

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgan, Jr., Henry C.	2. Court or Organization Eastern District of Virginia	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Sr. Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 600 Granby Street, Room 307 Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Regent University, Adjunct Professor	\$4,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Real Estate Agent, Rose and Womble Realty - Commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Trial Advocacy & University of Virginia Law School	01/11/2012-01/12/2012	Charlottesville, Virginia	Educational Seminar	Transportation, Lodging, Food
2. VA CLE	12/05/2012	Alexandria, Virginia	Educational Seminar	Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Financial Services Accounts (H)									
2. UBS Financial Services - IRA Rollover (H)	E	Int./Div.	N	T					
3. - Allstate Corp. (Common)		None	K	T					
4. - AT&T Inc. (Common)	B	Int./Div.	J	T					
5. - BellSouth Corp. (Common)	A	Int./Div.	K	T					
6. - Diageo Capital PLC (Common)	A	Int./Div.	K	T					
7. - Home Depot Inc. (Common)	B	Dividend	K	T					
8. - iRobot Corp. (Common)		None	K	T					
9. - Marathon Oil Corp. (Common)	A	Int./Div.	K	T					
10. - Quest Diagnostics Inc. (Common)		None	K	T					
11. - TowneBank Portsmouth VA (Convertible Note)	D	Dividend	K	T					
12. - Verizon Communications (Common)	A	Int./Div.	K	T					
13.									
14. UBS Financial Services, Roth IRA 1 (H)	G	Int./Div.	O	T					
15. -TowneBank Portsmouth VA (Common)	E	Int./Div.	L	T					
16. - TowneBank Portsmouth VA (Common)					Distributed (part)	02/29/12	J		
17. - TowneBank Portsmouth VA (Common)					Distributed (part)	03/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000	F = \$100,001 - \$500,000 G = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. - TowneBank Portsmouth VA (Common)					Distributed (part)	03/16/12	J		
19. - TowneBank Portsmouth VA (Common)					Distributed (part)	03/29/12	J		
20. - SPDR S&P Bank ETF (Mutual Fund)		None	K	T					
21.									
22. UBS Financial Services, Roth IRA 2 (H)	E	Int./Div.	M	T					
23. - Archer Daniels Midland Co. (Common)		None	J	T					
24. - Bank of Nova Scotia Canada (Common) X	A	Int./Div.	J	T	Buy	09/25/12	J		
25. - Bristol Myers Squibb Co. (Common)	A	Int./Div.	K	T					
26. - CSX Corporation (Common)		None			Sold	09/25/12	J		
27. - Dominion Resources Inc VA (Common)	A	Int./Div.	K	T					
28. - Metlife Inc. (Common) X		None	J	T	Buy	01/23/12	J		
29. - Nordic Ameri Tankers Ltd (Common)		None	J	T					
30. - Pepsico Inc (Common) X	A	Int./Div.	K	T	Buy	01/04/12	K		
31. - Procter & Gamble Co. (Common)	A	Int./Div.	J	T					
32. - Rare Element Resources Ltd. (Common)		None	J	T	Buy	03/05/12	J		
33. - Seadrill Ltd US (Common)		None	J	T					
34. - TowneBank Portsmouth VA (Common)	E	Int./Div.	L	T	Buy (add'l)	11/19/12	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36. TowneBank Checking Account	A	Interest	J	W					
37.									
38. TowneBank Securities (Y)					Closed	01/04/12			
39. Wells Fargo Company (Y)					Closed	01/04/12			
40. Davenport Trust Company Accts. (H) (X)					Open	01/04/12			
41. - Annaly Capital (Common) X	A	Int./Div.	J	T					
42. - Abbott Labs (Common) X	A	Int./Div.	J	T					
43. - Altria Group (Common) X	A	Int./Div.	J	T					
44. - American Cap Mtg X	A	Int./Div.	J	T	Sold (part)	11/29/12	J		
45. - American Tower Corp X					Sold	11/29/12	J	A	
46. - Annaly Capital (Common) X	A	Int./Div.	J	T					
47. - Blackstone Group X			J	T	Buy	05/22/12	J		
48. - CarMax (Common) X			J	T	Buy	05/07/12	J		
49. - Chevron (Common) X	A	Int./Div.	J	T					
50. - Columbia Acorn Fund (Mutual Fund) Y									
51. - Computerized Thermal Imaging (Common) Y									

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52. - ConAgra (Common)	A	Int./Div.	J	T	Buy	05/10/12	J		
53. - Corning (Common) X	A	Int./Div.	J	T					
54. - CSX Corp (Common)		None			Sold	09/28/12	J		
55. - Darden Restaurants (Common) X	A	Int./Div.	J	T					
56. - DNP Select Income (Common) X	A	Int./Div.	J	T	Distributed (part)	09/25/12	J		
57. - Dollar Tree Corporation (Common) X			J	T					
58. - Dow Chemical Company X		None			Sold	07/17/12	J		
59. - Federated Invs Pa C1 B X		None			Sold	11/29/12	J		
60. - Ford Motor Company X		None			Sold	05/03/12	J		
61. - General Electric (Common) X	A	Int./Div.	J	T					
62. - Glaxo Smith Kline (Common) X					Buy	02/14/12	J		
63. - Health Svcs Group (Common) X					Buy	12/03/12	J		
64. - Home Depot (Common) X	A	Int./Div.	J	T					
65. - ISHRS MSCI BRZ FREE		None			Sold	01/26/12	J		
66. - Intel Corp. X		None			Sold	09/10/12	J		
67. - Linn Energy LLC (Limited Partnership) X	A	Int./Div.	J	T					
68. - Merck & Company (Common) X	A	Int./Div.	J	T					

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69. - Middleby Corp. (Common)			K	T					
70. - Petrologistics Ltd (Limited Partnership) X	A	Int./Div.	J	T					
71. - Roche Holding Limited (Common)	A	Int./Div.	K	T					
72. - Seadrill Ltd (Common)	A	Int./Div.	J	T					
73. - Spdr Gold Trust Gold (Common)					Sold	04/11/12	K	D	
74. - TowneBank Portsmouth (Common)	B	Int./Div.	L	T					
75. - TowneBank Portsmouth (Common)					Buy (add'l)	05/02/12	J		
76. - TowneBank Portsmouth (Common)	E	Distribution			Sold (part)	08/24/12	J	B	
77. - US Nat Gas X					Sold	09/07/12	J		
78. - Vodafone Grp PLC X					Sold	11/29/12	J		
79. - Wells Fargo & Co					Sold	06/19/12	J		
80.									
81. Raymond James Financial Services (H)									
82. - Nuveen Limited Term Municipal Bond					Sold	04/12/12	K	E	
83.									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 6, Lines 37 & 38 - Wells Fargo Account and TowneBank Securities Account were closed and a new account opened with Davenport Trust Company in January 2012. All assets for each were transferred to Davenport.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry C. Morgan, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544