

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TRAXLER, Jr., William B.	2. Court or Organization U.S. Court of Appeals, Fourth Circuit	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 300 E. Washington Street, Suite 222 Greenville, SC 29601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Visiting professor	Charleston School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 05/00/92	State of S.C. Circuit Judge Retirement benefit (see III.A.1)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	State of S.C. Circuit Judge Retirement benefit	\$68,191.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Greenville TEC - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Student Loan	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Greenville County, S.C.		None	M	W					
2. (2) Leon County, Fla.		None	M	W					
3. (3) Leon County, Fla., 1/5 interest		None	K	W					
4. Sonoco stock (common)	A	Dividend	J	T					
5. Palmetto Bank	A	Interest	K	T					
6. Washington Mutual Investors Fund IRA	A	Dividend	K	T					
7. Motorola stock (common) (Y)									
8. Motorola Solutions stock (common)		None	J	T	Spinoff (from line 7)	01/04/11	J		
9. Motorola Mobility stock (common)		None	J	T	Spinoff (from line 7)	01/04/11	J		
10. McDonalds stock (common)	A	Dividend	J	T					
11. Kellogg's stock (common)	A	Dividend	J	T					
12. RPM stock (common)	A	Dividend	J	T					
13. Sun Trust stock (common)	A	Dividend	J	T					
14. Trust #1	B	Rent	M	W					
15. --Wells Fargo National Bank accounts									
16. --Greenville County, SC									
17. TD Bank accounts	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard Growth & Income Portfolio	A	Dividend	J	T					
19. Growth Fund of America IRA	A	Dividend	K	T					
20. New Perspective Fund IRA	A	Dividend	K	T					
21. First Citizens Bank accounts	B	Interest	M	T					
22. Ameritrade IRA	A	Dividend	J	T					
23. --MIPS Technologies stock (common)									
24. --Honeywell stock (common)									
25. --Applied Materials stock (common)									
26. --Texas Instrument stock (common)									
27. BB&T stock (common)	A	Dividend	J	T					
28. Home Depot stock (common)	A	Dividend	J	T					
29. Pfizer stock (common)	A	Dividend	J	T					
30. Liberty Life -- whole life insurance policies	A	Interest	J	T					
31. Protective Life -- whole life insurance policy	A	Interest	J	T					
32. Jefferson National Life -- whole life insurance policy	A	Interest	J	T					
33. Conagra stock (common)	A	Dividend	J	T					
34. Piedmont Natural Gas stock (common)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Regions Financial Corp. stock (common)	A	Dividend	J	T					
36. Jefferson County, Florida 1/4 interest	B	Rent	M	W					
37. South Carolina Bank & Trust accounts	A	Interest	J	T					
38. Independence Bank account	A	Interest	L	T					
39. Monsanto stock (common)	A	Dividend	J	T					
40. Pfizer stock (common)	A	Dividend	J	T					
41. Hilliard Lyons Govt. Fund Inc.	A	Interest	J	T					
42. Putnam Tax Free Mutual Fund	A	Interest	J	T					
43. SCANA stock (common)	A	Dividend	J	T					
44. First Savers Bank accounts	A	Interest	K	T					
45. Savannah River Bank account	A	Interest	M	T					
46. SPY Fund	A	Dividend	J	T					
47. First South Bank account	A	Interest	K	T					
48. RBC Bank account-USA		None	J	T					
49. Antigonish County, Nova Scotia, Canada		None	M	T					
50. RBC Bank account-Canada	A	Interest	L	T					
51. NBSC Bank account	B	Interest	M	T					

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52. TD Canada Trust		None	M	T	Open	08/02/11	J		
53. State Credit Union		None	L	T	Open	11/04/11	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Parts I and III.A -- I did not teach during 2011 and hence had no income to report as a visiting professor from the Charleston School of Law.

In Parts II and III.A.1 -- I am eligible for a lifetime monthly retirement benefit of approximately 18/24 of 71.3% of the salary of a Circuit Judge of South Carolina. Payment began when I turned 55 years of age on May 1, 2003.

In Part VII, #6, #19, and #20 --The company or fund family that sponsors these funds is American Funds.

In Part VII, #7, #8, and #9 -- Motorola was officially separated into two independent publicly traded companies on January 4, 2011. The two new companies are Motorola Mobility and Motorola Solutions. Motorola Solutions was the result of a 1 for 7 reverse stock split and is generally regarded as the direct successor to Motorola, Inc. following the spin off of Motorola Mobility.

In Part VII, #14 -- No distribution was made from the trust to me in 2011. The ranges provided represent aggregate totals for the trust.

In Part VII, #15 -- Wachovia Bank was purchased by, and now operates under the name of, Wells Fargo Bank.

In Part VII, #17 -- Carolina First Bank was purchased by, and now operates under the name of, TD Bank.

In Part VII, #36 -- Income is from timber sale and agricultural program payments.

In Part VII, #41 -- The company or fund family is Hilliard Lyons.

In Part VII, #42 -- The company or fund family is Putnam Investments.

In Part VII, #46 -- This is an exchange traded fund. The fund family is SPDR Trust Series 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William B. TRAXLER, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544