

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 04/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TimeWarner Inc. (common)	B	Dividend	L	T	Sold (part)	05/18/15	K	D	
2.					Buy (add'l)	11/10/15	K		
3. Proctor & Gamble Co. (common)	C	Dividend	L	T	Sold (part)	05/11/15	J	A	
4.					Buy (add'l)	09/23/15	J		
5. Intel Corp. (common)	C	Dividend	J	T	Sold (part)	08/05/15	J	D	
6. Morgan Stanley Co. (common)	A	Dividend	L	T	Sold (part)	08/05/15	K	E	
7.					Buy (add'l)	09/23/15	J		
8. Starbucks Corp. (common)	B	Dividend	L	T	Buy (add'l)	09/23/15	J		
9. Legg Mason Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
10. E.I. DuPont De Nemours & Co. (common)	A	Dividend	J	T	Sold (part)	05/11/15	J	A	
11. Chemours		None	J	T	Spinoff (from line 10)	07/01/15	J		
12. (H) Mineral interests, Tyler County, WV See below a - k		None							
13. - a. HG Energy, successor to East Resources, Inc.		None	J	W					
14. - b. Ergon Oil Purchasing		None	J	W					
15. - c. CNX Gas, successor to Consol Gas Co.		None	J	W					
16. - d. Jay-Bee Production	A	Royalty	J	W					
17. - e. BD Oil Gathering Corp.	A	Royalty	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - f. Texas Keystone, Inc.	A	Royalty	J	W					
19. - g. Petroedge Energy (X)		None	J	W					
20. - h. Postrock Eastern Production		None	J	W					
21. - i. American Energy - Marcellus		None	J	W					
22. - j. Artero Resources Corp.		None	J	W					
23. - k. Ascent Resources, LLC	A	Royalty	J	W					
24. Mineral Interests, Doddridge County, WV		None	J	W					
25. Mineral Interests, Wetzel County, WV		None	J	W					
26. Time Warner Cable Inc. (common)	A	Dividend	L	T	Sold (part)	05/18/15	K	E	
27. Prudential Short Term Corp. Bond Z	A	Dividend			Sold	05/19/15	K	C	
28. Apple Inc.	A	Dividend	K	T					
29. Pepsico	A	Dividend	K	T					
30. American Century Equity Income Fund	A	Dividend	L	T					
31. Blackrock Global Allocation Fund	A	Dividend	L	T					
32. Prudential Global Real Estate Fund Class 2	A	Dividend	K	T					
33. Prudential Short Term Corp. Bond Inc. Z	B	Dividend			Sold	05/19/15	K	C	
34. Hess Corp.	A	Dividend	K	T	Buy (add'l)	09/23/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Kraft Foods	A	Dividend	K	T	Buy (add'l)	09/23/15	J		
36. Facebook		None	K	T					
37. Walmart stores (Common)	A	Dividend			Sold	05/11/15	J	A	
38. United Technology (Common)	A	Dividend			Sold	05/11/15	J	A	
39. Raytheon (Common)	A	Dividend			Sold	05/11/15	J	A	
40. Paychex Inc. (Common)	A	Dividend			Sold	05/11/15	J	A	
41. Northrop Grumman Corp. (Common)	A	Dividend			Sold	05/11/15	J	A	
42. Norfolk Southern (Common)	A	Dividend			Sold	05/11/15	J	A	
43. McDonalds Corp. (Common)	A	Dividend			Sold	05/11/15	J	A	
44. Linear Technology Corp. (Common)	A	Dividend			Sold	05/19/15	J	A	
45. Johnson & Johnson (Common)	A	Dividend			Sold	05/11/15	J	A	
46. Genuine Parts Co. (Common)	A	Dividend			Sold	05/11/15	J	A	
47. Emerson Electric (Common)	A	Dividend			Sold	05/11/15	J	A	
48. Exxon Mobil (Common)	A	Dividend			Sold	05/11/15	J	A	
49. CSX Corp. (common)	A	Dividend			Sold	05/11/15	J	A	
50. Automatic Data (common)	A	Dividend			Sold	05/11/15	J	A	
51. General Dynamics Corp. (common)	A	Dividend			Sold	05/11/15	J	A	

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52. Lazard Int'l Equity Fund	A	Dividend	K	T	Buy	05/19/15	K		
53. Ishares S&P Global Health Care Sector ETF	A	Dividend	J	T	Buy	05/19/15	J		
54. Ishares Trust SHS ETF	A	Dividend	J	T	Buy	05/11/15	J		
55. Ishares MSCI USA ESG Select ETF	A	Dividend	J	T	Buy	05/11/15	J		
56. Vanguard Div. Apprec. ETF	A	Dividend	J	T	Buy	05/11/15	J		
57. Ishares S&P Global Clean Energy ETF	A	Dividend	J	T	Buy	05/11/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544