

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 06/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 280 S. FIRST ST COURTROOM 3035 SAN JOSE, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

06/05/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

SALARY AT APPLE, INC

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NATIONAL CONFERENCE
OF BANKRUPTCY
JUDGES04/16/2014 -
04/08/2014

KANSAS CITY, MO

ACTIVITY OF
PROFESSIONAL
ASSOCIATION OR
CIVIC ORGANIZATIONTRANSPORTATION, LODGING, AND
MEALS2. NATIONAL CONFERENCE
OF BANKRUPTCY
JUDGES10/07/2014 -
10/11/2014

CHICAGO, IL

EDUCATIONAL
SEMINARTRANSPORTATION, LODGING, AND
MEALS

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting
Hammond, Mary E.

Date of Report
06/05/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

06/05/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO CHECKING ACCOUNT	A	Interest	K	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	J	T					
3. AAPL (COMMON)	D	Dividend	O	T	Sold (part)	01/30/14	K	D	
4. AAPL (COMMON)					Sold (part)	02/11/14	L	E	
5. AAPL (COMMON)					Sold (part)	04/28/14	L	E	
6. AAPL (COMMON)					Sold (part)	05/19/14	K	D	
7. AAPL (COMMON)					Sold (part)	08/12/14	L	E	
8. AAPL (COMMON)					Sold (part)	11/17/14	L	E	
9. 401K #1	E	Dividend	O	T					
10. - VANGUARD WINDSOR II ADM									
11. - VANGUARD INSTITUTIONAL INDEX INSTL PL					Closed	01/15/14	L		
12. - FIDELITY CONTRAFUND									
13. - NUVEEN NWQ SMALL CAP VALUE I									
14. - VANGUARD EXTENDED MARKET INDEX INSTL PL									
15. - WELLS FARGO ADVANTAGE DISCOVERY INSTL									
16. - VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTITUTIONAL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Hammond, Mary E.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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17. - DODGE & COX INTERNATIONAL STOCK									
18. -VOYA CLARION GLOBAL REAL ESTATE formerly known as ING CLARION GLOBAL									
19. - VANGUARD EMPLOYEE BENEFIT INDEX CLIENT A					Open	01/15/14	L		
20. IRA #1	C	Dividend	K	T					
21. - FIDELITY CASH RESERVES									
22. - COLUMBIA ACORN CLASS Z									
23. - FIDELITY CANADA									
24. - MERIDIAN CONTRARIAN FUND									
25. - MATTHEWS INDIA FUND									
26. IRA #2	B	Dividend	L	T					
27. - FIDELITY CASH RESERVES									
28. - CLIPPER									
29. - FIDELITY REAL ESTATE INVESTMENT									
30. - RBC MICROCAP VALUE FUND CLASS S									
31. IRA #3	D	Dividend	L	T					
32. - FIDELITY CASH RESERVES									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
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	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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33. - FIDELITY STOCK SELECTOR LARGE CAP VALUE									
34. - FIDELITY MID CAP VALUE									
35. - FIDELITY MAGELLAN									
36. - FIDELITY LOW PRICED STOCK									
37. - PERKINS SMALL CAP VALUE CLASS T									
38. IRA #4	E	Dividend	N	T					
39. - FIDELITY CASH RESERVES									
40. - DODGE & COX STOCK									
41. - DREYFUS SMALL CAP STOCK INDEX									
42. - FIDELITY DIVERSIFIED INTERNATIONAL									
43. - FIDELITY MID CAP STOCK									
44. - FIDELITY VALUE									
45. - MERIDIAN CONTRARIAN FUND									
46. - FIDELITY CONTRAFUND									
47. - FIDELITY STRATEGIC DIVIDEND & INCOME									
48. - FIDELITY STRATEGIC INCOME									
49. IRA #5	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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06/05/2015

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50. - FIDELITY CASH RESERVES									
51. - FIDELITY DIVERSIFIED INTERNATIONAL									
52. IRA #6	A	Dividend	K	T					
53. - NOVO NORDISK A/S ADR FKA NOVO INDUSTRIES									
54. - FIDELITY CASH RESERVES									
55. NEW YORK LIFE VARIABLE UNIVERSAL LIFE #1	A	Int./Div.			Closed	07/01/14	K		
56. - MAINSTAY VP JANUS BALANCED PORTFOLIO - INITIAL CLASS									
57. - MAINSTAY VP S&P 500 INDEX									
58. - FIDELITY VIP CONTRAFUND									
59. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE #2		None			Closed	07/01/14	J		
60. NEW YORK LIFE WHOLE LIFE INSURANCE #3		None	J	T					
61. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE #4 INSURANCE		None			Closed	07/01/14	J		
62. NEW YORK LIFE WHOLE LIFE INSURANCE #5		None			Closed	07/01/14	J		
63. NEW YORK LIFE WHOLE LIFE INSURANCE #6		None			Closed	07/01/14	L		
64. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE #7		None			Closed	07/01/14	J		
65. LINCOLN BENEFIT LIFE INSURANCE - #1		None	M	T	Open	07/01/14	M		
66. LINCOLN BENEFIT LIFE INSURANCE - #2		None	K	T	Open	07/01/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

06/05/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Hammond, Mary E.

Date of Report

06/05/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Hammond

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544