AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Silberman, Laurence H.	U.S. Court of Appeals D.C. Circuit	05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Circuit Court Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 **to** 12/31/2012
7. Chambers or Office Address		
333 Constitution Avenue, N.W. Room 3400 Washington, DC 20001		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	Georgetown University Law Center, Distinguished Visitor from the Judiciary, Spring and Fall	$90,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	Pension benefit
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Russell Lindner	Colonial Parking Pass	$400
2.	Kirkland & Ellis, LLP, Washington, DC	Pro bono legal services and costs (see note in Part VIII)	$67,082.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Home Equity line on rental property #1, Washington, DC (Pt. VII, line 15)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Bank of America Account, Washington, DC	A	Interest	J	T					
2. Wells Fargo Bank, NA, Account, Washington, DC	A	Interest	L	T	Open	03/27/12	K		
3. (H) IRA #1									
4. --T. Rowe Price IRA Spectrum Income	E	Dividend	O	T	Redeemed (part)	01/03/12	J		
5. --T. Rowe Price IRA Spectrum Growth	D	Dividend	P1	T	Redeemed (part)	01/03/12	J		
6. (H) BROKERAGE ACCOUNT #1					Closed	03/27/12	M		
7. --Franklin Federal Tax Free Income Fund Class A	D	Interest			Sold (part)	03/29/12	J	A	
8. (H) BROKERAGE ACCOUNT #2					Open	03/27/12	M		
9. --Frankin Federal Tax Free Income Fund Class A	B	Interest	M	T					
10. (H) BROKERAGE ACCOUNT #3					Open	03/27/12	K		
11. --Franklin Federal Tax Free Income Fund Class A	A	Interest	L	T					
12. Georgetown University 401(k) Plan	B	Int./Div.	M	T					
13. JP Morgan Chase	A	Dividend	J	T					
14. Net Lease Ventures LP	A	Interest	N	T					
15. Rental Property #1, Washington, DC	D	Rent	O	S					
16. (H) IRA #2									
17. --Deltec Recovery Fund, LP		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. --Westport Fund- Cl R		None	M	T					
19. --Frontegra Ironbridge Small Cap Fund	A	Dividend	L	T					
20. --First Eagle Sogen Funds Inc Overseas Fd Cl I	A	Dividend	K	T					
21. --Schwab Advisor Cash Reserves	A	Interest	K	T					
22. --Lazard International Strategic Inst	A	Dividend	L	T					
23. --Schwab Fundamental Int'l Large Index Institutional	B	Dividend	K	T					
24. --Third Avenue Focused Credit Inst	D	Dividend	M	T					
25. --Vanguard Short Term Bond Index Signal	B	Dividend	L	T					
26. --Schwab Fundamental US Large Index Shares	D	Dividend	N	T					
27. (H) IRA #3 (X) (See note in Part VIII.)	A	Dividend	J	T					
28. Vanguard International Growth Inv					Sold (part)	05/01/12	J	B	
29. Vanguard PRIMECAP Fund Investor									
30. Vanguard Growth Equity Fund					Sold	05/08/12	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, Line 2. Amount of $67,082 includes costs of $1,238.

Part VII, Lines 4 and 5. Partial redemptions listed are the first payments in a series of monthly, same-sized redemptions from the IRA account, with part taken from each of the two investments listed. There were 12 such transactions in 2012.

Part VII, Lines 7. This asset was held in brokerage account #1, which was closed during 2012. The asset was split and transferred to two new accounts, brokerage accounts #2 and #3 (lines /8/9 and 10/11, respectively).

Part VII, Line 15. This property was assessed at $917,000 by the District of Columbia for 2012.

Part VII, Lines 27. This account was inadvertently omitted from past reports. This account was opened in 1994, there have been no reportable transactions in the last three years (maximum history available). For 2011, total dividends earned totalled value code A; 12/31/11 reported value would have been value code K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laurence H. Silberman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544