

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baverman, Alan J.	2. Court or Organization Northern District of Georgia	3. Date of Report 10/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1885 US Courthouse 75 Ted Turner Drive, SW Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Power of Attorney/Trustee	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Self-employed real estate broker-agent and managing real estate broker (Keller Williams)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Flagstar Bank	Mortgage on Rental Property # 2 [REDACTED]	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SCHWAB SEP IRA 665									
2. -Deposit Account	A	Interest	K	T					
3. -Israel ST \$BD 7TH JU	A	Interest	K	T					
4. SCHWAB CONTR IRA 049									
5. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend	K	T					
6. -FirstBank P R Santruce CD (11/17)	A	Interest			Matured	11/30/17	K	A	
7. -GE CAP Bk Retail CD (8/18) (Now called Goldman Sachs Bank)	A	Interest	K	T					
8. -Barclays Bank DEL CD (8/19)	A	Interest	L	T					See note, Part VIII
9. -Guggenheim S&P 500 Equal Weig HC ETF:RYH	A	Dividend	J	T					
10. -iShares U S Real Estate ETF (IYR)	A	Dividend	J	T					
11. -PIMCO Foreign Inst SHS PFORX	A	Dividend	J	T					
12. -PIMCO Inv Gr Corp Bond Instl (PIGLX)	A	Dividend	J	T					
13. -Synchrony Bank Retail CD 7/24/17	A	Interest			Matured	07/24/17	J	A	
14. -Vanguard Sector Ind FDS ETF (VIS)	A	Dividend	J	T					
15. -Vanguard Health Care ETF: VHT	A	Dividend	J	T					
16. SCHWAB CONTR IRA 580									
17. -Ch Schwab US REIT ETF (SCHH)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	01/13/17	J		
19. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	02/14/17	J		
20. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	03/14/17	J		
21. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	04/13/17	J		
22. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	05/15/17	J		
23. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	06/13/17	J		
24. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	07/13/17	J		
25. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	08/08/17	J		
26. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	09/08/17	J		
27. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	10/10/17	J		
28. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	11/08/17	J		
29. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	11/27/17	J		
30. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	12/08/17	J		
31. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	12/26/17	J		
32. -Schwab Emerging Mkts Equity ETF (SCHE)	A	Dividend	J	T					
33. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	01/13/17	J		
34. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	02/14/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	03/14/17	J		
36. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	04/13/17	J		
37. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	05/15/17	J		
38. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	06/13/17	J		
39. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	07/13/17	J		
40. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	08/08/17	J		
41. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	09/08/17	J		
42. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	10/10/17	J		
43. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/08/17	J		
44. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/27/17	J		
45. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/08/17	J		
46. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/26/17	J		
47. -Schwab Funda EMG Mkts Large ETF IV (FNDE)	A	Dividend	J	T					
48. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	01/13/17	J		
49. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	02/14/17	J		
50. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	03/14/17	J		
51. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	04/13/17	J		

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52. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	05/15/17	J		
53. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	06/13/17	J		
54. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	07/13/17	J		
55. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	08/08/17	J		
56. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	09/08/17	J		
57. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	10/10/17	J		
58. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	11/08/17	J		
59. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	11/27/17	J		
60. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	12/08/17	J		
61. -Schwab Funda EMG Mkts Large ETF IV (FNDE)					Buy (add'l)	12/26/17	J		
62. -Schwab Fundamental INL Large COM ETF (FNDF)	A	Dividend	J	T					
63. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	01/13/17	J		
64. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	02/14/17	J		
65. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	03/14/17	J		
66. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	04/13/17	J		
67. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	05/15/17	J		
68. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	06/13/17	J		

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69. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	07/13/17	J		
70. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	08/08/17	J		
71. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	09/08/17	J		
72. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	10/10/17	J		
73. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	11/08/17	J		
74. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	11/27/17	J		
75. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	12/08/17	J		
76. -Schwab Fundamental INL Large COM ETF (FNDF)					Buy (add'l)	12/26/17	J		
77. -Schwab Fundamental Intl smal COM ETF (FNDC)	A	Dividend	J	T					
78. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	01/13/17	J		
79. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	02/14/17	J		
80. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	03/14/17	J		
81. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	05/15/17	J		
82. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	06/13/17	J		
83. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	07/13/17	J		
84. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	08/08/17	J		
85. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	09/08/17	J		

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86. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	10/10/17	J		
87. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	11/08/17	J		
88. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	11/27/17	J		
89. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	12/08/17	J		
90. -Schwab Fundamental Intl smal COM ETF (FNDC)					Buy (add'l)	12/26/17	J		
91. -Schwab Fundamental US Large ETF IV (FNDX)	A	Dividend	K	T					
92. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	01/13/17	J		
93. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	02/14/17	J		
94. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	03/14/17	J		
95. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	04/13/17	J		
96. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	05/15/17	J		
97. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	06/13/17	J		
98. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	07/13/17	J		
99. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	08/08/17	J		
100. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	09/08/17	J		
101. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	10/10/17	J		
102. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	11/08/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	11/27/17	J		
104. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	12/08/17	J		
105. -Schwab Fundamental US Large ETF IV (FNDX)					Buy (add'l)	12/26/17	J		
106. -Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T					
107. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	01/13/17	J		
108. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	02/14/17	J		
109. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	03/14/17	J		
110. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	04/13/17	J		
111. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	05/15/17	J		
112. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	06/13/17	J		
113. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	07/13/17	J		
114. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	08/08/17	J		
115. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	09/08/17	J		
116. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	10/10/17	J		
117. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/08/17	J		
118. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/27/17	J		
119. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/26/17	J		
121. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T					
122. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	01/13/17	J		
123. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	02/14/17	J		
124. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	03/14/17	J		
125. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	04/13/17	J		
126. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	05/15/17	J		
127. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	06/13/17	J		
128. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	07/13/17	J		
129. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	08/08/17	J		
130. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	09/08/17	J		
131. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	10/10/17	J		
132. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	11/08/17	J		
133. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	11/27/17	J		
134. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
135. -Schwab Int'l Equity ETF (SCHF)	A	Dividend	J	T	Buy (add'l)	12/26/17	J		
136. -Schwab Int'l Small Cap Eqy ETF (SCHC)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	01/13/17	J		
138. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	02/14/17	J		
139. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	03/14/17	J		
140. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	04/13/17	J		
141. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	05/15/17	J		
142. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	06/13/17	J		
143. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	07/13/17	J		
144. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	08/08/17	J		
145. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	09/08/17	J		
146. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	10/10/17	J		
147. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	11/08/17	J		
148. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	11/26/17	J		
149. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	12/08/17	J		
150. -Schwab Int'l Small Cap Eqty ETF (SCHC)					Buy (add'l)	12/26/17	J		
151. -Schwab US Large CAP ETF (SCHX)	A	Dividend	J	T					
152. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	01/13/17	J		
153. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	02/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	03/14/17	J		
155. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	04/13/17	J		
156. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	05/15/17	J		
157. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	06/13/17	J		
158. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	07/13/17	J		
159. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	08/08/17	J		
160. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	09/08/17	J		
161. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	10/10/17	J		
162. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	11/10/17	J		
163. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	11/27/17	J		
164. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	12/08/17	J		
165. -Schwab US Large CAP ETF (SCHX)					Buy (add'l)	12/26/17	J		
166. -Schwab US Small CAP ETF (SCHA)	A	Dividend	J	T					
167. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	01/13/17	J		
168. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	02/14/17	J		
169. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	03/14/17	J		
170. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	04/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	05/15/17	J		
172. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	06/13/17	J		
173. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	07/13/17	J		
174. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	08/08/17	J		
175. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	09/08/17	J		
176. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	10/10/17	J		
177. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	11/08/17	J		
178. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	11/27/17	J		
179. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	11/08/17	J		
180. -Schwab US Small CAP ETF (SCHA)					Buy (add'l)	12/26/17	J		
181. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)	A	Dividend	J	T					
182. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	01/13/17	J		
183. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	02/14/17	J		
184. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	03/14/17	J		
185. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	04/13/17	J		
186. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	05/15/17	J		
187. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	06/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	07/13/17	J		
189. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	08/08/17	J		
190. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	09/08/17	J		
191. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	10/10/17	J		
192. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	11/08/17	J		
193. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	11/27/17	J		
194. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	12/08/17	J		
195. -Vanguard GLBAL EX US REAL EST ETF IV (VNQI)					Buy (add'l)	12/26/17	J		
196. SCHWAB IRA CONTRIB 359									
197. -Deposit Account	A	Interest	M	T					
198. -Israel ST \$8D JUB 05/19	A	Interest	K	T					
199. -PIMCO Foreign Inst SHS (PFORX)	A	Dividend			Closed	03/16/17	J		ferred to Schwab 995
200. -PIMCO Inv Gr Corp Bond Instl (PIGIX)	A	Dividend			Closed	03/16/17	J		ferred to Schwab 995
201. -Vanguard Sector Index FDS Vanguard Utils Viper	A	Dividend	K	T					
202. SCHWAB IRA CONTRIB 995					Open	03/17/17			
203. -Total Schwab Bank Savings Sweep	A	Interest	J	T	Open	03/17/17	J		
204. -PIMCO Foreign Inst SHS (PFORX)	A	Dividend			Open	03/17/17	J		ferred fm Schwab 359

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -PIMCO Foreign Inst SHS (PFORX)					Sold	03/17/17	J	A	
206. -PIMCO Inv Gr Corp Bond Instl (PIGIX)	A	Dividend			Open	03/17/17	J		transferred fm Schwab 359
207. -PIMCO Inv Gr Corp Bond Instl (PIGIX)					Sold	03/17/17	J	A	
208. -Ch Schwab US REIT ETF (SCHH)	A	Dividend	J	T	Buy	03/17/17	J		
209. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	03/21/17	J		
210. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	04/04/17	J		
211. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	05/02/17	J		
212. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	06/02/17	J		
213. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	07/05/17	J		
214. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	08/02/17	J		
215. -Ch Schwab US REIT ETF (SCHH)					Buy (add'l)	12/20/17	J		
216. -Global X MLP ETF: MLPA	A	Dividend	J	T	Buy	03/17/17	J		
217. -Global X MLP ETF: MLPA					Buy (add'l)	03/21/17	J		
218. -Global X MLP ETF: MLPA					Buy (add'l)	04/04/17	J		
219. -Global X MLP ETF: MLPA					Buy (add'l)	05/02/17	J		
220. -Global X MLP ETF: MLPA					Buy (add'l)	06/02/17	J		
221. -Global X MLP ETF: MLPA					Buy (add'l)	06/29/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Global X MLP ETF: MLPA					Buy (add'l)	07/05/17	J		
223. -Global X MLP ETF: MLPA					Buy (add'l)	08/02/17	J		
224. -Global X MLP ETF: MLPA					Buy (add'l)	11/15/17	J		
225. -iShares High Yield Corp Bond ETF: SHYG	A	Dividend	J	T	Buy	03/17/17	J		
226. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	03/21/17	J		
227. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	04/04/17	J		
228. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	05/02/17	J		
229. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	06/02/17	J		
230. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	07/05/17	J		
231. -iShares High Yield Corp Bond ETF: SHYG					Buy (add'l)	08/02/17	J		
232. -Powershares Senior Loan ETF: BKLN	A	Dividend	J	T	Buy	03/17/17	J		
233. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	03/21/17	J		
234. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	04/04/17	J		
235. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	05/02/17	J		
236. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	06/02/17	J		
237. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	06/29/17	J		
238. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	07/05/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	08/02/17	J		
240. -Powershares Senior Loan ETF: BKLN					Buy (add'l)	12/20/17	J		
241. -Schwab Intermediate Term US TRS ETF: SCHR	A	Dividend	J	T	Buy	03/17/17	J		
242. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	03/21/17	J		
243. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	04/04/17	J		
244. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	05/02/17	J		
245. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	06/02/17	J		
246. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	07/05/17	J		
247. -Schwab Intermediate Term US TRS ETF: SCHR					Buy (add'l)	08/02/17	J		
248. -Schwab US Dividend Equity ETF: SCHD	A	Dividend	K	T	Buy	03/17/17	J		
249. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	03/21/17	J		
250. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	04/04/17	J		
251. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	05/02/17	J		
252. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	06/02/17	J		
253. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	06/29/17	J		
254. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	07/05/17	J		
255. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	08/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Schwab US Dividend Equity ETF: SCHD					Buy (add'l)	12/20/17	J		
257. -Schwab US Dividend Equity ETF: SCHD					Sold (part)	12/20/17	J	A	
258. -Schwab US TIPS ETF: SCHP	A	Dividend	J	T	Buy	03/17/17	J		
259. -Schwab US TIPS ETF: SCHP					Buy (add'l)	03/21/17	J		
260. -Schwab US TIPS ETF: SCHP					Buy (add'l)	04/04/17	J		
261. -Schwab US TIPS ETF: SCHP					Buy (add'l)	05/02/17	J		
262. -Schwab US TIPS ETF: SCHP					Buy (add'l)	06/02/17	J		
263. -Schwab US TIPS ETF: SCHP					Buy (add'l)	07/05/17	J		
264. -Schwab US TIPS ETF: SCHP					Buy (add'l)	08/02/17	J		
265. -Schwab US TIPS ETF: SCHP					Buy (add'l)	12/20/17	J		
266. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR (as of 12/20: SPIB)	A	Dividend	J	T	Buy	03/17/17	J		
267. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	03/21/17	J		
268. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	04/04/17	J		
269. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	05/02/17	J		
270. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	06/02/17	J		
271. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	07/05/17	J		
272. -SPDR BLMBRG BRCLY Intermdt TRM ETF: ITR					Buy (add'l)	08/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -SPDR Intermediate TRM CRPRATE BND ETF:SPIB					Buy (add'l)	12/20/17	J		
274. -SPDR BLMBRG BRCLY EMG MKT LCL ETF: EBND	A	Dividend	J	T	Buy	11/15/17	J		
275. -SPDR BLMBRG BRCLY EMG MKT LCL ETF: EBND					Buy (add'l)	12/20/17	J		
276. -SPDR S&P Int'l Divident ETF: DWX	A	Dividend	J	T	Buy	03/17/17	J		
277. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	03/21/17	J		
278. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	04/04/17	J		
279. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	05/02/17	J		
280. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	06/02/17	J		
281. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	06/29/17	J		
282. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	07/05/17	J		
283. -SPDR S&P Int'l Divident ETF: DWX					Buy (add'l)	08/02/17	J		
284. -SPDR Wells Fargo Preferred Stock ETF: PSK	A	Dividend	J	T	Buy	03/17/17	J		
285. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	03/21/17	J		
286. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	04/04/17	J		
287. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	05/02/17	J		
288. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	06/02/17	J		
289. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	07/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	08/02/17	J		
291. -SPDR Wells Fargo Preferred Stock ETF: PSK					Buy (add'l)	12/20/17	J		
292. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC	A	Dividend	J	T	Buy	03/17/17	J		
293. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	03/21/17	J		
294. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	04/04/17	J		
295. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	05/02/17	J		
296. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	06/02/17	J		
297. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	07/05/17	J		
298. -Vaneck Vectors J P Morgan Emerging Mkts LOC: EMLC					Buy (add'l)	08/02/17	J		
299. -Vanguard GLBAL EX US RE ETF: VNQI	A	Dividend	J	T	Buy	03/17/17	J		
300. -Vanguard GLBAL EX US RE ETF: VNQI					Buy (add'l)	04/04/17	J		
301. -Vanguard GLBAL EX US RE ETF: VNQI					Buy (add'l)	05/02/17	J		
302. -Vanguard GLBAL EX US RE ETF: VNQI					Buy (add'l)	06/29/17	J		
303. -Vanguard GLBAL EX US RE ETF: VNQI					Buy (add'l)	08/02/17	J		
304. -Vanguard Mtge Backed SEC ETF: VMBS	A	Dividend	J	T	Buy	03/17/17	J		
305. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	03/21/17	J		
306. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	04/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	05/02/17	J		
308. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	06/02/17	J		
309. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	07/05/17	J		
310. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	08/02/17	J		
311. -Vanguard Mtge Backed SEC ETF: VMBS					Buy (add'l)	12/20/17	J		
312. -Vanguard Total Int'l Bnd ETF: BNDX	A	Dividend	J	T	Buy	03/17/17	J		
313. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	03/21/17	J		
314. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	04/04/17	J		
315. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	05/02/17	J		
316. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	06/02/17	J		
317. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	07/05/17	J		
318. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	08/02/17	J		
319. -Vanguard Total Int'l Bnd ETF: BNDX					Buy (add'l)	12/20/17	J		
320. -Vanguard Int'l HGH DIV YLD ETF: VYMI	A	Dividend	J	T	Buy	11/15/17	J		
321. -XTRACKERS USD HIGH YLD COR BND ETF: HYL B	A	Dividend	J	T	Buy	11/15/17	J		
322. -XTRACKERS USD HIGH YLD COR BND ETF: HYL B					Buy (add'l)	12/20/17	J		
323. SCHWAB CONTRIB IRA 931					Open	08/22/17			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -Total Schwab Bank Savings Sweep	A	Interest	J	T	Open	08/22/17	J		
325. -Charles Schwab US REIT ETF (SCHH)	A	Dividend	J	T	Buy	08/24/17	J		
326. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	08/31/17	J		
327. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	10/16/17	J		
328. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	10/20/17	J		
329. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	10/26/17	J		
330. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	11/14/17	J		
331. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	11/28/17	J		
332. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	12/14/17	J		
333. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	12/26/17	J		
334. -Charles Schwab US REIT ETF (SCHH)					Buy (add'l)	12/29/17	J		
335. -Schwab Emerging Mkts Equity ETF (SCHE)	A	Dividend	J	T	Buy	08/24/17	J		
336. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	08/31/17	J		
337. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	10/16/17	J		
338. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	10/23/17	J		
339. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	10/26/17	J		
340. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/28/17	J		
342. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	11/29/17	J		
343. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/14/17	J		
344. -Schwab Emerging Mkts Equity ETF (SCHE)					Buy (add'l)	12/29/17	J		
345. -Schwab Funda EMG Mkts Large COM ETF (FNDE)	A	Dividend	J	T	Buy	08/24/17	J		
346. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	08/31/17	J		
347. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	09/25/17	J		
348. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	10/16/17	J		
349. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	10/17/17	J		
350. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	10/26/17	J		
351. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	11/14/17	J		
352. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	11/28/17	J		
353. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	12/06/17	J		
354. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	12/14/17	J		
355. -Schwab Funda EMG Mkts Large COM ETF (FNDE)					Buy (add'l)	12/27/17	J		
356. -Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend	J	T	Buy	08/24/17	J		
357. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	08/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	10/06/17	J		
359. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	10/16/17	J		
360. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	10/26/17	J		
361. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	11/28/17	J		
362. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	12/14/17	J		
363. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	12/15/17	J		
364. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	12/18/17	J		
365. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	12/26/17	J		
366. -Schwab Fundamental Intl Large Com ETF (FNDF)					Buy (add'l)	12/27/17	J		
367. -Schwab Fundamental Intl Small Com ETF (FNDC)	A	Dividend	J	T	Buy	08/24/17	J		
368. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	08/31/17	J		
369. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	10/16/17	J		
370. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	10/26/17	J		
371. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	11/14/17	J		
372. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	11/28/17	J		
373. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	12/14/17	J		
374. -Schwab Fundamental Intl Small Com ETF (FNDC)					Buy (add'l)	12/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. -Schwab Fundamental Intl Small Com ETF (FNDX)					Buy (add'l)	12/27/17	J		
376. -Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	J	T	Buy	08/24/17	J		
377. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	08/31/17	J		
378. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	10/16/17	J		
379. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	10/26/17	J		
380. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	10/27/17	J		
381. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	11/14/17	J		
382. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	11/28/17	J		
383. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	12/14/17	J		
384. -Schwab Fundamental US Large Co ETF (FNDX)					Buy (add'l)	12/27/17	J		
385. -Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T	Buy	08/24/17	J		
386. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	08/31/17	J		
387. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	10/16/17	J		
388. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	10/26/17	J		
389. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/08/17	J		
390. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/14/17	J		
391. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/14/17	J		
393. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/27/17	J		
394. -Schwab Fundamental US Small Com ETF (FNDA)					Buy (add'l)	12/28/17	J		
395. -Schwab Intl Equity ETF (SCHF)	A	Dividend	J	T	Buy	08/24/17	J		
396. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	08/31/17	J		
397. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	10/16/17	J		
398. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	10/26/17	J		
399. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	11/14/17	J		
400. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	11/28/17	J		
401. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	12/14/17	J		
402. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	12/26/17	J		
403. -Schwab Intl Equity ETF (SCHF)					Buy (add'l)	12/27/17	J		
404. -Schwab Intl Small Cap Eqy ETF (SCHC)	A	Dividend	J	T	Buy	08/24/17	J		
405. -Schwab Intl Small Cap Eqy ETF (SCHC)					Buy (add'l)	10/16/17	J		
406. -Schwab Intl Small Cap Eqy ETF (SCHC)					Buy (add'l)	10/26/17	J		
407. -Schwab Intl Small Cap Eqy ETF (SCHC)					Buy (add'l)	11/14/17	J		
408. -Schwab Intl Small Cap Eqy ETF (SCHC)					Buy (add'l)	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -Schwab Intl Small Cap Eqty ETF (SCHC)					Buy (add'l)	12/14/17	J		
410. -Schwab Intl Small Cap Eqty ETF (SCHC)					Buy (add'l)	12/18/17	J		
411. -Schwab Intl Small Cap Eqty ETF (SCHC)					Buy (add'l)	12/27/17	J		
412. -Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T	Buy	08/24/17	J		
413. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	08/31/17	J		
414. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	10/16/17	J		
415. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	10/26/17	J		
416. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	11/14/17	J		
417. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	11/28/17	J		
418. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	12/14/17	J		
419. -Schwab US Large Cap ETF (SCHX)					Buy (add'l)	12/26/17	J		
420. -Schwab US Small Cap ETF (SCHA)	A	Dividend	J	T	Buy	08/24/17	J		
421. -Schwab US Small Cap ETF (SCHA)					Buy (add'l)	08/31/17	J		
422. -Schwab US Small Cap ETF (SCHA)					Buy (add'l)	10/16/17	J		
423. -Schwab US Small Cap ETF (SCHA)					Buy (add'l)	10/26/17	J		
424. -Schwab US Small Cap ETF (SCHA)					Buy (add'l)	11/14/17	J		
425. -Schwab US Small Cap ETF (SCHA)					Buy (add'l)	11/28/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. --Schwab US Small Cap ETF (SCHA)					Buy (add'l)	12/14/17	J		
427. --Schwab US Small Cap ETF (SCHA)					Buy (add'l)	12/12/17	J		
428. --Vanguard Gblal Ex US Real Est ETF IV (VNQI)	A	Dividend	J	T	Buy	08/24/17	J		
429. --Vanguard Gblal Ex US Real Est ETF IV (VNQI)					Buy (add'l)	10/16/17	J		
430. --Vanguard Gblal Ex US Real Est ETF IV (VNQI)					Buy (add'l)	11/14/17	J		
431. --Vanguard Gblal Ex US Real Est ETF IV (VNQI)					Buy (add'l)	11/29/17	J		
432. --Vanguard Gblal Ex US Real Est ETF IV (VNQI)					Buy (add'l)	12/26/17	J		
433. RENTAL PROPERTY									
434. --# 1 [REDACTED], (2017 Assessment: \$272,500)	E	Rent	N	S					
435. --#2 [REDACTED], (2017 Assessment: \$152,040)	D	Rent	M	S					
436. FAMILY S CORPORATION (F1)	C	Distribution	N	T					
437. --AMERICAN FUNDS									
438. --AF U.S Govt Money Market-A (AFAXX)	A	Dividend	M	T	Open	01/02/17	J		
439. --American High-Income Muni Bond Fund1A (AMHIX)	C	Dividend	M	T	Open	05/18/17	M		tfr from AWSHX
440. --American Funds Fundamental Investors- Class A (FI-A) (Now ANCFX)	A	Dividend	K	T					
441. --American Funds WaMu Investors Fund - Class A (WMIF-A) (now AWSHX)	B	Dividend			Distributed (part)	05/18/17	M		transfer to AHIM-A
442. --American Funds WaMu Investors Fund - Class A (WMIF-A) (now AWSHX)					Distributed (part)	08/10/17	M		transfer to MMF-A

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. --American Funds Money Market Fund-A (MMF-A)	A	Dividend	J	T					
444. --American Funds New Economy Fund-A (NEF-A) (now ANEFX)	A	Dividend	J	T					
445. --American Funds Smallcap World Fund-A (SCWF-A) (now SMCWX)	A	Dividend	J	T					
446. -Trust Bank Account (SunTrust)	B	Interest	K	T					
447. BANK ACCOUNTS									
448. -North Georgia Bank 1	A	Interest	L	T					
449. -North Georgia Bank 2	A	Interest	J	T					
450. -North Georgia Bank 3	A	Interest	J	T					
451. -North Georgia Bank 4	A	Interest	L	T					
452. -North Georgia Bank 5	A	Interest	K	T					
453. -SunTrust 1					Closed	02/05/17	J		
454. -Chase I	A	Interest	J	T					
455. -Chase II	A	Interest	J	T					
456. -First Landmark	A	Interest	M	T	Open	12/05/17	M		
457. TRUST BROKERAGE ACCOUNT (E-Trade)					Closed	01/10/17	J		see Part VIII, note 2
458. BELL & HOWELL RETIREMENT (II) SAVINGS PLAN NO. 3 (Fidelity)					Closed	01/10/17	J		see Part VIII, note 3
459. SCHWAB INH IRA BENE 146									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. -Deposit account	A	Distribution	K	T					
461. IRREVOCABLE TRUST									
462. -TransAmerica Annuity (Fixed)	D	Distribution	L	T					
463. [REDACTED] Real Estate (2017 assessment: \$257,700)		None	N	S					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Baverman, Alan J.

Date of Report

10/04/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1. Part VII, Line 8. I may have mistakenly noted in my 2016 Report that this CD was closed. It does not mature until 8/19.
- 2. Part VII, Line 458: No longer have signatory/trade authority
- 3. Part VII, Line 459: Adult daughter's account; no longer have signatory/trade authroity.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alan J. Baverman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544