

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanen, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 9/18/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Judge Andrew S. Hanen United States Courthouse 600 E. Harrison St., #301 Brownsville, Texas 78520		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Baylor University School of Law	6/6/14 - 6/7/14	Waco, Texas	Mock Trial	Hotel
2. TexasBarCLE	1/9/14 - 1/10/14	Austin, Texas	CLE Panelist	Hotel, mileage, meals and parking
3. TexasBarCLE	4/3/14 - 4/4/14	Dallas, Texas	CLE Panelist	Hotel, meals and transportation
4. TexasBarCLE	5/22/14 - 5/23/14	San Antonio, Texas	CLE Panelist	Hotel, mileage and meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Account #3	B	Int./Div.	M	T					
2. -Merrill Lynch Money Mkt. Reserves n/k/a Bank of America RASP									
3. -Apple									
4. -Apple					Sold (part)	11/05/14	J	A	
5. -I Shares Biotech									
6. -Vanguard Consumer STPL									
7. -Vanguard Materials									
8. -Vanguard Energy									
9. -Vanugard Consumer Dis.									
10. -Vanguard Consumer Dis.					Sold (part)	11/05/14	J	A	
11. -Vanguard Ind									
12. -Health Care Select SPDR Fund									
13. -Spdr Financial									
14. -Vanguard Info									
15. -Vanguard Info					Sold (part)	11/05/14	J	A	
16. Retirement Account #1	D	Int./Div.	O	T					
17. -Fidelity Short Term Bond Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Marshall Bond Fund (n/k/a BMO Short Term Intermediate Bond)									
19. -Dartmouth College Bonds									
20. -Barclay Bank Bonds									
21. -Microsoft Bonds									
22. -Walmart Bonds									
23. -Goldman Sachs Bonds									
24. -George Washington Uni. Bond									
25. -Fidelity Cash Reserves									
26. Brokerage Account #1									
27. -Port Neches Grove ISD Municipal Bonds	C	Interest	M	T					
28. -Brownsville Tx Uty Systm. Municipal Bonds	C	Interest	M	T					
29. -Hutto Tex. ISD Municipal Bonds	C	Interest	M	T					
30. -Burleson Tex ISD Municipal Bonds	C	Interest	M	T					
31. -MS Tax Free Income Trust	A	Dividend	N	T					
32. Brokerage Account #2									
33. -Lower Col. Riv. Auth. Municipal Bonds	A	Interest	J	T					
34. -Harris Houston Tex. Sprt Municipal Bonds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Lancaster ISD Municipal Bonds	C	Interest	M	T					
36. -N. Tex. Twy. Auth. Municipal Bonds	C	Interest	L	T					
37. -Houston Tex. Higher Ed Municipal Bonds	B	Interest	L	T					
38. -BIF Tax Exempt	A	Dividend	L	T					
39. -Waco Texas CTFS Oblig Municipal Bonds	B	Interest	K	T					
40. Compass Bank	A	Interest	L	T					
41. Northwestern Mutual EOL Life Ins #117	A	Dividend	J	T					
42. Northwestern Mutual EOL Life Ins #714	A	Dividend	J	T					
43. Northwestern Mutual Whole Life Ins #642	A	Dividend	J	T					
44. Northwestern Mutual Whole Life Ins #817	C	Dividend	L	T					
45. Northwestern Mutual Whole Life Ins #811	C	Dividend	L	T					
46. Northwestern Mutual EOL Life Ins #840	C	Dividend	K	T					
47. IRA Account #4	D	Int./Div.	N	T					
48. -Treasury Strips									
49. -Treasury Strips					Redeemed (part)	02/18/14	J	A	
50. -Treasury Strips					Redeemed (part)	11/17/14	J	A	
51. -Capital Income BLDR									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Capital Income BLDR					Buy	02/28/14	J		
53. -American FDS Portfolio Growth and Index Fund									
54. -American FDS Portfolio Growth and Index Fund					Buy	02/28/14	K		
55. -Apple									
56. -Spdr Indus.									
57. -Spdr Cons. STPL									
58. -Spdr Energy									
59. -Consumer Discretion - Select Sector SPDR FD									
60. -Spdr Gold									
61. -Vanguard Info									
62. -WF Sweep (See Note 4)									
63. Brokerage Account #3									
64. -MS FT	A	Dividend	J	T					
65. -WF Money Mkt (n/k/a WF Sweep) (See Note 4)	A	Dividend	J	T					
66. -Cisco	A	Dividend	J	T					
67. -Valero	A	Dividend	J	T	Buy	07/21/14	J		
68. -Wells Fargo	A	Dividend	J	T	Buy	08/01/14	J		

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69. -Target	A	Dividend	J	T	Buy	08/05/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Note 1 - IRA Accounts #1 and #2 were closed in 2013 and those transactions were reported on last year's report.
2. Note 2 - The Merrill Lynch Money Mkt. Reserves referred to in Line 11 of the 2013 Report and in Line 2 of this report was designated as "FIA Card Services NA" and then redesignated again as "Bank of America RASP."
3. Note 3 - The Marshall Bond Fund contained in Retirement Account #1 has been redesignated as the "BMO Short Intermediate BdY."
4. Note 4 - The uninvested funds in IRA Account #4 and Brokerage Account #3 are now being kept in what Wells Fargo has designated a "sweep account." Therefore, in this report, the references to a "WF Sweep" are new.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew S. Hanen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544