

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Otazo-Reyes, Alicia M.	2. Court or Organization U.S. District Court, Southern District of Florida	3. Date of Report 04/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 301 N. Miami Avenue, 10th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Miami Dade College Paralegal Studies Program Advisory Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	N	T					
2. IRA #1 (H)									
3. Bank of America, NA, RASP (cash account)	A	Interest	J	T					
4. AB Large Cap Growth Fund	A	Dividend	K	T					
5. American Century Mid Cap Value Fund	A	Dividend	J	T	Buy	05/27/16	J		
6. Blackrock Credit Strategies Income Fund	A	Dividend	J	T	Buy (add'l)	06/22/16	J		
7. Blackrock High Yield Bd. Portfolio Fund	A	Dividend	J	T					
8. Columbia Large Cap Enhanced Core Fund	A	Dividend	K	T					
9. Delaware Value Fund	A	Dividend	K	T					
10. Eaton Vance High Income Opportunities Fund		None	J	T	Buy	10/04/16	J		
11. Eaton Vance Floating Rate MF	A	Dividend	J	T					
12. Fidelity Advisors Mid Cap Value Fund	A	Dividend	J	T					
13. First TR Dorsey WRT	A	Dividend	J	T					
14. Glenmede Large Cap Growth Fund	A	Dividend	K	T					
15. Goldman Sachs Emerging Markets Debt Fund	A	Dividend	J	T					
16. Henderson Global Equity Income Fund	A	Dividend	J	T	Buy	05/27/16	J		
17. Hennessy Focus Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Hotchkis and Wiley Small Cap Value Fund	A	Dividend	J	T	Sold (part)	02/02/16	J	A	
19. iShares NASDAQ Biotech ETF	A	Dividend	J	T					
20. iShares 20+ year Treasury Bond ETF	A	Dividend	K	T	Buy (add'l)	01/22/16	J		
21.					Buy (add'l)	02/18/16	J		
22. iShares Core S&P 500 ETF	A	Dividend	K	T					
23. iShares Core Russell US Growth ETF	A	Dividend	K	T					
24. iShares Core Russell US Value ETF	A	Dividend	K	T					
25. iShares Inc Core MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	05/26/16	J		
26. Janus Enterprise Fund		None	J	T	Buy	12/29/16	J		
27. Janus Intech US Managed Volatility Fund	A	Dividend	K	T					
28. JOHCM International Select Fund		None			Sold	12/12/16	J		
29. JP Morgan Intrepid Mid Cap Fund	A	Dividend	J	T					
30. Mainstay Large Cap Growth Fund	C	Dividend	K	T					
31. Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Sold (part)	06/22/16	J	A	
32. MFS International Value Fund	B	Dividend	J	T					
33. MFS Value FD CL I MF	A	Dividend	L	T					
34. Oppenheimer International Growth Fund	A	Dividend	J	T	Buy	05/27/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pimco Global Bond Fund	A	Dividend	J	T					
36. Pimco Income Fund	A	Dividend	K	T					
37. Pioneer Strategic Income Fund	B	Dividend	K	T	Sold (part)	06/22/16	J		
38. Powershares S&P 500 High Dividend Low Volatility Portfolio	A	Dividend	J	T	Buy	06/24/16	J		
39. Prudential Total Return Bond Fund	A	Dividend	J	T					
40. Prudential Short Term Corporate Bond Fund	A	Dividend	J	T					
41. RS Small Cap Equity Fund		None			Sold	06/22/16	J		
42. SPDR Index SHS FDS	A	Dividend	J	T					
43. Sunamerica Focused Dividend Strategy Fund	A	Dividend	K	T					
44. Thornburg Ltd Term Income Fund	A	Dividend	J	T					
45. Tweedy Browne Global Value Fund		None			Sold	05/27/16	J	A	
46. Virtus Emerging Markets Opportunities Fund	A	Dividend	J	T					
47. Wells Fargo Large Cap Core Fund	A	Dividend	K	T					
48. Western Asset Core Plus Bond Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 6, 22-24, 30, and 47: Corporate name changes; see 2015, lines 12, 40, 41, 43, 52, and 82, respectively.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alicia M. Otazo-Reyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544