

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hickey, Susan O.	2. Court or Organization US District Court Western District of Arkansas	3. Date of Report 08/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 101 South Jackson Avenue Room 219 El Dorado, AR 71730		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	[REDACTED], P.A.
2. 2013	BancorpSouth Advisory Board Fees
3. 2013	PMJ LLC - oil production
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Simmons First National Bank	Mortgage on office building in El Dorado, Arkansas	M
2.	BancorpSouth Bank	Line of credit	K
3.	Citi MasterCard	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 5-4 The Beach LLC		None	L	U					
2. Six Siblings LLC		None	K	U					
3. PMJ LLC	D	Distribution	J	U					
4. [REDACTED], P.A.	A	Distribution	L	U					
5. Office Building - rental El Dorado, Arkansas	E	Rent	M	W					
6. Equitable Life Insurance Company Policy #1 (whole life)	B	Dividend	K	T					
7. Genworth Life Insurance Company Policy #1	A	Dividend	J	T					
8. BancorpSouth Checking #1	A	Interest	J	T					
9. Brokerage Account #1 (H)									
10. BancorpSouth Inc. Common Stock	A	Dividend			Sold	04/02/13	J	A	
11. McDonalds Corp. Common Stock	A	Dividend			Sold	04/02/13	K	E	
12. Profit Sharing Account #1 (H)									
13. American Funds - AMCAP Fund Class A	D	Dividend	L	T					
14. American Funds - American Balanced Funds Class A	C	Dividend	M	T					
15. American Funds - American High Income Trust Class A	E	Dividend	N	T					
16. American Funds - American Mutual Funds Class A	D	Dividend	N	T					
17. Ameican Funds - Bond Fund of America Class A	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. American Funds - Capital Income Builder Fund Class A	A	Dividend	K	T					
19. American Funds - Capital World Growth & Income Fund Class A	A	Dividend	K	T					
20. American Funds - Europacific Growth Fund Class A	A	Dividend	J	T					
21. American Funds - Growth Fund of America Class A	C	Dividend	L	T					
22. American Funds - Income Fund of America Fund Class A	A	Dividend	K	T					
23. American Funds - Investment Company of America Fund Class A	D	Dividend	L	T					
24. American Funds - New Economy Fund Class A	D	Dividend	L	T					
25. American Funds - New Perspective Fund Class A	D	Dividend	L	T					
26. American Funds - New World Fund Class A	A	Dividend	J	T					
27. Equitable Life Insurance Company Policy #2 (whole life)	D	Dividend	M	T					
28. Money Purchase Plan Account (H)									
29. American Funds - AMCAP Fund Class A	B	Dividend	K	T					
30. American Funds - American Balanced Funds Class A	B	Dividend	L	T					
31. American Funds - American Mutual Fund Class A	C	Dividend	L	T					
32. American Funds - Bond Fund of America Class A	A	Dividend	K	T					
33. American Funds - Capital Income Builder Fund Class A	B	Dividend	L	T					
34. American Funds - Capital World Growth & Income Fund Class A	A	Dividend	K	T					

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. American Funds - Growth Fund of America Class A	B	Dividend	K	T					
36. American Funds - Income Fund of America Fund Class A	B	Dividend	L	T					
37. American Funds - Investment Company of America Fund Class A	C	Dividend	K	T					
38. American Funds - New Economy Fund Class A	B	Dividend	K	T					
39. American Funds - New Perspective Fund Class A	B	Dividend	K	T					
40. Brokerage Account #2 (H)									
41. American Funds - Fundamental Investors Fund Class A	A	Dividend	J	T					
42. American Funds - Growth Fund of America Class A	A	Dividend	J	T					
43. American Funds - New World Fund Class A	A	Dividend	J	T					
44. Brokerage Account #3 (H)									
45. American Funds - Fundamental Investors Fund Class A	A	Dividend	J	T					
46. American Funds - Growth Fund of America Class A	A	Dividend	J	T					
47. American Funds - New World Fund Class A	A	Dividend	J	T					
48. Murphy Oil Corp. Common Stock	A	Dividend	J	T					
49. Murphy USA Inc. Common Stock		None	J	T	Spinoff (from line 48)	09/03/13	J		
50. Roth IRA Account #1 (H)									
51. American Funds - Europacific Growth Fund Class A	A	Dividend	J	T					

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52. American Funds - Growth Fund of America Class A	A	Dividend	J	T					
53. American Funds - New Perspective Fund Class A	A	Dividend	J	T					
54. American Funds - New World Fund Class A	A	Dividend	J	T					
55. Brokerage Account #4 (IRA Beneficiary) (H)									
56. Murphy Oil Corp. Common Stock	A	Dividend	J	T					
57. Murphy USA Inc. Common Stock		None	J	T	Spinoff (from line 56)	09/03/13	J		
58. BancorpSouth Savings Account #1	A	Interest	J	T					
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI: Liabilities. Line 1. The value code listed is the pro rata portion of the joint and several liability.

Part VII. Investments and Trusts. The assets listed in lines 45, 46 and 47 were inadvertently omitted from the 2012 Annual Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan O. Hickey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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