

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 586 Federal Building 100 Centennial Mall North Lincoln, Nebraska 68508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Governors	Nebraska Wesleyan University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	University of Nebraska College of Law adjunct salary	\$3,950.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	South Lincoln Psychiatry - psychiatric nurse practitioner salary
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank	Personal note	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank Omaha Checking Account	A	Interest	J	T					
2. U.S. Bank Checking Account	A	Interest	J	T					
3. Charles Schwab Market Account	A	Interest			Closed	03/27/17	J		
4. Stifel Bank Program Money Market Account	A	Interest	J	T	Open	04/13/17	J		
5. AAIEX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
6.					Buy (add'l)	04/19/17	J		
7.					Buy (add'l)	12/22/17	J		
8. ABT Common Stock	A	Dividend			Sold	04/13/17	J	A	
9. ACWX ETF	A	Dividend	J	T	Buy	07/20/17	J		
10. ADNT Common Stock	A	Dividend			Sold	04/13/17	J	A	
11. AEPGX Mutual Fund	A	Dividend	J	T	Buy	04/19/17	J		
12.					Buy (add'l)	06/15/17	J		
13.					Buy (add'l)	12/22/17	J		
14. ALL Common Stock	A	Dividend			Sold	04/13/17	J	A	
15. AMCFX Mutual Fund	A	Dividend	J	T	Buy	04/19/17	J		
16.					Buy (add'l)	06/19/17	J		
17.					Buy (add'l)	07/19/17	J		

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
(See Column C2)	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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05/14/2018

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/19/17	J		
19. ANTM Common Stock formerly known as WLP Common Stock	A	Dividend			Sold	04/13/17	J	A	
20. APDQX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
21.					Buy (add'l)	04/19/17	J		
22.					Sold (part)	07/19/17	J	A	
23.					Sold (part)	07/21/17	J	A	
24.					Buy (add'l)	11/22/17	J		
25. BHL Common Stock	A	Dividend			Sold	04/13/17	J	A	
26. CBI Common Stock	A	Dividend			Sold	04/13/17	J	A	
27. CDC ETF	A	Dividend	L	T	Buy	04/17/17	K		
28.					Buy (add'l)	05/16/17	K		
29. CEZ ETF	A	Dividend	J	T	Buy	04/17/17	J		
30. CFA ETF	A	Dividend	L	T	Buy	04/17/17	K		
31.					Buy (add'l)	05/16/17	K		
32. CFO ETF	A	Dividend	L	T	Buy	04/17/17	K		
33.					Buy (add'l)	05/16/17	K		
34. CIZ ETF	A	Dividend	K	T	Buy	04/17/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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Gerrard, John M.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	05/16/17	J		
36. CSF ETF	A	Dividend	K	T	Buy	04/17/17	J		
37.					Buy (add'l)	05/16/17	J		
38. CTL Common Stock	A	Dividend			Sold	04/13/17	J	A	
39. CVS Common Stock	A	Dividend			Sold	04/13/17	J	A	
40. DIS Common Stock	A	Dividend			Sold	04/13/17	K	A	
41. DISCK Common Stock	A	Dividend			Sold	04/13/17	J	A	
42. EEMS ETF	A	Dividend	J	T	Buy	07/20/17	J		
43.					Buy (add'l)	10/24/17	J		
44. ESPIX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
45.					Buy (add'l)	04/19/17	J		
46.					Buy (add'l)	12/14/17	J		
47.					Buy (add'l)	12/18/17	J		
48. FCAU Common Stock	A	Dividend			Sold	04/13/17	J	A	
49. FINSX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
50.					Buy (add'l)	07/21/17	J		
51.					Buy (add'l)	12/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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Gerrard, John M.

Date of Report

05/14/2018

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52. FITB Common Stock	A	Dividend			Sold	04/13/17	K	D	
53. GOOG Common Stock	A	Dividend			Sold	04/13/17	J	A	
54. HCP Common Stock	A	Dividend			Sold	04/13/17	J	A	
55. HFOIX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
56.					Buy (add'l)	04/19/17	J		
57.					Buy (add'l)	07/19/17	J		
58.					Buy (add'l)	07/21/17	J		
59.					Sold (part)	10/18/17	J	A	
60.					Sold (part)	10/20/17	J	A	
61.					Buy (add'l)	12/20/17	J		
62. IBM Common Stock	A	Dividend			Sold	04/13/17	J	A	
63. IJJ ETF	A	Dividend	J	T	Buy	04/13/17	J		
64.					Sold (part)	04/24/17	J	A	
65.					Sold (part)	07/21/17	J	A	
66. IJK ETF	A	Dividend	J	T	Buy	04/13/17	J		
67.					Buy (add'l)	04/24/17	J		
68. IJS ETF	A	Dividend	J	T	Buy	04/13/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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Gerrard, John M.

Date of Report

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69.					Sold (part)	04/24/17	J	A	
70. IJT ETF	A	Dividend	J	T	Buy	04/13/17	J		
71.					Buy (add'l)	04/24/17	J		
72. INTC Common Stock	A	Dividend			Sold	04/13/17	J	A	
73. IWN ETF	A	Dividend	J	T	Buy	04/13/17	J		
74.					Sold (part)	04/24/17	J	A	
75.					Sold (part)	07/21/17	J	A	
76.					Sold (part)	10/24/17	J	A	
77. IWO ETF	A	Dividend	J	T	Buy	04/13/17	J		
78.					Buy (add'l)	04/24/17	J		
79.					Sold (part)	07/21/17	J	A	
80.					Sold (part)	10/24/17	J	A	
81. IWP ETF	A	Dividend	J	T	Buy	04/13/17	J		
82.					Sold (part)	07/21/17	J	A	
83. IWS ETF	A	Dividend	J	T	Buy	04/13/17	J		
84.					Sold (part)	04/24/17	J	A	
85.					Sold (part)	07/21/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. JCI Common Stock	A	Dividend			Sold	04/13/17	J	A	
87. JNJ Common Stock	A	Dividend			Sold	04/13/17	J	A	
88. MADVX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
89.					Buy (add'l)	07/21/17	J		
90.					Buy (add'l)	10/13/17	J		
91.					Buy (add'l)	12/05/17	J		
92. MET Common Stock	A	Dividend			Sold	04/13/17	J	A	
93. MISGX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
94.					Buy (add'l)	04/19/17	J		
95.					Buy (add'l)	12/21/17	J		
96. MQGIX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
97.					Buy (add'l)	12/15/17	J		
98. MSFT Common Stock	A	Dividend			Sold	04/13/17	J	A	
99. NWHYX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
100.					Buy (add'l)	04/19/17	J		
101.					Buy (add'l)	12/19/17	J		
102. QCP Common Stock	A	Dividend			Sold	04/13/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. ODVYX Mutual Fund	A	Dividend	J	T	Buy	04/17/17	J		
104.					Buy (add'l)	04/19/17	J		
105.					Buy (add'l)	10/18/17	J		
106.					Buy (add'l)	10/20/17	J		
107.					Buy (add'l)	12/05/17	J		
108. PSCE ETF	A	Dividend			Buy	04/13/17	J		
109.					Sold	04/24/17	J	A	
110. RACE Common Stock	A	Dividend			Sold	04/13/17	J	A	
111. REZ ETF	A	Dividend	J	T	Buy	04/13/17	J		
112.					Buy (add'l)	04/24/17	J		
113.					Sold (part)	07/21/17	J	A	
114. RZSMF Common Stock	A	Dividend			Sold	04/13/17	J	A	
115. SMGIX Mutual Fund	A	Dividend	J	T	Buy	04/13/17	J		
116.					Buy (add'l)	04/17/17	J		
117.					Buy (add'l)	12/12/17	J		
118. SPDW ETF	A	Dividend	J	T	Buy	10/24/17	J		
119. SPY ETF	A	Dividend	L	T	Buy	04/13/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. TCLFX Investment Fund	A	Interest			Closed	04/13/17	L	A	
121. V Common Stock	A	Dividend			Sold	04/13/17	J	A	
122. VGK EFT	A	Dividend	J	T	Buy	07/21/17	J		
123. VNQ Mutual Fund	A	Dividend			Buy	04/13/17	J		
124.					Sold	04/24/17	J	A	
125. VWO ETF	A	Dividend	J	T	Buy	07/21/17	J		
126.					Buy (add'l)	10/24/17	J		
127. WEFIX Mutual Fund	A	Dividend			Buy (add'l)	03/30/17	J		
128.					Sold	04/13/17	K	A	
129. WEFIZ Bond Fund	A	Dividend			Buy (add'l)	03/30/17	J		
130.					Sold	04/13/17	K	A	
131. WMFFX Mutual Fund	A	Dividend	J	T	Buy	04/13/17	J		
132.					Buy (add'l)	06/19/17	J		
133.					Sold (part)	07/19/17	J	A	
134.					Buy (add'l)	09/18/17	J		
135.					Buy (add'l)	12/19/17	J		
136. WY Common Stock	A	Dividend			Sold	04/13/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. XOM Common Stock	A	Dividend			Sold	04/13/17	J	A	
138. American General Universal Life Insurance		None	J	T					
139. Nebraska Judges Retirement System (no control)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting	Date of Report
Gerrard, John M.	05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Gerrard, John M.

Date of Report

05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Gerrard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544