

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES COURTHOUSE P O BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. MEMBER	FEDERAL JUDGES ASSOCIATION, 111 WEST WASHINGTON ST, SUITE 1100, CHICAGO, ILL 60602
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

05/09/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. FEDERAL JUDGES ASSOC	APRIL 12-14, 2011	WASHINGTON, DC	FJA MEETING	MEALS, LODGING, MILEAGE, PARKING, TIPS
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

05/09/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

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05/09/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. MBIA, Inc.	A	Dividend			Sold	01/03/11	J	A	
3. General Maritime New F	A	Dividend	J	T					
4. Pimco Total Return Fund	B	Dividend			Sold	02/14/11	K	A	
5. Pimco Total Return Fund	A	Dividend	K	T	Buy (add'l)	12/26/11	J		
6. Pimco Real Return Fund	A	Dividend			Buy	01/03/11	J		
7. Pimco Real Return Fund	A	Dividend			Sold	01/31/11	K	B	
8. General Electric	A	Dividend			Sold	12/22/11	K	C	
9. General Electric	A	Dividend			Buy (add'l)	08/08/11	L		
10. General Electric	A	Dividend			Sold	12/22/11	L	D	
11. Dynegy Inc	A	Dividend	J	T					
12. Ford Motor Co	A	Dividend			Sold	03/07/11	J	A	
13. Ford Motor Co	A	Dividend			Buy	01/31/11	M		
14. Ford Motor Co	A	Dividend			Sold	12/26/11	M	D	
15. Sch US Tips ETF	A	Dividend			Sold	02/14/11	L	A	
16. Affymax Inc New	A	Dividend			Buy	03/30/11	K		
17. Affymax Inc New	A	Dividend			Sold	07/07/11	K	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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☐ NONE (No reportable income, assets, or transactions.)

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18. CHARLES SCHWAB BOWEN/CO									
19. Wahlco Envirosystems Com	A	Dividend	J	T					
20. Merrill Lynch Pfd	A	Dividend	J	T					
21. Ameritrade Cash Acct	A	Interest			Closed	01/03/11	J	A	
22. Builders Designs Inc Com Stk	A	Dividend	J	T					
23. Amer on Line Com Stk	A	Dividend	J	T					
24. CIT Group Com Stk	A	Dividend	J	T					
25. Intel Com Stock	A	Dividend	K	T					
26. ABN AMRO / VERDUS Gwth Fd	A	Dividend	L	T					
27. Exxon Mobil Common Stk	A	Dividend	K	T					
28. DuPont E I De Nemour and Co com	A	Dividend	J	T					
29. Wachovia Corp New	A	Dividend	J	T					
30. Dreyfus Hi Yld Strategy Fund	A	Dividend			Sold	10/26/11	J	A	
31. Charles Schwab Money Market	B	Interest	J	T					
32. General Electric	A	Dividend			Sold	10/27/11	K	D	
33. Pimco Total Return Fund	B	Dividend	J	T	Sold (part)	03/17/11	K	A	
34. Southern Co	A	Dividend			Sold	12/07/11	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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35. Affymax Inc New	A	Dividend			Buy	01/10/11	J		
36. Affymax Inc new	A	Dividend			Sold	10/26/11	J	A	
37. Ford	A	Dividend			Buy	08/08/11	J		
38. Ford	A	Dividend			Sold	10/27/11	K	B	
39. American Centruy Hi Yield	A	Dividend			Buy	01/03/11	K		
40. American Century Hi Yield	A	Dividend			Sold	10/26/11	K	B	
41. OTHER INV/TRUSTS									
42. ABN AMRO VERDX MCGFX FUNDS (ALLEGHANY)	D	Dividend	M	T					
43. E I DEUPONT DRIP	A	Dividend	J	T					
44. Ga Bk & Tr Money Mkt Market	B	Interest	M	T					
45. Ga Bk & Tr Savings	A	Interest	K	T					
46. TIAA CREF MUTUAL FUND	A	Dividend	L	T					
47. CSRA Fed Cr Union	A	Interest	J	T					
48. Real PPTY McCormick County, SC	D	Distribution	J	W					
49. Barclays Mon Mkt Acct	B	Interest	J	T					
50. Atlantic Amer Com		None	J	T					
51. Regions Bank Savings	A	Interest	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52. Southern Co	A	Dividend			Buy	12/07/11	K		
53. Southern Co	A	Dividend			Sold	12/20/11	K	A	
54. Southern Co	A	Dividend			Buy	07/26/11	K		
55. Southern Co	A	Dividend			Sold	12/07/11	K	C	
56. Ford Motor Call 8.00	A	Dividend			Buy	11/17/11	J		
57. Ford Motor Call 8.0	A	Dividend			Sold	12/05/11	J	A	
58. Ford Motor Call 4.0	A	Dividend			Buy	11/17/11	J		
59. Ford Motor Call 4.0	A	Dividend			Sold	12/05/11	J	A	
60. Ford Motor Call 12.0	A	Dividend			Buy	11/17/11	J		
61. Ford Motor Call 12.0	A	Dividend			Sold	12/05/11	J	A	
62. Ford Motor Call 1.0	A	Dividend			Buy	11/17/11	J		
63. Ford Motor Call 1.0	A	Dividend			Sold	12/05/11	J	A	
64. Ford Motor Call 5.0	A	Dividend			Buy	11/17/11	J		
65. Ford Motor Call 5.0	A	Dividend			Sold	12/05/11	J	A	
66. Ford Motor Call 15.0	A	Dividend			Buy	11/21/11	J		
67. Ford Motor Call 15.0	A	Dividend			Sold	12/05/11	J	A	
68. Ford Motor Call 5.0	A	Dividend			Buy	11/21/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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69. Ford Motor Call 5.0	A	Dividend			Sold	12/05/11	J	A	
70. GE Call 7.0	A	Dividend			Buy	11/25/11	J		
71. GE Call 7.0	A	Dividend			Sold	12/06/11	J	A	
72. GE Call 13.0	A	Dividend			Buy	11/25/11	J		
73. GE Call 13.0	A	Dividend			Sold	12/26/11	J	A	
74. Alcoa Calls 70.0	A	Dividend	J	T	Buy	12/23/11	J		
75. Microsoft Common	A	Dividend	K	T	Buy	12/08/11	K		
76. Sch US Tips ETF	A	Dividend			Buy	01/03/11	K		
77. Sch US Tips ETF	A	Dividend			Sold	01/31/11	K	A	
78. CHARLES SCHWAB IRA # 2									
79. IDII Stock	A	Dividend	J	T					
80. Merrill Lynch Pfd	A	Dividend	K	T					
81. Vanguard Gwth Fund	A	Dividend	K	T					
82. Pimco Total Return Fund	B	Dividend	K	T					
83. Pimco Real Return Fund	B	Dividend	J	T	Buy	01/03/11	J		
84. Pengrowth Energy F	A	Dividend			Buy	11/25/11	K		
85. Pengrowth Energy F	A	Dividend			Sold	12/21/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. Ford	A	Dividend			Buy	01/31/11	J		
87. Ford	A	Dividend			Sold	04/26/11	J	C	
88. CHARLES SCHWAB FORMERLY T ROWE PRICE									
89. Motorola	A	Dividend	J	T					
90. Lucent	A	Dividend	J	T					
91. Xerox	A	Dividend	J	T					
92. General Motors	A	Dividend	J	T					
93. Schwab Money Market	A	Interest	J	T					
94. Kinder Morgan Inc	A	Distribution	J	T					
95. Northern Borders Partners nbp	A	Distribution	K	T					
96. Northern Borders Partners nbp	A	Distribution	J	T					
97. TRUST CHARLES SCHWAB									
98. Willis Group Holdings	A	Dividend	K	T					
99. Sch Adv Cash Res swzxx	A	Dividend	J	T					
100. Pimco Tot Return Fund	A	Dividend			Sold	02/10/11	K	B	
101. T Rowe Price Spectrum	A	Dividend	J	T					
102. General Electric	A	Dividend			Sold	10/27/11	J	A	

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Page 10 of 13

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103. General Electric	A	Dividend			Buy (add'l)	02/02/11	K		
104. General Electric	A	Dividend			Sold	10/27/11	K	C	
105. Marshall Intermediate	A	Dividend			Sold	02/14/11	K	B	
106. Commercial Metals	A	Dividend			Sold	10/27/11	J	A	
107. Johnson & Johnson	A	Dividend			Sold	10/27/11	J	A	
108. Lowes	A	Dividend			Sold	10/27/11	J	A	
109. Southern Co	A	Dividend			Sold	12/20/11	L	D	
110. Pimco Real Return Fund	A	Dividend			Sold	02/28/11	K	B	
111. Ford	A	Dividend	J	T	Buy	02/02/11	K		
112. Ford	A	Dividend			Sold (part)	07/01/11	K	A	
113. Ford	A	Dividend	J	T	Buy	07/27/11	J		
114. Affymax Inc New	A	Dividend			Buy	01/10/11	J		
115. Affymax Inc New	A	Dividend			Sold	10/27/11	J	A	
116. CHARLES SCHWAB IRA #3 ROLLOVER									
117. Schwab Adv Cash Reserve	A	Dividend	K	T					
118. Pimco Total Reserve Fund	A	Dividend			Sold	02/14/11	K	C	
119. Pengrowth Energy F	A	Dividend			Buy	11/25/11	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

05/09/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pengrowth Energy F	A	Dividend			Sold	12/06/11	J	A	
121.									
122.									
123.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

05/09/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

05/09/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544