

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Mummert III, Thomas C.	2. Court or Organization U.S. District Court, EDMO	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 111 South 10th Street, 13 North St. Louis, Missouri 63102		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Walmart Common Stock	B	Dividend	L	T					
2. ATT Common Stock (formerly SBC)	A	Dividend	J	T					
3. Southern Commercial Bank Acct.	A	Interest	M	T					
4. IFUE Common Stock		None	J	T					
5. State of MO Deferred Compensation	C	Interest	M	T					
6. -ING - stable income fund									
7. Time Warner, Inc.	A	Dividend	J	T					
8. American Funds, American Mutual, A	B	Dividend	L	T					
9. American Funds, Euro Pac. Growth	A	Dividend	J	T					
10. Ameren (AEE)	B	Dividend	K	T					
11. U.S. Savings Bonds (HH)	A	Interest	L	T					
12. Southwest Bank (Now BMO Harris Bank)	A	Interest	M	T					
13. Commerce Bank	A	Interest	M	T					
14. Ameriprise Money Market Account	A	Dividend	K	T					
15. Lindbergh Schools	A	Interest	K	T					
16. St. Peters, MO (O Coupon)	A	Interest	K	T					
17. Anheuser Busch Credit Union	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Wisdom Tree Dividend Fund	A	Dividend	J	T					
19. First Community Credit Union	A	Dividend	J	T					
20. Regions Bank	A	Interest	M	T					
21. Nuveen Build America Fund	D	Interest	M	T					
22. Proctor & Gamble Co.	A	Dividend	J	T					
23. Wells Fargo & Co.	A	Dividend	J	T					
24. JM Smuckers Co.	A	Dividend			Sold	06/01/12	J	A	
25. Bank of America	A	Dividend	J	T					
26. PNC Invesco	B	Dividend	K	T					
27. Eagle Bank	C	Interest	N	T					
28. Wells Fargo Bank NA	A	Interest	K	T					
29. Nuveen Dividend Adv.	A	Dividend	J	T					
30. St. Louis MO Indl. Dev. Ath. Multi. Fam.	A	Dividend	J	T					
31. Curator Univ. MO Sys.	A	Dividend	J	T					
32. Jefferson County MO Cons	A	Dividend	J	T					
33. Duke Energy Corp.	A	Dividend	J	T					
34. Edison Intl.	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Laclede Group	A	Dividend	J	T					
36. Pulaski Financial Corp.	A	Dividend	J	T					
37. Nuveen Municipal Value Fund	A	Dividend	J	T					
38. Belton MO COP RFDG	A	Interest	J	T					
39. Boone Cnty MO Hosp. Rev.	A	Interest	J	T					
40. Butler MO School District	A	Interest			Redeemed	03/01/12	J		
41. Cole Cnty MO Jail Protect.	A	Interest			Redeemed	12/03/12	J		
42. Curators Univ. MO Sys Facs	A	Interest			Redeemed	05/21/12	J		
43. Franklin Cnty MO COP	A	Interest	J	T					
44. Great Rivers Greenway Met.	A	Interest	J	T					
45. Independence MO School District	A	Interest	J	T					
46. Johnson Cnty MO Hosp.	A	Interest	J	T					
47. Kansas City MO Arpt.	B	Interest	K	T					
48. Kirkwood MO Public FACS	A	Interest			Redeemed	09/04/12	J		
49. Lincoln Cnty MO Reorg. School District	A	Interest	J	T					
50. MO St. Dev. Fin. Brd. Edl.	A	Interest	J	T					
51. MO State Envrntntl Impt. Energ.	A	Interest			Redeemed	07/02/12	J		

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52. MO State Health & EDL FAC	B	Interest	K	T	Redeemed (part)	10/01/12	K		
53. MO Std. Regl. Convention	A	Interest	J	T					
54. MO State Dev. Fin.	A	Interest			Redeemed	10/01/12	J		
55. NIXA MO Reorg. School District	A	Interest	J	T					
56. O'Fallon MO COP	A	Interest	J	T					
57. Randolph Cnty. MO School District	A	Interest			Redeemed	03/01/12	J		
58. Smithville MO COP	A	Interest			Redeemed	09/24/12	J		
59. Southeast MO State Univ.	A	Interest	K	T					
60. Springfield MO Public Utility	C	Interest	L	T					
61. St. Louis MO SPL, BOE, Pub. Saf. RFDG	B	Interest	K	T					
62. St. Peters MO COP	A	Interest			Redeemed	11/01/12	J		
63. Ste. Genevieve Cnty. MO COP	A	Interest			Redeemed	04/02/12	J		
64. Vernon Cnty. MO Reorg. School District	A	Interest	J	T					
65. Warrensburg MO CTFS	A	Interest	J	T					
66. Washington MO COP Mun Compl.	A	Interest	J	T					
67. Wentzville MO Economic Dev.	A	Interest	J	T					
68. West Plains MO COP	A	Interest	J	T					

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69. Wildwood MO COP	B	Interest	K	T					
70. Edward Jones Money Market	A	Interest	L	T					
71. Spectra Energy	A	Dividend	J	T					
72. Moberly Missouri School District	A	Interest	J	T					
73. J.P. Morgan Core Bond Fund	A	Interest	J	T	Buy	03/09/12	J		
74. Nuveen All American Municipal Bond Fund	A	Interest	J	T	Buy	03/09/12	J		
75. Nuveen High Yield Municipal Bond Fund	A	Interest	J	T	Buy	03/09/12	J		
76. Loomis Sayles Income Fund	A	Interest	J	T	Buy	03/09/12	J		
77. Columbia Missouri Sewer Rev. System	A	Interest	K	T	Buy	03/26/12	K		
78. Franklin County Missouri CTFS	A	Interest	K	T	Buy	09/19/12	K		
79. Missouri JT Municipal Electric Utility MOPEP	A	Interest	K	T	Buy	03/26/12	K		
80. Missouri Western State University	A	Interest	K	T	Buy	11/01/12	K		
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									
89.									
90.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas C. Mummert III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544