

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ludington, Thomas L.	2. Court or Organization U.S. District Court MI (Eastern)	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 1000 Washington Ave Bay City, MI 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	R. M. Gerstacker Foundation
2. Trustee	Albion College
3. President & Director	Family Foundation
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Michigan Judges Retirement Services	\$38,807.00
2. 2018	Michigan County Employees Pension Fund	\$6,296.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chemical Bank & Trust checking & savings	A	Interest	K	T					
2. Dow Chemical Employees Credit Union	A	Interest	K	T					
3. TD Ameritrade Money Market	A	Interest	J	T					
4. Merrill Lynch Money Market	A	Interest	L	T					
5. -Amgen stock	A	Dividend	J	T					
6. -Laboratory CP American Hldg		None	J	T					
7. -Blackrock Global Stock Fund	A	Dividend	M	T					
8.	D	Distribution	M	T					
9. -IBM stock	B	Dividend	K	T					
10. -Quest Diagnostics stock	A	Dividend	K	T					
11. Interest Income Fund 401K	D	Int./Div.	N	T					
12. Vanguard Convertible Sec 401K	C	Int./Div.	K	T					
13. Am Cent US Real Estate 401K		None	K	T					
14. Fidelity Contrafund Pool C1 2 401K		None	L	T					
15. SSga Stable Value-State of Michigan 457 Plan		None	K	T					
16. PIMCO Total Return-State of Michigan 457		None	J	T					
17. Dodge & Cox Stock State of Michigan 457 Plan		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. SSga Stable Value State of Michigan 401K Plan		None	M	T					
19. SSgaCash Series Treasury State of MI 401K		None	J	T					
20. PIMCO Total Return State of MI 401K		None	L	T					
21. Dodge & Cox Stock-St of MI 401K		None	L	T					
22. Ridge Worth Funds-St of MI 401K		None	K	T					
23. American Funds Europacific Gr-St of MI 401K	B	Dividend	K	T					
24. Artisan Mid Cap-St of MI 401K		None	K	T					
25. TRP Is Mid Cap 401K	D	Int./Div.	L	T					
26. Vanguard Dev Mkt IndexIP 401K	B	Int./Div.	L	T					
27. MTL Insurance Co (ordinary) Life Policy		None	K	T					
28. Trust Mark (ordinary) Life Policy		None	L	T					
29. Indianapolis (ordinary) Life Policy		None	K	T					
30. Apple Inc stock	A	Dividend	K	T					
31. Hennessy Gas Utilities Index Fund	A	Dividend			Buy (add'l)	04/10/18	J		
32.		None			Sold	08/15/18	K	D	
33. Fidelity Select Portfolio Fund	A	Dividend	K	T	Buy (add'l)	08/15/18	J		
34.	A	Distribution	K	T					

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35. Dollar Tree Stores Inc stock		None			Sold	08/15/18	J	D	
36. Ross Stores, Inc stock	A	Dividend	K	T					
37. Shire PLC	A	Dividend			Sold	12/20/18	K		
38. Johnson & Johnson stock	A	Dividend	K	T	Buy (add'l)	08/15/18	J		
39. Taiwan Semiconductor stock	A	Dividend	K	T					
40. MFS Intl New Discovery A Fund	A	Dividend	K	T					
41.	B	Distribution	K	T					
42. T Price Media & Tele Fund	A	Dividend	L	T					
43.	A	Distribution	L	T					
44. National Health Investors stock	A	Dividend			Sold	04/10/18	J	A	
45. EQT Midstream Partners stock	A	Dividend	J	T					
46. C & S Realty Fund	A	Dividend			Sold	08/15/18	J	A	
47.	A	Distribution							
48. Magellan Midstream Partners stock	A	Dividend	J	T					
49. Spectra Energy Partners stock	A	Dividend			Sold	04/10/18	J		
50. Auto Zone stock		None	J	T					
51. Invesco Pharma ETF	A	Dividend			Sold	08/15/18	J	C	

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52. SPDR Utilities ETF	A	Dividend	K	T	Buy (add'l)	04/10/18	J		
53.		None	K	T	Buy (add'l)	08/15/18	J		
54. Invesco QQQ ETF	A	Dividend	K	T					
55. Home Depot stock	A	Dividend	K	T	Sold (part)	08/15/18	J	A	
56. Plains All American Pipeline stock	A	Dividend	J	T					
57. Old Dominion Freight Line stock	A	Dividend	K	T					
58. PowerShares S&P Small Cap Util Portfolio	A	Dividend	K	T	Buy (add'l)	04/10/18	J		
59.		None	K	T	Buy (add'l)	08/15/18	J		
60. Oakmark Intl I Fund		None			Buy (add'l)	04/10/18	J		
61.		None			Sold	08/15/18	K		
62. American Century Intl Opportunity Fund	A	Dividend	K	T					
63.	B	Distribution	K	T					
64. Extra Space Storage stock	A	Dividend	J	T					
65. T Rowe Price New Horizons Fund (X)									
66. Wasatch Int Growth Fund	A	Dividend	K	T					
67.	C	Distribution	K	T					
68. Fidelity Cycl Indust Fund	A	Dividend	K	T					

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69.	B	Distribution	K	T					
70. Anheuser Busch stock		None			Sold	04/10/18	K		
71. Matthews Pacific Tiger Fund	A	Dividend	K	T	Buy (add'l)	04/10/18	J		
72.	A	Distribution	K	T					
73. Camden Property Trust stock	A	Dividend			Sold	04/10/18	J	B	
74. AER Cap Holdings stock		None	K	T					
75. EPR Properties stock	A	Dividend			Sold	04/10/18	J		
76. SPDR S&P Hi Yield Div ETF	A	Dividend			Sold	08/15/18	K	C	
77. Vanguard S&P 500 ETF	A	Dividend	L	T	Buy (add'l)	08/15/18	K		
78. Invesco Property & Casualty Ins ETF	A	Dividend	K	T	Buy (add'l)	08/15/18	J		
79. RMB Financial Services Fund	A	Dividend	K	T					
80.	A	Distribution	K	T					
81. Invesco Sm Cap Health ETF	A	Dividend	K	T	Sold (part)	04/10/18	J	A	
82. Sherwin Williams Co stock	A	Dividend	K	T					
83. Snap-On Inc stock	A	Dividend	K	T					
84. FMI International Fund	B	Dividend	K	T	Buy (add'l)	04/10/18	J		
85.	A	Distribution	K	T					

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86. Vanguard Spc Health Fund	A	Dividend	K	T	Buy (add'l)	08/15/18	J		
87.	A	Distribution	K	T					
88. NVR Inc stock		None			Sold	12/20/18	K		
89. Carillon Eagle Mid Cap Fund	B	Dividend			Sold	08/15/18	K	C	
90. FedEx Corp stock	A	Dividend	K	T					
91. Magna Intl stock	A	Dividend	K	T					
92. Prologis stock	A	Dividend	J	T	Buy	04/10/18	J		
93. Avalon Bay Communities stock	A	Dividend	J	T	Buy	04/10/18	J		
94. Thrivent Mid Cap stock	A	Dividend	L	T	Buy	08/15/18	L		
95. AMG TimesSquare Intl SmCap Fund	A	Dividend	K	T	Buy	08/15/18	K		
96. Vanguard Consumer Dis ETF	A	Dividend	J	T	Buy	08/15/18	J		
97. American Tower Corp stock	A	Dividend	J	T	Buy	04/10/18	J		
98. Universal Health Services stock	A	Dividend	J	T	Buy	08/15/18	J		
99. Burien, WA Bond 12/1/2020	A	Interest	K	T					
100. Lehman Bond Escrow 01/18/12	A	Dividend	K	T					
101. Connecticut State Bond 12/1/2020	A	Interest	K	T					
102. Gastonia, NC Bond 4/1/2020	A	Interest	J	T					

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103. Griffith, IN Bond 7/5/2020	A	Interest	J	T					
104. Hempstead, NY Bond 7/15/2020	A	Interest	K	T					
105. New York, NY Bond 11/1/2020	A	Interest	K	T					
106. Newark, NJ Bond 4/1/2020	B	Interest	K	T					
107. AmMuni Pwr Bond 2/15/18	A	Interest			Matured	02/15/18	J		
108. New Rochelle, NY Bond 3/15/18	A	Interest			Matured	03/15/18	K	A	
109. Baidu Inc Bond 8/6/18	A	Interest			Matured	08/06/18	J		
110. Northgate, PA Bond 8/15/18		None			Matured	08/15/18	J	B	
111. Randolph Co, NC Bond 6/1/18	A	Interest			Matured	06/01/18	J	A	
112. Oregon State Bond 1/1/19	A	Interest	J	T					
113. El Paso Co, CO Bond 12/1/18	C	Interest			Matured	12/01/18	L		
114. Maine St Bond 11/15/18	A	Interest			Matured	11/15/18	J		
115. Goldman Sachs CD 12/10/19	A	Interest	K	T					
116. State Bank of India CD 10/17/19	A	Interest	K	T					
117. Decatur, GA Bond 05/01/19	A	Interest	J	T					
118. Massachusetts St Bond 12/15/18	A	Interest			Matured	12/15/18	J		
119. State Bank of India CD 12/18/18	A	Interest			Matured	12/18/18	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Rockdale Co, GA Bond 7/1/19	A	Interest	K	T					
121. Salmon River, NY Bond 6/15/20	A	Interest	K	T					
122. U of OK Bond 7/1/19	A	Interest	J	T					
123. UNC Chapel Hill Bond 8/1/19		None	J	T					
124. Tucson, AZ Bond 1/1/19	A	Interest	J	T					
125. Alabama St Bond 9/1/19	A	Interest	J	T					
126. Cook Co, IL Bond 12/1/24 callable 12/1/19	A	Interest	J	T					
127. Florida St Bond 7/1/21	A	Interest	J	T					
128. HSBC Bond 4/5/21	A	Interest	J	T					
129. Jackson, MS Bond 5/1/21	A	Interest	J	T					
130. Kane McHenry, IL Bond 12/1/21		None	J	T					
131. Kentucky St Bond 4/1/19	A	Interest	J	T					
132. Los Angeles, Co CA Bond 09/1/21	A	Interest	J	T					
133. Los Angeles, CA Bond 11/1/21	A	Interest	J	T					
134. Michigan Hsg Auth Bond 10/1/21	A	Interest	J	T					
135. MTA of NY Bond 11/15/21	A	Interest	J	T					
136. Nashville, Co TN Bond 7/1/21	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. New Hampshire Bond 9/1/21	A	Interest	J	T					
138. Oakland, CA Bond 12/15/21		None	J	T					
139. Pennsylvania St Bond 7/15/21, callable 7/15/20	A	Interest	J	T					
140. San Mateo, CA Bond 8/1/21	A	Interest	J	T					
141. American Express CD 09/06/22		None	K	T					
142. Connecticut St Bond 11/1/20	A	Interest	J	T					
143. Fullerton, CA Bond 09/01/20	A	Interest	J	T					
144. Georgia St Bond 01/01/21	A	Interest	J	T					
145. Iowa Student, IA Bond 12/1/22	B	Interest	K	T					
146. Iowa Student, IA Bond 12/1/22 (X)		None							
147. Metro, NY Transit Bond 11/15/22	A	Interest	K	T					
148. New York, NY Bond 11/1/21	A	Interest	J	T					
149. Oneida Co, NY Bond 08/1/22	A	Interest	J	T					
150. Palm Beach, FL Bond 11/1/22	A	Interest	J	T					
151. Passaic Co NJ Bond 4/1/21	A	Interest	J	T					
152. Pembroke Pines, FL Bond 10/1/22	A	Interest	L	T					
153. Purdue University Bond 7/1/31, Pre- refunded 7/1/19	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Hisperia, CA Bond 2/1/23	A	Interest	J	T	Buy	03/29/18	J		
155. Silver City, NM Bond 12/1/22	A	Interest	J	T	Buy	03/29/18	K		
156. Elkhart, IN Sch Dist Bond 7/20/23	A	Interest	J	T	Buy	08/21/18	J		
157. Merrill Lynch Trust (FBO #1)	A	Interest	K	T					
158. -MFS Intl New Disc A Fund (FBO#1)	A	Dividend	J	T					
159.	A	Distribution	J	T					
160. -T Price Media & Tele Fund (FBO#1)	A	Dividend	K	T					
161.	A	Distribution	K	T					
162. -Alerian Infrastructure Fund (FBO#1)	A	Dividend	J	T					
163. -Magellan Midstream Partners (FBO#1)	A	Dividend	J	T					
164. -SPDR Utilites ETF (FBO#1)	A	Dividend	J	T					
165. -Avalon Bay Comm Inc (FBO#1)	A	Dividend	J	T					
166. MI Ed Savgs Plan Moderate Age-Based 18 FBO #2No Control		None	K	T	Distributed (part)	01/16/18	K		
167.		None	K	T	Distributed (part)	10/08/18	K		
168. MI Ed Savings Plan 100% Equity Opt (FBO#2)No control		None	J	T	Distributed (part)	01/16/18	J		
169.		None	J	T	Distributed (part)	10/08/18	J		
170. -Georgia State Bond 10/1/2020(FBO#1)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Connecticut State Bond 11/1/2020(FBO#1)	A	Interest	J	T					
172. -New Rochelle, NY Bond 3/15/18 (FBO #1)	A	Interest			Matured	03/15/18	J		
173. -El Paso Co, CO Bond 12/1/18 (FBO #1)	A	Interest			Matured	12/01/18	K		
174. -Kansas St Bond 7/1/19 (FBO #1)	A	Interest	J	T					
175. -Pennsylvania St Bond 06/1/19 (FBO #1)	A	Interest	J	T					
176. -UNC Chapel Hill Bond 8/1/19 (FBO #1)		None	J	T					
177. -Washington St Bond 7/1/19 (FBO #1)	A	Interest	J	T					
178. -Alamo, TX Bond 2/1/21 (FBO #1)	A	Interest	K	T					
179. -Kern Co, CA Bond 8/15/21 (FBO #1)		None	J	T					
180. -Oregon St Bond 6/30/21 (FBO #1)		None	J	T					
181. -Cass Co, MO Bond 05/1/20 (FBO #1)	A	Interest	K	T					
182. -American Century Intl Opp Fund (FBO #1)	A	Dividend	J	T					
183.	A	Distribution	J	T					
184. -Matthews Pacific Tiger Fund (FBO#1)	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
185.	A	Distribution	J	T					
186. -AER Cap Holdings (FBO#1)		None	J	T					
187. -Invesco Pharma ETF (FBO #1)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Ross Stores Inc (FBO #1)	A	Dividend	J	T	Sold (part)	06/29/18	J	B	
189. -Shire PLC (FBO#1)	A	Dividend	J	T					
190. -Taiwan Semiconductor (FBO#1)	A	Dividend	J	T					
191. -Fidelity Slt Portfolio Fund (FBO #1)	A	Dividend	J	T					
192.	A	Distribution	J	T					
193. -Oakmark Intl I Fund (FBO #1)	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
194.	A	Distribution	J	T					
195. -T Rowe Price New Horizons Fund (FBO #1)	A	Dividend	J	T					
196.	B	Distribution	J	T					
197. -Wasatch Intl Growth Fund (FBO #1)	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
198.	A	Distribution	J	T					
199. -Apple Inc (FBO #1)	A	Dividend	J	T					
200. -Fidelity Cycl Indust Fund (FBO #1)	A	Dividend	J	T					
201.	A	Distribution	J	T					
202. -Anheuser Busch (FBO #1)	A	Dividend			Sold	12/17/18	J		
203. -Vanguard S&P 500 ETF (FBO #1)	A	Dividend	J	T					
204. -Invesco Ppty & Cas Ins ETF (FBO #1)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Ludington, Thomas L.

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05/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Invesco Sm Cap Health ETF (FBO #1)	A	Dividend	J	T					
206. -Sherwin Williams Co stock (FBO #1)	A	Dividend	J	T					
207. -Vanguard REIT Index ETF (FBO #1)	A	Dividend	J	T					
208. -Invesco S&P Small Cap Util ETF (FBO #1)	A	Dividend	J	T					
209. -Vanguard Cons Discretionary ETF (FBO #1)	A	Dividend			Sold	06/29/18	J	A	
210. -FedEx Corp (FBO #1)	A	Dividend	J	T					
211. -Invesco QQQ Trust ETF (FBO #1)	A	Dividend	J	T	Buy	10/04/18	J		
212. -iShares Floating Bond ETF (FBO #1)	A	Dividend	J	T	Buy	08/14/18	J		
213. Chemical Bank Savings (FBO#2)		None	J	T					
214. TD Ameritrade Trust (FBO #2)	A	Interest	J	T					
215. Chemical Bank Trust (FBO #2)		None	N	T	Open	12/19/18	N		
216. -Ross Stores Inc (FBO#2)	A	Dividend	J	T					
217. -MFS Intl New Disc A Fund (FBO#2)	A	Dividend	J	T	Buy (add'l)	04/05/18	J		
218.	A	Distribution	J	T					
219. -T Price Media & Tele Fund (FBO#2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
220.	A	Distribution	J	T					
221. -AER Cap Holdings(FBO#2)		None	J	T	Buy (add'l)	04/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Alerian Infrastructure Fund (FBO#2)	A	Dividend	J	T					
223. -C & S Realty Fund (FBO#2)	A	Dividend			Buy (add'l)	04/05/18	J		
224.	A	Distribution			Sold	08/13/18	J		
225. -Dollar Tree Stores (FBO #2)		None			Sold	08/13/18	J	C	
226. -Invesco Pharma ETF (FBO #2)	A	Dividend			Sold	08/13/18	J	B	
227. -Invesco QQQ ETF (FBO #2)	A	Dividend	J	T					
228. -Oakmark Intl I Fund (FBO #2)	A	Dividend			Buy (add'l)	04/05/18	J		
229.	A	Distribution			Sold	08/13/18	J		
230. -American Century Intl Opp Fund (FBO #2)	A	Dividend	J	T	Buy (add'l)	04/05/18	J		
231.	A	Distribution	J	T					
232. -Wasatch Intl Growth Fund (FBO #2)	A	Distribution	J	T	Sold (part)	04/13/18	J	A	
233.		None	J	T					
234. -Apple Inc (FBO #2)	A	Dividend	J	T					
235. -Anheuser Busch (FBO #2)	A	Dividend			Sold	04/05/18	J	A	
236. -Vanguard S&P 500 ETF (FBO #2)	A	Dividend	J	T					
237. -PowerShares Ppty & Cas Ins ETF (FBO #2)	A	Dividend	J	T					
238. -PowerShares Sm Cap Health ETF (FBO #2)		None	J	T	Sold (part)	08/13/18	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Fidelity Cycl Indust Fund (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
240.	A	Distribution	J	T					
241. -Sherwin Williams Co stock (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
242. -PowerShares S&P Small Cap ETF (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
243. -SPDR Utilities ETF (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
244. -FMI International (FBO #2)	A	Dividend	J	T					
245.	A	Distribution	J	T					
246. -Taiwan Semiconductor (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
247. -FedEx Corp (FBO #2)	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
248. -Magna Intl stock (FBO #2)	A	Dividend	J	T	Buy (add'l)	04/05/18	J		
249. -Carillon Eagle Mid Cap Growth Fund (FBO #2)	A	Distribution	J	T	Buy (add'l)	04/05/18	J		
250.	A	Dividend	J	T					
251. -Fidelity Select Port Fund (FBO #2)	A	Distribution	J	T					
252.	A	Dividend	J	T					
253. -RMB Financial Services Fund (FBO #2)	A	Distribution	J	T					
254.	A	Dividend	J	T					
255. -iShares Core S&P Small Cap ETF (FBO #2)	A	Dividend	J	T	Buy	04/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Vanguard Health Care Investors Fund (FBO #2)	A	Dividend	J	T	Buy	08/13/18	J		
257.	A	Distribution	J	T					
258. -Vanguard Consumer Dis ETF (FBO #2)	A	Dividend	J	T	Buy	08/13/18	J		
259. -AMG TimesSquare Intl Small Cap Fund (FBO #2)	A	Dividend	J	T	Buy	08/13/18	J		
260. -New Jersey Bond 3/1/18 (FBO #2)	A	Interest			Matured	03/01/18	J	A	
261. -Kentucky State Bond 1/1/2020 (FBO #2)	A	Interest	J	T					
262. -Gooding Co, ID Bond 9/15/19 (FBO #2)	A	Interest	J	T					
263. -Harrisburg, PA Bond 04/1/18 (FBO #2)		None			Matured	04/01/18	J		
264. -Morgantown, WV Bond 12/1/19 (FBO #2)	A	Interest	J	T					
265. -New York, NY Bond 3/1/19 (FBO #2)	A	Interest	J	T					
266. -Memphis, TN Bond 11/1/21 (FBO #2)	A	Interest	J	T					
267. -Dallas, TX Bond 2/15/20 (FBO #2)	A	Interest	J	T					
268. -Washington, PA Bond 9/1/21 (FBO #2)	A	Interest	K	T					
269. -Atlanta, GABond 01/01/22 (FBO #2)	A	Interest	J	T					
270. -Colorado HSG Bond 05/01/23 (FBO #2)	A	Interest	J	T					
271. -Maine Muni Bond 09/01/21 (FBO #2)	A	Interest	J	T					
272. -Oakland, CA Bond 12/15/22 (FBO #2)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Missouri St Bond 10/01/20 (FBO #2)	A	Interest	J	T					
274. -S Carolina St Bond 12/01/22 (FBO #2)	A	Interest	J	T					
275. -Elkhart IN Comty Schools Bond 7/20/23 (FBO #2)		None	J	T	Buy	08/21/18	J		
276. Chemical Bank [REDACTED] Cust AGY (H)	B	Interest	M	T					
277. -Apple Inc	B	Dividend	L	T	Sold (part)	04/09/18	K	E	
278.		None	L	T	Sold (part)	06/27/18	K	E	
279.		None	L	T	Sold (part)	08/30/18	K	E	
280. -Bristol-Myers Squibb Co	A	Dividend	J	T					
281. -Celgene Corp Com		None	J	T	Sold (part)	06/27/18	J	D	
282. -Conocophillips	A	Dividend	J	T					
283. -Energizer Hlds Inc	A	Dividend	J	T					
284. -Exxon Mobil Corp	A	Dividend	K	T					
285. -Intel Corp	A	Dividend	K	T					
286. -Lowes	A	Dividend	J	T					
287. -Schlumberger Ltd	A	Dividend			Sold	12/20/18	J		
288. -Boeing Co	A	Dividend	K	T	Sold (part)	04/09/18	J	B	
289. -Walgreens Boots Alliance	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Aflac, Inc	A	Dividend	J	T					
291. -Aetna US Healthcare Inc	A	Dividend	J	T					
292. -CVS stock (Y)		None			Buy	11/28/18	J		
293.		None			Sold	12/13/18	J		
294. -Boston Properties Inc	A	Dividend	J	T					
295. -Clorox Co	A	Dividend	J	T					
296. -Invesco QQQ Trust	A	Dividend	L	T	Sold (part)	04/09/18	J	B	
297. -Johnson & Johnson	A	Dividend	K	T					
298. -Microsoft Corp	B	Dividend	M	T	Sold (part)	06/27/18	J	D	
299. -Nextera Energy Inc	A	Dividend	K	T					
300. -Oppenheimer Intl Growth Fund	A	Dividend	L	T	Sold (part)	04/09/18	J	C	
301. -PG & E Corp	A	Dividend			Sold	06/27/18	J	A	
302. -Pepsi Co	A	Dividend	K	T					
303. -Phillips 66	A	Dividend	K	T					
304. -Prudential Financial	A	Dividend	K	T					
305. -SPDR Gold Shares ETF		None	K	T	Buy (add'l)	01/03/18	K		
306. -Deere & Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Disney	A	Dividend	K	T					
308. -Fastenal Co Com	A	Dividend	J	T					
309. -Principal Mid Cap Blend Fund	A	Dividend	K	T					
310.	C	Distribution	K	T					
311. -Union Pac Corp	A	Dividend	K	T					
312. -T Rowe Price New Horizons Fund	B	Dividend	K	T					
313.	D	Distribution	J	T					
314. -Kroger Co	A	Dividend			Sold	06/27/18	J	A	
315. -Vanguard Inst Index Fund	B	Dividend	L	T	Sold (part)	08/30/18	J	B	
316.	A	Distribution	L	T					
317. -JP Morgan Chase stock	A	Dividend	K	T					
318. -Vanguard Strategic Small Cap Fund	A	Dividend	J	T					
319.	A	Distribution							
320. -Amazon Inc stock		None	K	T					
321. -Oakmark Intl Investor Fund	B	Distribution			Buy	01/02/18	K		
322.	B	Dividend			Sold	12/20/18	K		
323. -McDonalds stock	A	Dividend	J	T	Buy	06/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -AbbVie Inc stock	A	Dividend	J	T	Buy	06/27/18	J		
325. -KLA-Tencor Corp stock	A	Dividend	J	T	Buy	06/27/18	J		
326. -Exelon Corp stock	A	Dividend	J	T	Buy	06/27/18	J		
327. -Vanguard Financials ETF	A	Dividend	J	T	Buy	06/27/18	J		
328. South Carolina St Public Util Bond 12/1/23	A	Interest	K	T	Buy	08/21/18	K		
329. Chemical Bank Trust AGY (H)	C	Interest	N	T					
330. -Clark Cnty Nev Arpt Bond 7/1/20	B	Interest	K	T					
331. -Fenton, MI Bond 5/1/2022	A	Interest	K	T					
332. -Fidelity Inter Muni Inc Fund #036	A	Dividend	K	T					
333. -Fidelity MI Muni Inc Fund #081	A	Dividend	K	T					
334. -Illinois ST Agm Bond 1/1/21	B	Interest	K	T					
335. -Manistique, MI Bond 5/1/2020	A	Interest	K	T					
336. -Bangor Mich Public Bond School 5/1/19	A	Interest	K	T					
337. -Green Oak Twp Mich Bond 11/1/19	A	Interest	L	T					
338. -Green Oak Twp Mich Bond 11/1/21	A	Interest	K	T					
339. -Rapid River Mich Public Schools Bond 5/1/2020	A	Interest	K	T					
340. -Waterford Mich School Dist Bond 5/1/19	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. -Waterford Mich School Dist Bond 5/1/2022	A	Interest	K	T					
342. -White Cloud Mich Public Schools Bond 5/1/18	A	Interest			Matured	05/01/18	K		
343. -White Cloud Mich Public Schools Bond 5/1/2020	A	Interest	K	T					
344. -Ypsilanti Mich Cmnty Utilities Bond 4/1/18	A	Interest			Matured	04/01/18	L		
345. -Houghton-Portage MI Bond 5/1/23	A	Interest	K	T					
346. -Wyoming, MI Bond 5/1/20	A	Interest	K	T					
347. -Petosky, MI Bond 4/1/23	A	Interest	K	T	Buy	01/23/18	K		
348. -Lansing, MI Board Water Bond 7/1/20	A	Interest	K	T	Buy	02/14/18	K		
349. -Saginaw Valley MI Bond 7/1/19	A	Interest	K	T	Buy	02/14/18	K		
350. -Niles, MI Community Schools Bond 5/1/22	A	Interest	K	T	Buy	04/04/18	K		
351. -Grand Blanc, MI Community Bond 11/1/21	A	Interest	K	T	Buy	04/24/18	K		
352. -Western MI Univ Bond 11/15/19	A	Interest	K	T	Buy	08/22/18	K		
353. -Southwest, TX Higher Ed Auth Bond 10/1/23	A	Interest	J	T	Buy	04/04/18	J		
354. -Oregon St Bd of Edu Bond 6/30/22		None	J	T	Buy	08/16/18	J		
355. Lawrence Co, PA Bond 11/15/23	A	Interest	J	T	Buy	08/16/18	J		
356. Southern Methodist Uni Bond 10/1/23	A	Interest	J	T	Buy	08/22/18	J		
357. Chemical Bank Savings	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ludington, Thomas L.

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05/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. Fidelity Bene IRA - Government Cash Reserves		None	J	T	Open	12/12/18	J		
359. Fidelity Bene Roth IRA - Government Cash Reserves		None	J	T	Open	12/12/18	J		
360. Fidelity IRA #1		None	J	T	Open	12/12/18	J		
361. Fidelity Roth IRA #1 - Government Cash Reserves		None	J	T	Open	12/12/18	J		
362. Fidelity IRA #2		None	J	T	Open	12/27/18	J		
363. Fidelity IRA Roth #2		None	J	T	Open	12/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ludington, Thomas L.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Deleted all assets from 2017 report that had been sold or matured.
2. Lehman Brothers Bond Escrow (Line 100) is still held. Some principle has been returned, with the possibility of future repayment of principle.
3. Personal identifying info has been removed from descriptions of assets in lines 11-18.
4. Securities with the name "Powershares" in the title changed to Invesco.
5. CVS (Line 292) Received .8378 shares on 11/28/18 for each share held of Aetna common stock.
6. Lines 145 & 146, consolidated into one listing.
7. Lines 65 & 312, consolidated into one listing.
8. Lines 358 - 363. TDAM Money Market (Line 3) was the consolidated money market listing for TDAM accounts. Transferring to new custodian (Fidelity), listed each cash/money market holding to meet reporting guidelines.
9. TD Ameritrade Money Market and assets transferring to Chemical Bank Trust Lines 215 - 275.

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Name of Person Reporting

Ludington, Thomas L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas L. Ludington**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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