

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Jeffrey S.	2. Court or Organization U.S. District, Northern Calif	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court, Northern District of Calif. 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan - Dec	Orrick Herrington Defined Benefit Plan	\$23,400.00
2. Jan- April	UC Hastings College of Law - Teaching	\$1,530.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ISHARES MSCI Eafe Index Fund	A	Dividend	K	T					
2. GMAC 0% Bond		None	K	T					
3. ISHARES DJ US Basic Materials	A	Dividend	K	T					
4. ISHARES Goldman Sach Tech 1	A	Dividend			Sold	06/22/12	L	E	
5. ISHARES US Consumer Noncyclic	B	Dividend	L	T					
6. ISHARES US Consumer Cyclic	A	Dividend	K	T	Sold (part)	07/20/12	K	D	
7. ISHARES DJ US Energy Sector	B	Dividend	L	T					
8. ISHARES DJ US Financial Sector	A	Dividend	K	T					
9. ISHARES DJ US Healthcare Sector	B	Dividend	L	T					
10. ISHARES DJ US Telecommunications	B	Dividend	K	T					
11. ISHARES DJ US Utilities Sector	B	Dividend	K	T					
12. Consumer Discretionary Sector SPDR	B	Dividend	L	T					
13. Consumer Staples Select Sector SPDR Trust	C	Dividend	L	T					
14. Financial Select Sector SPDR	A	Dividend	L	T					
15. Health Care Select Sector SPDR	B	Dividend	L	T	Buy (add'l)	07/20/12	K		
16. Industrial Select Sector SPDR	B	Dividend	L	T					
17. Technology Select Sector SPDR	A	Dividend			Sold	06/22/12	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Legg Mason Clearbridge Diversified Large Cap Growth Fund	A	Dividend	J	T					
19. ISHARES - S&P Euro 350 Index	A	Dividend			Sold	07/20/12	K		
20. Oppenheimer Intl Diversified Fund Class A	A	Dividend	K	T					
21. Oppenheimer Main Street Oppo. Fund Class A	A	Dividend	K	T					
22. Oppenheimer Main Street Small Cap Fund Class A	A	Dividend	K	T					
23. Oppenheimer Equity Fund Class A	A	Dividend	K	T					
24. SPDR Homebuilders ETF	A	Dividend	K	T					
25. iShares Dow Jones U.S. Real Estate Index	A	Dividend	J	T					
26. Ally Bank CDs	A	Interest	K	T					
27. Guggenheim BRIC ETF	A	Dividend	K	T					
28. California St Economic Recovery CA GO 5.25%	B	Interest			Matured	06/22/12	K		
29. California St CA GO 5%	B	Interest	K	T					
30. Provident Credit Union	A	Interest	K	T					
31. Orrick Investments 2000 LLC	A	Int./Div.	J	U					
32. Pollock Montgomery Washington Tower Investors II Partnership	A	Int./Div.	K	U					
33. Lincoln Financial Annuity - Lincoln VIP Moderate Profile		None	M	T					
34. Lincoln Financial Annuity - Lincoln Choice Plus		None	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pollock 2007-2008 Independent Investors, LLC	A	Int./Div.	K	U					
36. Pollock 2006 Properties Preference Return, LLC	D	Interest	M	U					
37. Schwab US Treasury Fund Sweep	A	Int./Div.	K	T					
38. California St CA GO 2%	A	Interest	K	T	Buy	04/13/12	K		
39. Pebblebrook Hotel 8% Preferred		None	J	T	Buy	12/27/12	J		
40.									
41. Citigroup IRA # 1	D	Int./Div.	M	T					
42. -MFS Total Return Fund A									
43. -Citibank NA South Dakota Bank Deposit Program									
44. -Legg Mason ClearBridge Fdmtl AL CP Value Fund Cl A (Note 1)									
45. -Reynolds American In 7.5 % Bond					Matured	12/7/12	J		
46. -Textron Inc 6.5% Bond					Matured	12/7/12	J		
47. -Alcoa Inc 6% Bond									
48. -Entergy New Orleans Inc 5.25% Bond									
49. -Dow Chemical Co 6.85% Debs									
50. -Airgas Inc 2.85% Bond									
51. -Amerian Express Credit Corp 3% Bond									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Hartford Finl Services Group 4.75% Bond									
53. -Morgan Stanley Global Sub 4.75% Notes									
54. -Bank of America 5.375% Notes									
55. -Fortune Brands Inc 6.375% Bond									
56. -Genworth Financial 5.75% Bond									
57. -Southwest Airlines Co 5.25% Notes									
58. -Sunoco Inc 4.875% Bonds									
59. -General Elec Cap Corp Medium Term 2.95% Bond					Buy	06/04/12	K		
60. -Wells Farbo & Co New Medium Term 2.1% Bond					Buy	06/01/12	K		
61.									
62. Citigroup IRA # 2	A	Int./Div.	K	T					
63. -MFS Total Return Fund A									
64. -Citibank NA South Dakota Bank Deposit Program									
65. -Legg Mason ClearBridge Fdmtl AL CP Value Fund Cl A (Note 1)									
66. -Bank Of America Corp 4.875% Bonds									
67.									
68. Charles Schwab - IRA Rollover	F	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Financial Select Sector SPDR									
70. -India Fund, Inc									
71. -Industrial Select Sect SPDR									
72. -ISHARES FTSE/Xinua China 25									
73. -ISHARES - MSCI Australia Index									
74. -ISHARES - MSCI Canada Index									
75. -ISHARES - MSCI EAFE Index Fund									
76. -ISHARES - MSCI Emerging Markets Index									
77. -ISHARES - MSCI Malaysia Index									
78. -ISHARES - S&P Europe 350 Index (Y)									
79. -ISHARES - S&P Latin America 400 Index									
80. -ISHARES - Global Industrials									
81. -Phoenix Co 7.45% Preferred					Sold	12/24/12	J		
82. -Conoco Phillips Bond 4.4%					Sold	08/17/12	L	B	
83. -Disney (Walt) Company 6.375% Bond					Matured	03/01/12	L		
84. -General Electric Cap Corp 5.25% Bond					Matured	10/19/12	L		
85. -General Electric Capital Corp 6% Bond					Matured	06/15/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -General Electric Capital Corp 4.8% Bond									
87. -Goldman Sachs Group Inc 5.45% Bond					Matured	11/1/12	L		
88. -Hewlett-Packard Co 5.25% Bond					Matured	03/01/12	M		
89. -PepsiCo Inc 4.65% Bond									
90. -Verizon Communications 4.35% Bonds					Redeemed	12/11/12	L	A	
91. -Du Pont de Nemours 5.00%									
92. -California St CA GO- Taxable 4.85%									
93. -Caterpillar - Financial Services Corp 6.2%									
94. -Dell Inc 5.625%									
95. -Hewlett Packard - Electronic Data System 6%									
96. -IBM Corp 6.5%									
97. -Wyeth (Pfizer) 5.5%									
98. -iShares MSCI Turkey Index									
99. -Berkshire Hathaway Bond 4.5%									
100. -California St CA GO- Taxable 3%					Matured	03/1/12	K		
101. -California St CA GO- Taxable 3.95%									
102. -California St CA GO- Taxable 6.2%									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. -Illinois State GO- Taxable 2.766%					Matured	01/1/12	K		
104. -Hershey Company 5% Bond									
105. -California St CA GO-Taxable 5.2%					Buy (add'l)	11/26/12	K		
106. -Chesapeake Energy Corp 7.375%									
107. -Energy Select Sector SPDR									
108. -Ford Motor Credit Notes									
109. -Metlife Inc 5% Bond									
110. -Petrobras Int Fin Co 3.875% Bond									
111. -Pitney Bowes Inc 5% Bond									
112. -Royal Caribbean Cruises 7% Bond									
113. -Schwab US Treasury Fund Sweep					Buy (add'l)	07/01/12	M		
114. -Aegon NV 4.625% Bond					Buy	04/10/12	K		
115. -American Intl Group 5.05% Bond					Buy	08/22/12	K		
116. -ArcelorMittal 4.25% Bond					Buy	04/03/12	K		
117. -Avon Products Inc 5.625% Bond					Buy	08/28/12	K		
118. -Best Buy Co 3.75% Bond					Buy	03/20/12	K		
119. -Capital One Bank 7.375% Bond					Buy	03/21/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Computer Sciences Corp 6.5% Bond					Buy	08/20/12	L		
121. -Deutsche Bank 8.05% Preferred Stock					Buy	12/24/12	J		
122. -Hanes Brand Inc 8%					Buy	10/31/12	K		
123.					Matured (part)	12/27/12	J		
124. -Hewlett Packard 3% Bond					Buy	3/20/12	K		
125.					Buy (add'l)	9/11/12	K		
126. -Limited Brands Inc 5.25% Bond					Buy	3/23/12	K		
127. -Limited Brands Inc 6.9% Bond					Buy	6/20/12	L		
128. -Manulife Financial Corp 3.4% Bond					Buy	03/28/12	K		
129. -Morgan Stanley 2.875% Bond					Buy	03/27/12	K		
130. -Morgan Stanley 4% Bond					Buy	12/7/12	K		
131. -Pharmaceutical HOLDERS Trust Common Stock					Buy	11/21/12	K		
132. -Prudential Financial Inc 6.2% Bond					Buy	3/28/12	K		
133. -R.R. Donnelley 5.5% Bond					Buy	11/5/12	K		
134. -Southwest Airlines Co 5.25% Bond					Buy	11/26/12	K		
135. -Xerox Corporation 4.25% Bond					Buy	12/31/12	K		
136. -Zions Bancorp 4.5% Bond					Buy	3/23/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138. Schwab IRA Account	A	Int./Div.	L	T					
139. -Guggenheim BRIC ETF									
140. -Powershares QQQ Nasdaq 100									
141. -iShares S&P 500/Barr Browth					Sold (part)	04/04/12	J	A	
142. -iShares S&P Global 100									
143. -Schwab US Treasury Fund - Sweep									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

White, Jeffrey S.

Date of Report

05/06/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey S. White

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544