	J	T	Exempt				
28. ACHN	A	Dividend	J	T	Exempt				
29. ARTNA	A	Dividend	J	T	Exempt				
30. CHELE	A	Dividend	J	T	Exempt				
31. CSCO	A	Dividend	J	T	Exempt				
32. CHEOY	A	Dividend	J	T	Exempt				
33. GME	A	Dividend	J	T	Exempt				
34. INTU	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CHL	A	Dividend			Exempt				
36. CNX	A	Dividend			Exempt				
37. GRMN	A	Dividend			Exempt				
38. GRPN	A	Dividend			Exempt				
39. JOY	A	Dividend			Exempt				
40. NFX	A	Dividend			Exempt				
41. OUTF	A	Dividend			Exempt				
42. SSLT	A	Dividend			Exempt				
43. ZNGA	A	Dividend			Exempt				
44. WCN	A	Dividend			Exempt				
45. American Funds American Balanced A	A	Dividend	J	T	Exempt				
46. American Funds A&B Cap World G&I	A	Dividend	K	T	Exempt				
47. American Fund Growth Fund of Am A	A	Dividend	L	T	Exempt				
48. AT&T	A	Dividend	J	T	Exempt				
49. Columbia Marisco 21 Century B	A	Dividend	L	T	Exempt				
50. Diebold	A	Dividend	J	T	Exempt				
51. Eagle Small Cap Growth Fund A	A	Dividend	K	T	Exempt				

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

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52. Fidelity Advisor Diversified Int'l A	A	Dividend	J	T	Exempt				
53. Fidelity Advisor Lev Co A	A	Dividend	L	T	Exempt				
54. Fidelity Advisor New Insights A	A	Dividend	L	T	Exempt				
55. FTERQ	A	Dividend	J	T	Exempt				
56. Oppenheimer Global Ops	A	Dividend	J	T	Exempt				
57. Oppenheimer Small & Mid Cap A	A	Dividend	J	T	Exempt				
58. SoluQ	A	Dividend	J	T	Exempt				
59. American Funds Europac A&B	A	Dividend	L	T	Exempt				
60. Davis NY Venture A	A	Dividend	L	T	Exempt				
61. Fidelity Advisor Mid Cap II	A	Dividend	K	T	Exempt				
62. CHTP	C	Dividend			Exempt				
63. SDLP	A	Dividend			Exempt				
64. Southwest Gas	C	Dividend	L	T	Exempt				
65. Harris Bank Accounts	A	Interest	J	T	Exempt				
66. First Credit Union Accounts	A	Interest	J	T	Exempt				
67. Promissory Note P.C. and M.A. Wanslee	A	None	L	T	Exempt				

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

07/25/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Madeleine C. Wanslee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544