

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gershon Nina	2. Court or Organization EDNY	3. Date of Report 4-30-10
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period to
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.	2009	
2.	2009	
3.		
4.		

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. USAA Master Card	Credit Card	K
2.		
3.		
4.		
5.		

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Nina Gershon

Date:

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Page 1 INVESTMENTS and TRUSTS - income, value, transactions (Indicate those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (Indicate trust assets)	B. Income during reporting period		C. Cost or value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code ¹ (A-H)	Type (see instr. 10) Div, Int, etc.	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (see instr. 10) Buy, Sell, etc.	(2) Date Month- Day	(3) Value Code ⁴ (J-P)	(4) Asset Code ⁵ (A-H)	(5) If private
Place "XX" after each asset except from prior disclosure									
☐ NONE No reportable income, assets.									
1 IBM Common Stock	A	Div	J	T					
2 US Govt HH Bonds	D	Int	K	T	partial redemption 11/2				
3									
4									
5 Fidelity Magellan (IRA)	A	Div	J	T					
6 Fidelity NY tax free M.M.	A	Div	J	T					
7 Washington Mutual (formerly Dime) (IRA)	Cash Eq. A	Int	J	T					
8									
9 Citibank Checking Acct.		None	J	T					
10 Chase Manh. Bank CD	A	Int	J	T					
11 DW/Sears Liq. Assets	A	Div	J	T					
12									
13 Chase Manh Bank	A	Int	J	T					
14									
15 Dreyfus Growth & Inc (IRA)	A	Div			exchanged for 1.16 10/27		K	A	
16 Dreyfus Inter. Term Income Fund	A	Div	K	T					
17 DW Dev. Growth Fund	A	Div			sold 10/26		K	A	

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References

Nina Gershon

Date: 12/15/2011

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II. Page 2 INVESTMENTS and TRUSTS - income, value, transactions (includes those of minor and dependent children. See pp. 34-37 of instructions.)

A Description of Assets (including trust assets)	B Income during reporting period		C Cost value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code1 (A-H)	Type (C-E, div, int or int)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (C-E, buy, sell, mortg, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Ident: buy/sell (if private or
Place "D" after each asset except from prior disclosure									
NONE (No reportable income, assets)									
18 DW Div. Growth Fund	A	Div			sold	10/26	K	A	
19 DW Global Div. Growth F		Div			sold	10/26	K	A	
20 Kaufmann Fund	C	Div	L	T					
21 [REDACTED] Deferred Comp		None	N	T					
23 N.Y. Money Trust (NTFI)	A	Div	J	T					
31 Vanguard 500 (IRA)	B	Div	K	T					
32 Cattaraugus Imp TBE Bond	B	Int	K	T					
34 Albany Co GO-B Bond	A	Int	K	T					

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Page ³ INVESTMENTS and TRUSTS — income, value, transactions (Include those of spouse and dependent children. See pp. 34-57 of instructions.)

A Description of Asset (including cash assets) Place "00" after each asset except from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code 1 (A-H)	Type (Div, Int, or ML)	Value Code 1 (J-P)	Value Code 2 (Q-W)	Type (buy, sell, redemption)	(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Asset Code 1 (A-H)	(5) Identify buy/sell (if provide date)
NONE (No reportable income assets)									
35 ^(IRA) Frid. Int. Govt Fund	A	Int	K	T					
36 Dreyfus U.S. Int. Fund	A	Int	J	T					
37 Vanguard GNMA Fund	B	Int	K	T					
38 Dreyfus Mun. Bond Fund	B	Int	K	T					
39 Vanguard ^{GNMA} (IRA)	B	Div	L	T					
42 NYC Trans. Fin.	A	Int			redeem	4/22 9/14	K	A	
43 Chautauqua Cty. N.Y. Bond	B	Int	K	T					
44 NYS Dorm Auth	A	Int	J	T					
46 NYC Mun Water Auth	A	Int	J	T					
47 Haverstraw Pub Imp	A	Int	J	T					
48 NYS Hsg Fin Agency	A	Int	K	T					
51 NYS Env Facs Corp	B	Int	K	T					

Investment Code A=\$1,000 or less
(See Ch. 31, D4) B=\$1,001-\$100,000B=\$1,001-\$2,500
C=\$100,001-\$1,000,000C=\$2,501-\$5,000
D=\$5,001-\$15,000

E=\$15,001-\$50,000

F=\$50,001-\$100,000

Value Code

FINANCIAL DISCLOSURE REPORT

1. How to find the right person

Nina Gershon

7. of 1954

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VII. Page 4 INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Asset (including trust name)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code:1 (A-H)	Type (e.g., div., rent or int.)	Value Code:2 (J-P)	Value Method Code: (Q-W)	Type (e.g., buy, sell, mortg., redemption)	(2) Date: Month- Day	(3) Value Code:3 (J-P)	(4) Gain Code: (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income.)									
52 NYS GOB	A	Int			redeem	3/6	J	A	
53 Cohoes NY GOB	A	Int	K	T					
54 NYS Env Facs Corp	A	Int	K	T					
56 Schenectady NY School	A	Int	J	T					
57 NYS Env. Facs Corp	B	Int	K	T					
58 FID. CAPITAL AppreciAtn Fund IRA	A	Div	J	T					
59 FID. LARGE Cap Value Fund IRA	A	Div	J	T					
60 FID. VALUE Fund IRA	A	Div	J	T					
61 Citibank CD	A	Int	K	T					
62 Citibank Market Rate Acct	A	Int	L	T					
63 NYS TWY AUTH	B	Int	K	T					
64 Triboro B+T Auth	B	Int	K	T					
65 Suffolk Cty NY Pub. Improvement	A	Int	L	T	buy	10/29	K		
66 FID. Int'l Discovery Fund IRA	A	Div	J	T	transfer from Fid. Magellan	4-11-07	J	A	

1.	Interest Charge Cont. A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000	A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000
2.	Value Cont. Cont. A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000	A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000
3.	Value Cont. Cont. A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000	A=1,000 of Item B=1,000 From 1001-4 C=25,001-25,000 D=25,001-50,000 E=50,001-150,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Re: Part VII

- 1) References to DW (Dean Witter) are now Morgan Stanley
- 2) Line 66 refers to a transfer made in 2007 inadvertently omitted from prior reports

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544