

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOODLOCK, DOUGLAS P.	2. Court or Organization DISTRICT OF MASSACHUSETTS	3. Date of Report 04/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE U. S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse Suite 4110, 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust No. 1
2.	Trustee	Trust No. 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Cash Reserves (money market) [FDRXX]	A	Dividend	J	T					
2. Fidelity GNMA [FGMNX]	B	Dividend	L	T					
3. Fidelity Growth Company [FGDRX]	D	Dividend	M	T					
4. T.Rowe Price Small Cap [OTCFX]	C	Dividend	M	T					
5. First Nat'l Bank of Ipswich (Checking/ Savings) (Y)									
6. Fidelity Disciplined Equity [FDEXQ] (Y)									
7. Fidelity Stock Selector [FDSSX]	C	Dividend	L	T					
8. Davis New York Venture Fund, C1.A [NYVTX]	E	Dividend	M	T					
9. T.Rowe Price Blue Chip Growth [TRBCX] [FRSRX]		None	K	T					
10. Vanguard Prime (money market)[VMMXX]	D	Dividend	P1	T					
11. Vanguard Long Term Investment Grade [VWETX]	D	Dividend	M	T					
12. Vanguard Mid-Cap Index [VIMAX]	B	Dividend	M	T					
13. Vanguard Value Index [VVIAX]	C	Dividend	M	T					
14. Vanguard 500 Index [VFIAX]	C	Dividend	M	T					
15. Vanguard Intermediate Term Bond Index [VBILX]	C	Dividend	M	T					
16. Vanguard Short Term Bond Index [VBIRX]	A	Dividend	L	T					
17. Vanguard Treasury (money market) [VUSXX]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Sequoia [SEQUX]	B	Dividend	M	T					
19. T. Rowe Price Mid-Cap Value [TRMCX]	A	Dividend	K	T					
20. Wellington Shields Brokerage Sweep-Wells Fargo (Y)									
21. T. Rowe Price Value [TRVLX]	D	Dividend	L	T					
22. Vanguard Small-Cap Index [VSMAX]	B	Dividend	M	T					
23. Vanguard Extended Market Index [VEXAX]	B	Dividend	M	T					
24. T. Rowe Price New American Growth [PRWAX](X)	D	Dividend	L	T					
25. T. Rowe Price Emerging Mkts. [PRMSX]	A	Dividend	K	T					
26. Dodge & Cox International [DODFX]	B	Dividend	L	T					
27. Dodge & Cox Income [DODIX]	A	Dividend	L	T					
28. T. Rowe Price Mid-Cap Growth [RPMGX]	B	Dividend	K	T					
29. Vanguard Int'l Stock Index[VITAX]	C	Dividend	M	T					
30. Vanguard Total Bond Index [VBTLX]	C	Dividend	M	T					
31. Vanguard Total Stock Index [VTSAX]	C	Dividend	M	T					
32. Fidelity Spartan 500 Index [FUSVX]	C	Dividend	M	T					
33. Fidelity Spartan Extended Market [FSEVX]	D	Dividend	M	T					
34. Fidelity Spartan Int'l Index [FSIVX]	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001 - \$15,000 H2 = More than \$15,000	D = \$5,001 - \$15,000 H2 = More than \$15,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Bank of America accounts	A	Int./Div.	L	T					
36. 3M Co. [MMM]	A	Dividend			Sold	04/08/13	K	C	
37. Accenture common [ACN]		None			Sold	03/26/13	K	C	
38. Activision Blizzard common [ATVI]	A	Dividend			Sold	04/02/13	K	C	
39. Advanced Photonix common [API]	A	Dividend			Sold	04/02/13	J		
40. Air Products & Chem. common [APD]	A	Dividend			Sold	03/22/13	K	A	
41. American Int'l Group common [AIG]		None			Sold	03/22/13	J	B	
42. American Int'l Group warrants [AIGWS]		None			Sold	03/22/13	J	A	
43. Amphenol common [APH]	A	Dividend			Sold	04/02/13	K	D	
44. Apple common [AAPL]	B	Dividend			Sold	04/10/13	M		
45. Autoliv Inv. common [ALV]		None			Sold	04/05/13	K	C	
46. Bank of America common [BAC]		None			Sold	04/12/13	J	B	
47. Bed Bath and Beyond common [BBB]		None			Sold	03/22/13	K		
48. Brooks Automation common [BRKS]	A	Dividend			Sold	04/08/13	J		
49. Cameco common [CCJ]	A	Dividend			Sold	04/02/13	J		
50. Chipotle common [CMG]		None			Sold	04/05/13	K		
51. Citigroup common [C]	A	Dividend			Sold	04/01/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Cochlear common [CHEOY]	A	Dividend			Sold	04/09/13	J	A	
53. Cognex common [CGNX]		None			Sold	04/08/13	J	A	
54. Costco common [COST]	A	Dividend			Sold	04/08/13	J	C	
55. Danaher common [DNH]	A	Dividend			Sold	03/22/13	M	D	
56. Deere common [DE]	A	Dividend			Sold	03/14/13	M	D	
57. Dentsply Int'l common [XRAY]	A	Dividend			Sold	04/02/13	K	B	
58. Dominion Resources common [D]		None			Sold	04/02/13	K	B	
59. Dunkin Brands common [DNKN]	A	Dividend			Sold	04/05/13	J	B	
60. Eastman Chemical common [EMN]	A	Dividend			Sold	04/09/13	K	D	
61. Edison Int'l common [EIX]	A	Dividend			Sold	04/02/13	J	A	
62. Engility Holdings common [EGL]		None			Sold	04/02/13	J	A	
63. Ensc0 common [ESV]		None			Sold	04/03/13	J	B	
64. Enterprise Products common [EPD]		None			Sold	04/08/13	K	D	
65. FTI Consulting common [FCN]		None			Sold	04/02/13	J	A	
66. Freeport McMoran common [FCX]	A	Dividend			Sold	04/08/13	J		
67. General Electric common [GE]	A	Dividend			Sold	03/13/13	K	D	
68. Goldman Sachs common [GS]	A	Dividend			Sold	04/03/13	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Google common [GOOG]		None			Sold	03/13/13	M	E	
70. Herbalife common [HLF]	A	Dividend			Sold	03/12/13	K		
71. Home Depot common [HD]	A	Dividend			Sold	04/03/13	L	E	
72. Intel common [INTC]	A	Dividend			Sold	04/09/13	K	C	
73. Int'l Business Machines common [IBM]		None			Sold	03/26/13	M	C	
74. Irobot common [IRBT]		None			Sold	03/26/13	J	A	
75. J.P. Morgan Chase common [JPM]	A	Dividend			Sold	03/12/13	L	D	
76. KLA-Tencor common [KLAC]		None			Sold	04/02/13	J	A	
77. L-3 Communications common [LLL]		None			Sold	03/28/13	J	B	
78. Las Vegas Sands common [LVS]	A	Dividend			Sold	04/03/13	K	A	
79. Lincoln National common [LNC]	A	Dividend			Sold	03/28/13	J	B	
80. Materion common [MTRN]	A	Dividend			Sold	04/03/13	K	C	
81. Mattel common [MAT]	A	Distribution			Sold	04/03/13	K	D	
82. McDonalds common [MCD]	A	Dividend			Sold	04/03/13	K	B	
83. Mohawk common [MHK]		None			Sold	04/05/13	K	D	
84. Newmont Mining [NEM]		None			Sold (part)	03/28/13	J	A	
85.					Sold	04/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Nuance Comm. common [NUAN]		None			Sold	04/02/13	J		
87. Parker Hannifin common [PH]	A	Dividend			Sold	03/15/13	K	A	
88. Pepsico common [PEP]	A	Dividend			Sold	03/15/13	K	B	
89. Republic Services common [RSG] (X)	A	Dividend			Sold	03/28/13	J	A	
90. Scana common [SCG]	A	Dividend			Sold	03/28/13	K	B	
91. Scotts Miracle Grow common [SMG]	A	Dividend			Sold	04/02/13	J		
92. Select Comfort Group common [SCSS]		None			Sold	04/02/13	J		
93. Stericycle common [SRCL]		None			Sold	03/28/13	K	D	
94. T.Rowe Price common [TROW]	A	Dividend			Sold	03/15/13	K	D	
95. Teva Pharma. common [TEVA]	A	Dividend			Sold	04/02/13	K		
96. Texas Inst. common [TXN]	A	Dividend			Sold	03/15/13	K	C	
97. UnitedHealth Group common [UNH]	A	Dividend			Sold (part)	03/28/13	J	A	
98.					Sold	04/02/13	K	B	
99. US Bancorp common [USB]	A	Dividend			Sold	03/28/13	K	B	
100. US Steel common [X]		None			Sold	03/28/13	J	B	
101. United Technologies common [UTX]	A	Dividend			Sold	03/11/13	L	D	
102. Valero Energy common [VLO]		None			Sold (part)	03/28/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103.					Sold	04/02/13	J	A	
104. Vical common [VICAL]		None			Sold	04/02/13	J	C	
105. Wynn Resorts common [WYNN]	A	Dividend			Sold	03/11/13	K		
106. Xerox common [XRX]	A	Dividend			Sold	03/12/13	J	A	
107. Yum Brands common [YUM]	A	Dividend			Sold (part)	03/11/13	K		
108.					Sold	03/12/13	L		
109. Amer. Euro Pac. Growth Fund [AEPGX]	A	Dividend	L	T					
110. First Eagle Global Fund [SGENX]	C	Dividend	L	T					
111. Matthews Int'l Pac. Tiger Fund [MAPTX]	A	Dividend	K	T					
112. Matthews Int'l China Fund [MCHFX]	B	Dividend	K	T					
113. Fidelity Mass. Muni (money market) [FDMXX]	A	Dividend	P1	T					
114. Trust No. 3(X)	E	Distribution	L	T					
115. Merrill Lynch bank sweep accounts (X)	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

04/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I and Part VII:

All assets of Trusts No. 1 and No. 2, identified in Part I, are listed individually in Part VII.

Part VII, lines 5, 20

The banking relationships reflected by these assets were terminated as of 2013; consequently, there is no further occasion for disclosure.

Part VII, line 6:

As noted in part VIII of my Financial Disclosure Report for Calendar Year 2007, this asset was part of the corpus of a revocable trust the income and values of whose assets I have, for the reasons stated therein, since disclosed despite the fact that disclosure is not formally required. The trust was dissolved as of 2013; consequently, there is no further occasion for disclosure.

Part I and Part VIII, lines 114 and 115:

The investments listed reflect the remaining undistributed one-third share in Trust No. 3, as a beneficiary in the estate of deceased relative. The monies identified in lines 114 and 115, which constitute duplicate reporting of the same assets, are being maintained to satisfy any remaining claims against the estate and will remain in the trust until the estate is closed.

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

04/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOUGLAS P. WOODLOCK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544