

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Douglas E.	2. Court or Organization United States District Court, Eastern District of Virginia	3. Date of Report 4/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court Eastern District of Virginia 600 Granby Street, Room 181 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Charles H. Taylor Memorial Library
2. Board of Managers Member	The Edward L. Williams and Mary B. Williams Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Administrative Office

11/30/16 - 12/2/16

Washington, DC

Federal-State Jurisdiction
Committee Meeting

Travel, lodging and meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property-Hampton VA (2016 Assessment: \$127,700)	D	Rent	M	S					
2. Merrill-Lynch Money Market Acct.	A	Interest	J	T					
3. Merrill-Lynch Mutual Funds:									
4. -Pimco Income Fund	A	Dividend			Buy	04/13/16	J		
5. -Pimco Income Fund	A	Dividend	J	T	Sold (part)	07/12/16	J		
6. -Principal Midcap Fund	A	Dividend	J	T	Sold (part)	04/13/16	J		
7. -The Oakmark Fund	A	Dividend			Sold	04/13/16	K		
8. -Henderson European Focus Fund	A	Dividend			Sold	04/13/16	J		
9. -Henderson European Focus Fund	A	Dividend			Buy	07/12/16	J		
10. -Henderson European Focus Fund	A	Dividend			Sold	09/12/16	J		
11. -MFS Global High Yield Fund	A	Dividend			Sold	04/13/16	J		
12. -Blackrock Multi Asset Income Portfolio	A	Dividend			Buy	04/13/16	J		
13. -Blackrock Multi Asset Income Portfolio	A	Dividend	K	T	Buy	07/12/16	J		
14. -Prudential Short Dur High Yld Income	A	Dividend			Buy	04/13/16	J		
15. -Prudential Short Dur High Yld Income	A	Dividend	J	T	Buy	07/12/16	J		
16. -Allianzgi Global Small Cap Fund		None			Sold	04/13/16	J		
17. -MFS Emerging Markets Debt	A	Dividend			Sold	04/13/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -MFS Emerging Markets Debt	A	Dividend	J	T	Buy	07/12/16	J		
19. -Columbia Convertible Securities	A	Dividend			Sold	04/13/16	J		
20. -Prudential Total Return Bond Fund	A	Dividend			Sold	04/13/16	J		
21. -Nuveen Small Cap Value Fund	A	Dividend			Sold (part)	04/13/16	J		
22. -Nuveen Small Cap Value Fund	A	Dividend	J	T	Sold (part)	07/12/16	J		
23. -Principal Global Diversi Income	A	Dividend			Sold	04/13/16	J		
24. -Henderson Strategic Income	A	Dividend			Sold	04/13/16	J		
25. -Henderson Strategic Income	A	Dividend	J	T	Buy	07/12/16	J		
26. -Loomis Sayles SR Float Rate & Fixed	A	Dividend			Buy	04/13/16	J		
27. -Loomis Sayles SR Float Rate & Fixed	A	Dividend			Sold (part)	07/12/16	J		
28. -Loomis Sayles SR Float Rate & Fixed	A	Dividend			Sold	08/18/16	J		
29. -Loomis Sayles Growth Fund	A	Dividend	K	T	Buy	09/12/16	K		
30. -Hartford Growth Opportunities Fund	A	Dividend			Buy	04/13/16	J		
31. -Hartford Growth Opportunities Fund	A	Dividend			Sold (part)	07/12/16	J		
32. -Hartford Growth Opportunities Fund	A	Dividend			Sold	09/12/16	K		
33. -Pimco Stockplus Intl Fund	A	Dividend			Buy	04/13/16	J		
34. -Pimco Stockplus Intl Fund	B	Dividend			Buy	07/12/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Pimco Stocksplus Intl Fund	B	Dividend			Sold	09/12/16	J		
36. -Vaughan Nelson Value Opportunity Fund	A	Dividend			Sold	04/13/16	J		
37. -SunAmerica Focused Dividend Strategy	A	Dividend			Buy	04/13/16	J		
38. -SunAmerica Focused Dividend Strategy	A	Dividend	K	T	Sold (part)	07/12/16	J		
39. -Western Asset Mortgage Backed Securities Fund	A	Dividend			Buy	04/13/16	J		
40. -Western Asset Mortgage Backed Securities Fund	A	Dividend	J	T	Buy	07/12/16	J		
41. -Touchstone Flexible Income Fund	A	Dividend			Buy	04/13/16	J		
42. -Touchstone Flexible Income Fund	A	Dividend	J	T	Buy	07/12/16	J		
43. -MFS Technology Fund	A	Dividend			Buy	04/13/16	J		
44. -MFS Technology Fund	A	Dividend	J	T	Buy	07/12/16	J		
45. -Vanguard 500 Index Fund	A	Dividend			Buy	04/15/16	K		
46. -Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	07/14/16	J		
47. -Ishares MSCI USA Minimum Volatility ETF	A	Dividend			Buy	04/15/16	K		
48. -Ishares MSCI USA Minimum Volatility ETF	A	Dividend	K	T	Sold (part)	07/14/16	J		
49. -IQ Enhanced Core Bond	A	Dividend	J	T	Buy	08/22/16	J		
50. -MFS International Diversificaiton Fund	A	Dividend	J	T	Buy	09/12/16	J		
51. -Neuengerger Berman Intl Select Fund	A	Dividend	J	T	Buy	09/12/16	J		

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52. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
53. SunTrust Savings Acct.	A	Interest	L	T					
54. Newport News Municipal Employees C.U.	A	Interest	J	T					
55. ICMA-Retirement Corp. 503-B		None	M	T					
56. Rite Aid Corp.	A	Dividend	J	T					
57. TIAA CREF-Retirement Acct:									
58. -TIAA Traditional		None	K	T					
59. -CREF Stock		None	K	T					
60. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	L	T					
61. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	L	T					
62. Nelson's Grant Development LLC- Investment Membership		None	L	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544