

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Gerber, Joel                                                                                                                         | <b>2. Court or Organization</b><br><br>United States Tax Court                                                                                                                                                                                                                           | <b>3. Date of Report</b><br><br>04/21/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Senior Judge                                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>400 2nd Street, N.W., Room 418<br>Washington, D.C. 20217                                                                                                      |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Gold Coins                                                                                                               |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Ing Annuity (X)                                                                                                          | E                                       | Distribution                                  | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Citibank Checking                                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Citibank Money Market Checking                                                                                           | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. T. D. Ameritrade Mney Mkt                                                                                                | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Alps Services Brown Cam Small Company Fund 1                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Sold (part)                                     | 01/08/15                | J                               | A                              |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold (part)                                     | 02/05/15                | J                               | A                              |                                                                   |
| 8.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold (part)                                     | 03/25/15                | J                               | A                              |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold (part)                                     | 06/24/15                | J                               | A                              |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/25/15                | J                               | A                              |                                                                   |
| 11. AQR Managed Futures Strategy Fund CL 1                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold (part)                                     | 01/08/15                | J                               | A                              |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 02/05/15                | J                               | A                              |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 03/25/15                | J                               | A                              |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 06/24/15                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 09/25/15                | J                               | A                              |                                                                   |
| 16. Artisan Fds Inc Mid Cap Value Fund                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Sold (part)                                     | 01/08/15                | J                               | A                              |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 02/05/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                     |                                                      |                                                            |                                                                                       |                        |
|--------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                       | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000      | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000                                    | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | L ~\$50,001 - \$100,000<br>M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                       | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                              | T ~Cash Market                                                                        |                        |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                            |   |          |   |   |                |          |   |   |  |
|----------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18.                        |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 19.                        |   |          |   |   | Buy<br>(add'l) | 09/25/15 | J |   |  |
| 20. Berwyn Fund Inc Common |   | None     |   |   | Sold<br>(part) | 01/08/15 | J | A |  |
| 21.                        |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 22.                        |   |          |   |   | Sold           | 03/25/15 | J | A |  |
| 23. Blackrock Total Return | A | Dividend | K | T | Buy<br>(add'l) | 01/08/15 | J |   |  |
| 24.                        |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 25.                        |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 26.                        |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 27.                        |   |          |   |   | Sold<br>(part) | 09/25/15 | J | A |  |
| 28. Bridgeway Fund, Inc.   | A | Dividend | J | T | Buy<br>(add'l) | 01/08/15 | J |   |  |
| 29.                        |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 30.                        |   |          |   |   | Buy<br>(add'l) | 03/25/15 | J |   |  |
| 31.                        |   |          |   |   | Sold<br>(part) | 06/24/15 | J | A |  |
| 32.                        |   |          |   |   | Sold<br>(part) | 09/25/15 | J | A |  |
| 33. Buffalo Funds Mid Cap  | A | Dividend | J | T | Sold<br>(part) | 01/08/15 | J | A |  |
| 34.                        |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 36.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/26/15                | J                               | A                              |                                                                   |
| 37.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/25/15                | J                               | A                              |                                                                   |
| 38. Dodge & Cox Fds Internationall Stock Fund                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/08/15                | J                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 41.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 42.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 43. Dodge & Cox Funds Stock Fund                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/08/15                | J                               | A                              |                                                                   |
| 44.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 45.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 47.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 48. Jensen Portfolio Inc Com                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/08/15                | J                               |                                |                                                                   |
| 49.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 50.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000      | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000                                     | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/25/15                | J                               | A                              |                                                                   |
| 53. Metropolitan West Total Return Bond Fund                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/08/15                | J                               | A                              |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 55.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/25/15                | J                               | A                              |                                                                   |
| 58. Northern Funds Small Cap Fund                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/08/15                | J                               | A                              |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 60.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 63. Pimco Total Return                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/08/15                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/25/15                | J                               |                                |                                                                   |
| 66.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/25/15                | J                               | A                              |                                                                   |
| 68. T Rowe Price Equity Income Fund Sh Ben<br>Int                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/08/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 73. SSGA Funds Emerging Market                                                                                              |                                         | None                                          |                                                 |                                           | Sold                                            | 01/08/15                | J                               | A                              |                                                                   |
| 74. T Rowe Price Blue Chip                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/08/15                | J                               | A                              |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 79. T. Rowe Price High Yield                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/25/15                | J                               |                                |                                                                   |
| 80. T Rowe Price Intl Emerg Mkts Stk                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/08/15                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/25/15                | J                               |                                |                                                                   |
| 84. Templeton Income Tr Global Bond Advisor                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/08/15                | J                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/05/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gerber, Joel

Date of Report

04/21/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |          |   |   |                |          |   |   |  |
|-------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 86.                                             |   |          |   |   | Buy<br>(add'l) | 03/25/15 | J |   |  |
| 87.                                             |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 88.                                             |   |          |   |   | Sold<br>(part) | 09/25/15 | J | A |  |
| 89. Thornburg Interntl Value Inst               | A | Dividend | J | T | Sold<br>(part) | 01/08/15 | J | A |  |
| 90.                                             |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 91.                                             |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 92.                                             |   |          |   |   | Sold<br>(part) | 06/25/15 | J | A |  |
| 93.                                             |   |          |   |   | Buy<br>(add'l) | 09/25/15 | J |   |  |
| 94. Voya (formerly Ing) Global Fund Real Estate | A | Dividend | J | T | Sold<br>(part) | 01/08/15 | J | A |  |
| 95.                                             |   |          |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 96.                                             |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 97.                                             |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 98.                                             |   |          |   |   | Sold<br>(part) | 09/25/15 | J | A |  |
| 99. AQR Managed Futures Strategy Fund CL 1      | A | Dividend | J | T | Sold<br>(part) | 01/06/15 | J | A |  |
| 100.                                            |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 101.                                            |   |          |   |   | Buy<br>(add'l) | 06/25/15 | J |   |  |
| 102.                                            |   |          |   |   | Sold<br>(part) | 09/24/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gerber, Joel

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |          |   |   |                |          |   |   |  |
|-------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 103. American Century Government Bond           | A | Dividend | J | T | Sold<br>(part) | 01/06/15 | J | A |  |
| 104.                                            |   |          |   |   | Buy<br>(add'l) | 03/25/15 | J |   |  |
| 105.                                            |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 106.                                            |   |          |   |   | Sold<br>(part) | 09/24/15 | J | A |  |
| 107. Artisan Funds Inc Mid Cap Value Fund       | A | Dividend | J | T | Sold<br>(part) | 01/06/15 | J | A |  |
| 108.                                            |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 109.                                            |   |          |   |   | Sold<br>(part) | 06/24/15 | J | A |  |
| 110.                                            |   |          |   |   | Buy<br>(add'l) | 09/24/15 | J |   |  |
| 111. Blackrock Total Return                     | A | Dividend | K | T | Buy<br>(add'l) | 01/06/15 | J |   |  |
| 112.                                            |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 113.                                            |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |  |
| 114.                                            |   |          |   |   | Buy<br>(add'l) | 09/24/15 | J |   |  |
| 115. Bridgeway Blue Chip                        | A | Dividend | J | T | Sold<br>(part) | 01/06/15 | J | A |  |
| 116.                                            |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 117.                                            |   |          |   |   | Sold<br>(part) | 06/24/15 | J | A |  |
| 118.                                            |   |          |   |   | Sold<br>(part) | 09/24/15 | J | A |  |
| 119. Dodge & Cox Funds International Stock Fund | A | Dividend | J | T | Buy<br>(add'l) | 01/06/15 | J |   |  |

|                                                  |                                                                                 |                                                      |                                                                                        |                                                            |                        |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                 | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 123. Dodge & Cox Funds Stock Fund                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 127. Janus Short Term Bond T                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/24/15                | J                               | A                              |                                                                   |
| 131. Jensen Quality Growth J                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 135. Metropolitan West Total Return                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/24/15                | J                               | A                              |                                                                   |
| 139. Northern FDS Small Cap Fund                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 143. Pimco Real Return Fd C1 D                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/24/15                | J                               | A                              |                                                                   |
| 147. Pimco Total Return Fund Institutional                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 01/06/15                | J                               | A                              |                                                                   |
| 148. T Rowe Price High Yield Fund 1 Com                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 152. T Rowe Price Com Short Term Bond                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 153.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/24/15                | J                               | A                              |                                                                   |
| 156. T Rowe Price Equity Income F Share Ben                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 160. T Rowe Price Emerging Market Shares                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 164. T Rowe Price Blue Chip Growth                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |
| 168. Templeton Income Tr Global Bond                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Gerber, Joel             | 04/21/2016     |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/24/15                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A - \$1,000 or less<br>F - \$50,001 - \$100,000<br>J - \$15,000 or less<br>N - \$250,001 - \$500,000<br>P3 - \$25,000,001 - \$50,000,000 | B - \$1,001 - \$2,500<br>G - \$100,001 - \$1,000,000<br>K - \$15,001 - \$50,000<br>O - \$500,001 - \$1,000,000 | C - \$2,501 - \$5,000<br>H1 - \$1,000,001 - \$5,000,000<br>L - \$50,001 - \$100,000<br>P1 - \$1,000,001 - \$5,000,000<br>P4 - More than \$50,000,000 | D - \$5,001 - \$15,000<br>H2 - More than \$5,000,000<br>M - \$100,001 - \$250,000<br>P2 - \$5,000,001 - \$25,000,000 | E - \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q - Appraisal<br>U - Book Value                                                                                                          | R - Cost (Real Estate Only)<br>V - Other                                                                       | S - Assessment<br>W - Estimated                                                                                                                      | T - Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

Gerber, Joel

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joel Gerber**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
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