

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Edith H.	2. Court or Organization 5th Circuit Court of Appeals	3. Date of Report 08/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	The Blackstone Center for Law & Liberty, Faulkner University
2. Advisory Board Member	Masters in Bankruptcy Program, St. John's Law School, New York
3. President	Garland Walker Inn of Court
4. Trustee	Trust #1
5. Co-Executor	Estate, See Note A of Section VIII
6. Board Member	Sam Houston Area Council, Boy Scouts of America

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/15/16	Hillsdale College-teaching	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Andrews & Kurth, LLP - salary
2. 2016	Prudential Ins. Co., Pension Annuity, Occidental Petrol
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Phoenix Federalist Society	1/14-15/16	Phoenix, AZ	Speech	Airfare, Airport Parking, Meals, Lodging, Mileage
2. Dallas Federalist Society	3/23/16	Dallas, TX	Speech	Airfare, Airport Parking, Mileage, Meal
3. Coolidge Foundation, Scholars Finalist Conference	5/13-15/16	Plymouth Notch, VT	Panelist	Lodging, Meals
4. Coolidge Foundation	7/1-3/16	Plymouth Notch, VT	Speech	Lodging, Meals
5. Moral Foundations of Law, Madison Institute Seminar	8/3/16	Princeton, NJ	Seminar	Airfare, Airport Parking, Lodging, Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

6.	American Political Science Association (Claremont)	9/1/16	Philadelphia, PA	Speech	Train fare, Baggage Check Fee, Lodging , Meals
7.	The Federalist Society	9/16-17/16	Austin, TX	Panel Moderator	Lodging, Meals, Travel by Car
8.	The Federalist Society	11/16-19/16	Washington, DC	Panel Moderator-National Convention	Airfare, Airport Parking, Taxis, Mileage, Lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	L	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. STOCKS, BONDS & NOTES									
5. AT&T Common Stock	A	Dividend	J	T					
6. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	A	Dividend	K	T					
7. Dow Chemical - common	A	Dividend	K	T					
8. Exxon Mobil Corp	B	Dividend	L	T					
9. Nestle - common	A	Dividend	K	T					
10. Newmont Gold - common	A	Dividend	J	T					
11. Intel Corporation	A	Dividend	K	T					
12. Procter & Gamble	B	Dividend	L	T					
13. Morgan Stanley Bank	B	Interest	N	T					
14. Morgan Stanley Bonds	C	Interest			Redeemed	02/18/16	L	A	
15. Tortoise Energy Corp.	A	Dividend	J	T					
16. Pepsico	B	Dividend	K	T					
17. NCR		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MS Global Infrastructure Q	A	Interest	K	T					
19. Am. Inv. Co. (AIVSX)	A	Dividend	L	T					
20. Franklin Insd. Tax Free Fund-FKTIX	B	Interest	K	T					
21. Franklin Mut. Shares Fund TESIX	A	Dividend	J	T					
22. GPR Energy, LLC		None	K	U					
23. Syngenta AG ADR	C	Dividend	M	T					
24. Magellan Midstream Partners	D	Dividend	M	T					
25. Verizon Comm	A	Dividend	J	T					
26. Fidelity Fund (FFFCX)	D	Int./Div.	M	T					
27. Ford Motor Credit Co.	C	Interest	M	T					
28. Smucker J M Co	A	Dividend	J	T					
29. Lord Abbett Sht. Fund (LDLAX)	C	Dividend	M	T					
30. Pioneer Mlti Ast Ult. (MCFRX)	B	Dividend	M	T					
31. Templeton Global Bond FdC (TEGBX)	B	Dividend	M	T					
32. Goldman Sachs MLP Inc.	B	Dividend	K	T					
33. Tekla Healthcare Opp Fund (THO)	A	Dividend	K	T					
34. RRI Energy Inc. B3	B	Interest			Sold	10/19/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Elec Power, Inc	A	Dividend	J	T					
36. Bank of America	A	Dividend	J	T					
37. Coca-Cola	A	Dividend	J	T					
38. DuPont	A	Dividend	J	T					
39. Eastman Chemical	A	Dividend	J	T					
40. Emerson Elec. Co.	A	Dividend	J	T					
41. General Electric Co.	A	Dividend	J	T					
42. Hershey Co.	A	Dividend	J	T					
43. Nat. Fuel Gas Co.	A	Dividend	J	T					
44. Pfizer, Inc.	A	Dividend	J	T					
45. Scana Corp.	A	Dividend	J	T					
46. AmCap Fund (AMCPX)	B	Dividend	L	T					
47. Fidelity Puritan Trust (FPURX)	A	Dividend	J	T					
48. Fidelity Sec's Fd. (FBGRX)	A	Dividend	J	T					
49. Columbia Funds (AUGAX)	A	Dividend	J	T					
50. Putnam USG (PGSIX)	A	Dividend	J	T					
51. Wash. Mut. Inv's Fund (AWSHX)	A	Dividend	K	T	Sold (part)	11/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. First Tr. En. Income	A	Dividend	J	T					
53. USAA Cornerstone (USCRX)	B	Dividend	K	T					
54. Vanguard stock Market Index Fund	A	Dividend	K	T					
55. Colgate Palmolive	A	Dividend	J	T					
56. John Hancock Venture Annuity-See Note X, Part VIII	A	Interest	K	T					
57. Chemours Co.	A	Dividend	J	T					See note Y to Part VIII
58. Trust #1									
59. -Invesco Comstock A (ACSTX)	A	Dividend	K	T					
60. -Syngenta AG	A	Dividend	K	T					
61. -Magellan Midstream Partners	A	Dividend	K	T					
62. -Morgan Stanley Bank	A	Interest	K	T					
63. Estate Assets									
64. -Hollan Maine LLC See note B in Part VIII	E	Rent			Distributed	12/31/16	P1		Distributed to Heirs
65. -Jefferson Bank Acct See note B in Part VIII	A	Interest	L						
66. -Frost Bank Account See note B in Part VIII	A	Interest	J	T	Closed				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

A. Line 64, Trust#2/Estate, Trust #2 completed liquidation in 2016. I remain as Co-Executor of Estate.

B. Items 65-67 are within an estate; Hollan Maine LLC ownership was distributed to heirs 12/31/16; Jefferson Bank account remains in the estate; Frost Bank estate account was closed in 2016.

X. The interited annuity is variable, not publicly traded on an exchange, no investment options.

Y. Line 58, Chemours Co-This was inherited in 2015. I inadvertently did not report it for 2015 because the value of stock is minimal.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Jones, Edith H.

Date of Report

08/07/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edith H. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544